

CAFII Board of Directors Meeting

Tuesday, April 8, 2014

Location: National Bank

600, rue de la Gauchetière Ouest, 4 th floor, Montréal, Québec

2:30 – 4:30 pm

Conference call dial-in information: 416.764.8662 or 1.888.884.4534

participant pass code: 771017#, moderator pass code: 7661560

Draft Agenda

Item	Presenter	Action
1. Call to Order and Welcome 1.1. CAFII Competition Guidelines 1.2. Approval of Agenda (consent & discussion items)	R. D'Onofrio	Review Approval
2. Welcome & Appointment of New Directors 2.1. Appointment of New Directors	R. D'Onofrio	Approval
Consent Items		
3. Consent Agenda Items 3.1. Adoption of the December 4, 2013 Board Meeting Minutes 3.2. Regulatory Update 3.3. Regulatory Visits 3.3.1. Regulatory Visit Plan	All	Approval Receipt for the Record
Discussion Items		
4. Financial Update: 4.1. Financial Statements as at February 28, 2014 4.2. 2013 Draft Audited Financial Statements	R. Rajaram R. Rajaram	Approval Approval
5. Policy Issues and Decision Items 5.1. Balanced Scorecard 5.2. External Communications Strategy & Tactics Update 5.3. Approval of By-Law 2014-5A <i><<meeting to be adjourned briefly>></i> 5.4. CNCA Transition 5.4.1. Articles of Continuance 5.4.2. Draft CAFII By-laws	J. Hines J. Hines, B. Wycks J. Hines B. Wycks, J. Hines	Update Update Approval Approval
6. Committee Reports Addressing CAFII Priorities 6.1. Distribution and Market Conduct Policy Committee 6.2. Licensing Efficiency Issues Committee 6.2.1. Representation for Restricted Licensees in SK & AB 6.3. Media and Advocacy Strategy Committee 6.4. Networking and Event Hosting Committee 6.4.1. 2014 Speakers: June 10, October 7	S. Manson M. Gill J. Lewsen P. Yeung / M. Sanchez-Chung	Update Update Update Update
7. Other Business		

Next Board Meeting: Tuesday, June 10, 2014 hosted by AMEX in Toronto, ON