

CAFII Board of Directors Meeting

Date: Tuesday, November 29, 2016
Location: BMO Insurance
60 Yonge St., Main Boardroom, 6th Flr.,
Toronto, ON

Chair: P. McCarthy
Time: 2:30 – 4:30 p.m.
Dial-in: 416-764-8662 or 1.888-884-4534
Participant code: 4532491#
Moderator code: 5829171#

Agenda

Item	Presenter	Document	Action
1. Call to Order and Welcome 1.1. Approval of Agenda <i>2:30 p.m.</i>	P. McCarthy	✓	Approval
2. Consent Items 2.1. Draft Board Meeting Minutes, October 4, 2016 2.2. Summary of Board & EOC Action Items 2.3. Balanced Scorecard 2.4. Regulatory Update 2.5. Regulator and Policy-Maker Visit Plan <i>2:35 p.m.</i>	P. McCarthy	✓ ✓ ✓ ✓ ✓	Approval Receipt Receipt Receipt Receipt
3. Financial 3.1. Financial Statements as at October 31, 2016 3.2. Quarterly Financial Reporting -- November 2016 3.3. Draft CAFII 2017 Operating Budget Options Review <i>2:40 p.m.</i>	R. Rajaram R. Rajaram R. Rajaram	✓ ✓ ✓	Approval Review Review
4. Regulatory 4.1 Regulatory Consultations/Submissions Timetable 4.1.1 BC 'Effecting' of CGI Issue 4.1.2 Saskatchewan Insurance Regulations Consultation 4.1.3 Quebec Omnibus Bill on Financial Sector Modernization 4.1.4 Ontario Replacement of FSCO with New Financial Services Regulatory Authority 4.2 Alberta Authorization of CI Sales Under an RIA Certificate <i>3:00 p.m.</i>	E. Fang/B. Wycks R. Beckford/B. Wycks R. Beckford/B. Wycks B. Wycks B. Wycks	✓ ✓ (2) ✓ (2)	Update Update Update Update Update
5. Committee Reports Addressing CAFII Priorities 5.1 Market Conduct 5.2 Media Advocacy 5.3 Licensing Efficiency Issues 5.4 Research & Education <i>3:15 p.m.</i>	R. Beckford C. Blaquiere M. Gill D. Quigley		Update Update Update Update
6. Other Business 6.1 Proposed 2017 CAFII Board Meeting Dates 6.2 CAFII Stakeholder Dialogue With CCIR: December 12/16 6.3 CAFII 20 th Anniversary Celebration in 2017 <i>3:25 p.m.</i>	B. Wycks B. Wycks B. Wycks	✓	Approval Update Update
7. In-Camera Session 7.1 Draft CAFII 2017 Operating Budget <i>3:35 p.m.</i>	P. McCarthy	✓	Discussion & Approval

CAFII Year-End Reception: will immediately follow this Board Meeting from 5:00 to 7:30 p.m., at First Canadian Place, 100 King St. West, York Room, 68th Floor, Toronto

Next Board Meeting: Wednesday, April 5, 2017, 2:30 to 4:30 p.m., location: TD Insurance, 2nd Floor, Cape Breton Room, 320 Front St, Toronto; to be immediately followed by CAFII 20th Anniversary Celebration, 5:00 to 7:30 p.m., at Ripley's Aquarium, Toronto.