



CAFII EOC Meeting
Tuesday, November 27, 2012
Location: Royal Bank Plaza
South Tower, Capital Markets
200 Bay St, 5th Floor, DS Boardroom
Toronto, ON
1:00 – 4:00 pm

Agenda

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|---|-----------------------------|
| 1. Call to Order | J. Hines |
| 2. Approval of Agenda | All |
| 3. Minutes | All |
| a. EOC Minutes October 30, 2012 | |
| b. Board Minutes, October 9, 2012 | |
| 4. Financials | M. Fabian |
| a. October 2012 financials | |
| b. 2013 Draft Budget | |
| • Banking – signatures | |
| 5. Regulatory Update | |
| a. Lawrie Savage Report | A. Riley |
| b. Regulator Visits | A. Riley |
| • Regulator Visits Plan | |
| 6. CAFII Priorities and EOC Committees | |
| a. CAFII Priorities by Committee | A. Riley |
| b. Review of Committee Mandates | J. Hines |
| c. Distribution and Market Conduct Policy Committee | S. Manson |
| • UK PPI | S. Manson |
| d. Licensing Efficiency Issues Committee | M. Gill |
| e. Media & Advocacy Strategy Committee | S. Gelgor / J. Lewsen |
| f. Event & Networking Committee | P. Yeung / M. Sanchez-Chung |
| • December Reception | |
| • Speaker presentation – February 5, 2013 | |
| • CAFII 15 th Anniversary Event | |
| 7. Other Business | |
| a. 2013 Draft Calendar | J. Hines |

Next Board Meeting is December 11, 2012 hosted by ScotiaLife Financial.
Next EOC meeting is January 8, 2012, in Toronto.

Conference call dial-in information:

416-764-8662 or 1.888-884-4534, participant pass code: 771017#, moderator pass code: 7661560#