

CAFII Executive Operations Teleconference Committee Meeting

Date: Tuesday, June 26, 2018
Location: Teleconference Only
Chair: P. Thorn

Time: 2:00 – 3:00 p.m. EST
Dial-in: 416-477-0921 / 1-888-543-2249
Pin #: 1500

Agenda

| Item | Presenter | Action | Document |
|--|--------------------------------|------------|----------|
| 1. Call to Order and Welcome to New EOC Member(s) 2:00 p.m. | P. Thorn | | |
| 2. Consent Items 2:05 p.m. | | | |
| a. Regulatory Update | | | ✓ |
| b. CAFII/CLHIA Joint Proposal to ICS Re RIA Advisory Committee | | | ✓ |
| c. CAFII Submission on CCIR/CISRO's "Guidance: Conduct of Insurance Business and Fair Treatment of Customers" | | | ✓ |
| d. CAFII Submission on BC FIA Review Preliminary Recommendations Paper | | | ✓ |
| 3. CAFII Governance 2:07 p.m. | | | |
| a. Debrief on June 5/18 CAFII Board Meeting and Reception | P. Thorn | Discussion | |
| i. CAFII EOC Chair Succession | | Discussion | |
| ii. Update on Board Direction To Expedite Research Via Creditor's Group Insurance Consumer Survey | K. Martin | Update | |
| b. Tentative July 24/18 CAFII EOC Teleconference-Only Meeting: Confirm? | B. Wycks/K. Martin | Discussion | |
| c. Tentative August 14/18 CAFII EOC Teleconference-Only Meeting: Confirm? | B. Wycks/K. Martin | Discussion | |
| 4. Recent and Upcoming CAFII Regulatory and Strategic Initiatives 2:20 p.m. | | | |
| a. Passage of Quebec Bill 141 | K. Martin | Update | ✓ |
| b. June 12/18 Speech By FCAC Commissioner Lucie Tedesco To Economic Club | B. Wycks/K. Martin | Update | ✓ (2) |
| c. Possible Special Purpose CAFII In-Person CAFII Board Meeting with Lucie Tedesco and/or Brigitte Goulard, FCAC, As Guest Presenters/Discussants | B. Wycks/K. Martin | Discussion | |
| d. CLHIA Request for CAFII Letter of Support Re CLHIA Push-Back to Saskatchewan Re Certain Provisions of Revised Insurance Act and Related Regulations | B. Wycks/K. Martin | Discussion | ✓ |
| e. June 18/18 CAFII Webinar for CCIR/CISRO On 2018 Pollara Research | K. Martin/S. Manson | Update | ✓ |
| f. June 26/18 Public Release of Pollara Research Results: Media Release; Results Executive Summary; and Leave Behind Collateral | K. Martin/S. Manson/D. Quigley | Update | ✓ (6) |
| g. Nova Scotia Superintendent of Insurance-Led Consultation on CFTA | B. Wycks/M. Gill | Update | ✓ |
| h. Summer 2018 CAFII Meeting with FCNB Re Plans for an RIA Regime | B. Wycks/K. Martin | Update | |
| i. CAFII Website Enhancements, Including "About CAFII Video" | K. Martin | Update | ✓ |
| j. Other Recent CAFII Media Efforts | K. Martin | Update | |
| 5. Tracking Issues | | | |
| a. AMF Summer 2018 Consultation on Updating Sound Commercial Practices Guideline | | | |
| b. Alberta Government Plans to Create a Single Financial Services Regulator | | | |
| c. Phase 2 of FCAC's Domestic Bank Retail Sales Practices Review | | | |
| d. Australian Royal Commission on Banking | | | |

Next EOC Meeting: Tuesday, July 24/18, 2:00 – 3:00 p.m., Teleconference-only meeting

Next Board Meeting: Tuesday, October 2/18, 2:20 – 4:00 p.m., BMO Financial Group, Executive Dining Rooms, 14th Floor, 129 rue Saint Jacques, Montréal, QC