

## CAFII Consultations/Submissions Timetable 2019-20

Regulatory Issue	Deliverable	Deadline	Accountable
BC Ministry of Finance 10-Year Review of FIA (Initial Public Consultation Paper released June 2, 2015)	<ul style="list-style-type: none"> <li>Ministry announces FICOM transition into Financial Services Authority (FSA)</li> <li>FICOM-led Consultation on Industry Funding of BCFSa (successor regulator)</li> <li>CAFII submission on Industry Funding of BCFSa</li> <li>Revised Financial Institutions Act (FIA) tabled in the legislature</li> <li><b>CAFII submissions on draft Regulations in support of Revised FIA</b></li> <li>CAFII Meeting with Ministry of Finance staff executives</li> <li><i>CAFII Follow-up Meeting with Ministry officials Re Credit Protection Insurance Sales Practices and Related Fair Treatment of Consumers Considerations</i></li> </ul>	<ul style="list-style-type: none"> <li>April 4/19</li> <li>Released June 10, 2019</li> <li>July 24/19</li> <li>October 21/19</li> <li><b>Q3 2020 through Q4 2021</b></li> <li>October 25/19</li> <li><b>Q1 or Q2 2020</b></li> </ul>	<ul style="list-style-type: none"> <li>Mkt Conduct &amp; Licensing Committee; Co-EDs to monitor</li> </ul>
AMF Sound Commercial Practices Guideline Update	<ul style="list-style-type: none"> <li>AMF releases consultation document</li> <li><b>CAFII submission on updated Sound Commercial Practices Guideline</b></li> </ul>	<ul style="list-style-type: none"> <li><u>Q1 or Q2 2020 (expected)</u></li> <li><b>Q1 or Q2 2020</b></li> </ul>	<ul style="list-style-type: none"> <li>Mkt Conduct &amp; Licensing Cttee; Co-EDs to monitor</li> </ul>
Quebec Bill 141 and Related Regulations (including Regulation Respecting Alternative Distribution Methods, RADM)	<ul style="list-style-type: none"> <li>National Assembly passes Bill 141 (certain Bill 150 provisions included)</li> <li>AMF releases Regulation Respecting Alternative Distribution Methods (RADM)</li> <li>CAFII submission on Regulations Supporting Bill 141</li> <li>AMF Response to Feedback on RADM</li> <li>Implementation/In-Force Period for RADM (varies by Article/Chapter)</li> </ul>	<ul style="list-style-type: none"> <li>June 2018</li> <li>October 10, 2018</li> <li>December 10, 2018</li> <li>April 17/19</li> <li>June 13/19: Chapter 2; June 13/20: Chapter 3</li> </ul>	<ul style="list-style-type: none"> <li>Mkt Conduct &amp; Licensing Committee; Co-EDs to monitor</li> </ul>
CCIR/CISRO Guidance: Conduct of Insurance Business and Fair Treatment of Customers	<ul style="list-style-type: none"> <li>CCIR issues final version of FTC Guidance document</li> <li>Meeting with CCIR/CISRO Working Group re Guidance implementation</li> <li>Meeting with CCIR/CISRO Working Group re Guidance implementation</li> <li><i>Meeting with CCIR/CISRO Working Group re Guidance implementation</i></li> </ul>	<ul style="list-style-type: none"> <li>September 27, 2018</li> <li>November 28, 2018</li> <li>March 27, 2019</li> <li><u>Deferred from Q4 2019 to March 2020</u></li> </ul>	<ul style="list-style-type: none"> <li>Mkt Conduct &amp; Licensing Cttee; Co-EDs to monitor</li> </ul>
CCIR 2020-2023 Strategic Plan	<ul style="list-style-type: none"> <li>CCIR releases preliminary consultation draft of 2020-23 Strategic Plan</li> <li>CAFII 2019 Stakeholder Meeting With CCIR Focused On Strategic Plan</li> <li>CCIR announces formal deadline for written submissions</li> <li><b>CAFII submission on CCIR 2020-2023 Strategic Plan</b></li> </ul>	<ul style="list-style-type: none"> <li>September 30, 2019</li> <li>November 5, 2019</li> <li><u>Q1 2019 (expected)</u></li> <li><b>Q1 2020 (expected)</b></li> </ul>	<ul style="list-style-type: none"> <li>Mkt Conduct &amp; Licensing Committee; EOC; Co-EDs to monitor</li> </ul>
SK Bill 177	<ul style="list-style-type: none"> <li>FCAA delays implementation of new Act and Regulations to Jan 1/20</li> <li>FCAA releases transition-related Guidance and Interpretation Bulletin</li> <li><u>FCAA releases further transition-related Guidance/Interpretation Bulletin(s)</u></li> </ul>	<ul style="list-style-type: none"> <li>November 26, 2018</li> <li>May 17, 2019</li> <li><u>Q1 2020 (expected)</u></li> </ul>	<ul style="list-style-type: none"> <li>Mkt Conduct &amp; Licensing Cttee; Co-EDs to monitor</li> </ul>
FCNB Insurance Act Rewrite and Introduction of RIA Regime	<ul style="list-style-type: none"> <li>CAFII Meeting with David Weir and Jennifer Sutherland Green, FCNB in Fredericton</li> <li>CAFII submission on FCNB Licensing of Insurance Adjusters and Damage Appraisers Consultation</li> <li>FCNB launches industry consultation on RIA licensing regime model</li> <li><b>CAFII submissions on FCNB's Insurance Act Rewrite and RIA Regime</b></li> </ul>	<ul style="list-style-type: none"> <li>June 11, 2019</li> <li>July 2/19</li> <li><u>November 29, 2019</u></li> <li><b>January 31, 2020</b></li> </ul>	<ul style="list-style-type: none"> <li>Mkt Conduct &amp; Licensing Cttee; Co-EDs to monitor</li> </ul>
FSRA Consultation On Proposed New Guidance Framework	<ul style="list-style-type: none"> <li><u>FSRA releases proposed New Guidance Framework for consultation</u></li> <li><b>CAFII submission on FSRA's proposed New Guidance Framework</b></li> </ul>	<ul style="list-style-type: none"> <li><u>October 17, 2019</u></li> <li><b>January 31, 2020</b></li> </ul>	<ul style="list-style-type: none"> <li>Mkt Conduct &amp; Licensing Cttee; Co-EDs to monitor</li> </ul>

Underline = new/updated item since previous publication; **Boldface** = CAFII response pending; *Italics* = CAFII meeting with regulators/policy-makers pending