

## CAFII Consultations/Submissions Timetable 2019-20

Regulatory Issue	Deliverable	Deadline	Accountable
BC Ministry of Finance 10-Year Review of FIA (Initial Public Consultation Paper released June 2, 2015)	<ul style="list-style-type: none"> <li>Preliminary Recommendations Paper on policy proposals for change</li> <li><b>CAFII Response to Preliminary Recommendations Paper</b></li> <li>Proposed Revised Financial Institutions Act released for consultation</li> <li><b>CAFII submission on proposed revised FIA</b></li> <li><i>Meeting with Ministry of Finance officials, if necessary</i></li> </ul>	<ul style="list-style-type: none"> <li>Released March 19/18</li> <li><b>June 19/18</b></li> <li>Q2 2019 (expected)</li> <li><b>Q2 2019</b></li> <li><i>Q2 or Q3 2019</i></li> </ul>	<ul style="list-style-type: none"> <li>Joint Market Conduct/Licensing Committee; Co-EDs to monitor</li> </ul>
Alberta Consultation on Creating a Single Financial Services Regulator	<ul style="list-style-type: none"> <li>Alta Govt. releases Consultation Paper</li> <li><b>CAFII Submission on Govt. Consultation Paper</b></li> </ul>	<ul style="list-style-type: none"> <li>Q2 2019(expected)</li> <li><b>Q2 or Q3 2019</b></li> </ul>	<ul style="list-style-type: none"> <li>Joint Mkt Conduct/Licensing Committee; Co-EDs to monitor</li> </ul>
AMF Sound Commercial Practices Guideline Update	<ul style="list-style-type: none"> <li>2018 “update” consultation announced by L. Gauthier</li> <li>AMF releases consultation document</li> <li><b>CAFII submission on updated Sound Commercial Practices Guideline</b></li> </ul>	<ul style="list-style-type: none"> <li>May 3/18</li> <li><u>Q3 2019 (expected)</u></li> <li><b>Q3 or Q4 2019</b></li> </ul>	<ul style="list-style-type: none"> <li>Market Conduct Cttee; Co-EDs to monitor</li> </ul>
Quebec Bill 141 and Related Regulations	<ul style="list-style-type: none"> <li>Omnibus Bill 141 tabled and related Bill 150 subsequently tabled</li> <li>CAFII submission to National Assembly Committee on Public Finance</li> <li>National Assembly passes Bill 141 (certain Bill 150 provisions included)</li> <li>AMF releases Regulation Respecting Alternative Distribution Methods</li> <li>CAFII submission on Regulations Supporting Bill 141</li> <li>AMF Response to Feedback on Regulation Respecting Alternative Distribution Methods</li> </ul>	<ul style="list-style-type: none"> <li>Oct 5 and 23, 2017</li> <li>January 16, 2018</li> <li>June 2018</li> <li>October 10, 2018</li> <li>December 10, 2018</li> <li>Q2 2019 (expected)</li> </ul>	<ul style="list-style-type: none"> <li>Joint Mkt Conduct/Licensing Committee; Co-EDs to monitor</li> </ul>
CCIR/CISRO Guidance: Conduct of Insurance Business and Fair Treatment of Customers	<ul style="list-style-type: none"> <li>CCIR releases Draft 2 of Guidance for formal industry consultation</li> <li><b>CAFII submission on Draft 2 of CCIR Guidance document</b></li> <li>CCIR issues final version of FTC Guidance document</li> <li>Meeting with CCIR/CISRO Working Group re Guidance implementation</li> <li><i>Meeting with CCIR/CISRO Working Group re Guidance implementation</i></li> <li><i>Meeting with CCIR/CISRO Working Group re Guidance implementation</i></li> </ul>	<ul style="list-style-type: none"> <li>May 3, 2018</li> <li>June 18, 2018</li> <li>September 27, 2018</li> <li>November 28, 2018</li> <li><i>March 27, 2019</i></li> <li><i>June 2019 (date TBC)</i></li> </ul>	<ul style="list-style-type: none"> <li>Market Conduct Cttee; Co-EDs to monitor</li> </ul>
CCIR Review of Travel Health Insurance	<ul style="list-style-type: none"> <li>CCIR Travel Health Insurance Products Position Paper Released</li> <li>Meeting with TIWG Re Position Paper and industry reforms</li> <li>Follow-up meetings with TIWG re travel insurance data collection</li> <li>Further meeting with TIWG re travel insurance data collection</li> </ul>	<ul style="list-style-type: none"> <li>May 31, 2017</li> <li>January 29, 2018</li> <li>Oct. 24 &amp; Dec. 5, 2018</li> <li><u>February 26, 2019</u></li> </ul>	<ul style="list-style-type: none"> <li>EOC; Co-EDs to monitor</li> </ul>
SK Bill 177	<ul style="list-style-type: none"> <li>FCAA releases The Insurance Amendment Regulations, 2018</li> <li>CAFII submission on The Insurance Amendment Regulations, 2018</li> <li>FCAA delays implementation of new Act and Regulations to Jan 1/20</li> </ul>	<ul style="list-style-type: none"> <li>August 10, 2018</li> <li>September 14, 2018</li> <li>November 26, 2018</li> </ul>	<ul style="list-style-type: none"> <li>Market Conduct Cttee; Co-EDs to monitor</li> </ul>
FCNB Insurance Act Rewrite and Introduction of RIA Regime	<ul style="list-style-type: none"> <li>FCNB informs CAFII that development of RIA regime is well-advanced</li> <li>FCNB launches industry consultation on RIA licensing regime model</li> <li>Meeting with David Weir, FCNB re Insurance Act Rewrite and Introduction of RIA Regime (embedded within Rewrite initiative)</li> <li><b>CAFII submissions on FCNB’s Insurance Act Rewrite and RIA Regime</b></li> </ul>	<ul style="list-style-type: none"> <li>May 14, 2018</li> <li>Q1 2019 (expected)</li> <li>December 5, 2018</li> <li><b>Q2 2019</b></li> </ul>	<ul style="list-style-type: none"> <li>Licensing Committee; Co-EDs to monitor</li> </ul>

Underline = new/updated item since previous publication; **Boldface** = CAFII response pending; *Italics* = CAFII meeting with regulators/policy-makers pending