

## CAFII Consultations/Submissions Timetable 2018-19

Regulatory Issue	Deliverable	Deadline	Accountable
BC Ministry of Finance 10-Year Review of FIA (Initial Public Consultation Paper released June 2, 2015)	<ul style="list-style-type: none"> <li>Preliminary Recommendations Paper on policy proposals for change</li> <li><b>CAFII Response to Preliminary Recommendations Paper</b></li> <li><i>Meeting with Ministry of Finance officials, if necessary</i></li> <li><u>Proposed Revised Financial Institutions Act released for consultation</u></li> <li><b>CAFII submission on proposed revised FIA</b></li> </ul>	<ul style="list-style-type: none"> <li>Released March 19/18</li> <li><b>June 19/18</b></li> <li><u>Q4 2018</u></li> <li><u>Q2 2019 (expected)</u></li> <li><b>Q2 or Q3 2019</b></li> </ul>	<ul style="list-style-type: none"> <li>Joint Market Conduct/Licensing Committee; Co-EDs to monitor</li> </ul>
Alberta Consultation on Creating a Single Financial Services Regulator	<ul style="list-style-type: none"> <li>Alta Govt. releases Consultation Paper</li> <li><b>CAFII Submission on Govt. Consultation Paper</b></li> </ul>	<ul style="list-style-type: none"> <li><u>Q4 2018 (expected)</u></li> <li><b>Q4 2018 or Q1 2019</b></li> </ul>	<ul style="list-style-type: none"> <li>Joint Mkt Conduct/Licensing Committee; Co-EDs to monitor</li> </ul>
FSCO Treating Consumers Fairly (TCF) Guideline	<ul style="list-style-type: none"> <li>CAFII/CLHIA Joint Meeting with FSCO To Provide Preliminary Feedback</li> <li>FSCO Releases Draft TCF Guideline For Industry Consultation</li> <li>CAFII submission on FSCO Draft TCF Guideline</li> <li><u>FSCO issues final version of TCF Guideline</u></li> </ul>	<ul style="list-style-type: none"> <li>January 29/18</li> <li>April 2018</li> <li>May 8/18</li> <li><u>Q4 2018 (expected)</u></li> </ul>	<ul style="list-style-type: none"> <li>Market Conduct Cttee; Co-EDs to monitor</li> </ul>
<u>AMF Sound Commercial Practices Guideline Update</u>	<ul style="list-style-type: none"> <li><u>2018 "update" consultation announced by L. Gauthier</u></li> <li><u>AMF releases consultation document</u></li> <li><b>CAFII submission on updated Sound Commercial Practices Guideline</b></li> </ul>	<ul style="list-style-type: none"> <li><u>May 3/18</u></li> <li><u>Q4 2018 (expected)</u></li> <li><b>Q4 2018 (expected)</b></li> </ul>	<ul style="list-style-type: none"> <li><u>Market Conduct Cttee; Co-EDs to monitor</u></li> </ul>
Quebec Bill 141 and Related Regulations	<ul style="list-style-type: none"> <li>Omnibus Bill 141 tabled and related Bill 150 subsequently tabled</li> <li>CAFII submission to National Assembly Committee on Public Finance</li> <li>National Assembly passes Bill 141 (certain Bill 150 provisions included)</li> <li><u>AMF releases Regulations drafted to support Bill 141 for consultation</u></li> <li><b>CAFII submission on Regulations Supporting Bill 141</b></li> </ul>	<ul style="list-style-type: none"> <li>Oct 5 and 23, 2017</li> <li>January 16, 2018</li> <li>June 2018</li> <li><u>Q4 2018 (expected)</u></li> <li><b>Q4 2018 or Q1 2019</b></li> </ul>	<ul style="list-style-type: none"> <li>Joint Mkt Conduct/Licensing Committee; Co-EDs to monitor</li> </ul>
CCIR Guidance: Conduct of Insurance Business and Fair Treatment of Customers	<ul style="list-style-type: none"> <li>CAFII Meeting with CCIR FTC Working Group re initial feedback</li> <li>CAFII submission on first draft of Guidance document</li> <li>CCIR releases Draft 2 of Guidance for formal industry consultation</li> <li><b>CAFII submission on Draft 2 of CCIR Guidance document</b></li> <li><u>CCIR issues final version of Guidance document</u></li> </ul>	<ul style="list-style-type: none"> <li>February 21/18</li> <li>March 7/18</li> <li>May 3, 2018</li> <li>June 18, 2018</li> <li><u>Q4 2018 (expected)</u></li> </ul>	<ul style="list-style-type: none"> <li>Market Conduct Cttee; Co-EDs to monitor</li> </ul>
CCIR Review of Travel Health Insurance	<ul style="list-style-type: none"> <li>CCIR Travel Health Insurance Products Position Paper Released</li> <li>Meeting with TIWG Re Position Paper and industry reforms</li> <li><u>Follow-up meeting with TIWG Re Position Paper and industry reforms</u></li> </ul>	<ul style="list-style-type: none"> <li>May 31, 2017</li> <li>January 29, 2018</li> <li><u>October 24, 2018</u></li> </ul>	<ul style="list-style-type: none"> <li>EOC; Co-EDs to monitor</li> </ul>
SK Bill 177	<ul style="list-style-type: none"> <li><u>FCAA releases The Insurance Amendment Regulations, 2018</u></li> <li><u>CAFII submission on The Insurance Amendment Regulations, 2018</u></li> <li>New Saskatchewan Insurance Act and Regulations come into force</li> </ul>	<ul style="list-style-type: none"> <li><u>August 10, 2018</u></li> <li><u>September 14, 2018</u></li> <li>January 1, 2019</li> </ul>	<ul style="list-style-type: none"> <li>Market Conduct Cttee; Co-EDs to monitor</li> </ul>
FCNB Introduction of Restricted Insurance Agent (RIA) Licensing Regime in New Brunswick	<ul style="list-style-type: none"> <li><u>FCNB informs CAFII that development of RIA regime is well-advanced</u></li> <li><u>FCNB launches industry consultation on RIA licensing regime model</u></li> <li><b>CAFII submission on FCNB's RIA licensing regime model</b></li> </ul>	<ul style="list-style-type: none"> <li><u>May 14, 2018</u></li> <li><u>Q1 2019 (expected)</u></li> <li><b>Q1 or Q2 2019</b></li> </ul>	<ul style="list-style-type: none"> <li>Licensing Committee; Co-EDs to monitor</li> </ul>

Underline = new/updated item since previous publication; **Boldface** = CAFII response pending; *Italics* = CAFII meeting with regulators/policy-makers pending