

CAFII Consultations/Submissions Timetable 2018-19

Regulatory Issue	Deliverable	Deadline	Accountable
BC Ministry of Finance 10-Year Review of FIA (Initial Public Consultation Paper released June 2, 2015)	<ul style="list-style-type: none"> Preliminary Recommendations Paper on policy proposals for change CAFII Response to Preliminary Recommendations Paper Proposed Revised Financial Institutions Act released for consultation <i>Meeting with Ministry of Finance officials, if necessary</i> CAFII submission on proposed revised FIA 	<ul style="list-style-type: none"> Released March 19/18 June 19/18 Q2 2019 (expected) Q2 2019 Q2 or Q3 2019 	<ul style="list-style-type: none"> Joint Market Conduct/Licensing Committee; Co-EDs to monitor
Financial Services Regulatory Authority of Ontario (FSRA) <u>Consultation on Fee Rules</u>	<ul style="list-style-type: none"> <u>FSRA releases Public Consultation documents on proposed Assessments and Fees (2019-001) and Fees and Assessments, Interim (2019-001B)</u> CAFII Submission on FSRA Fees and Assessments-related Public Consultation documents 	<ul style="list-style-type: none"> <u>October 5/18</u> January 4/19 	<ul style="list-style-type: none"> <u>Joint Mkt Conduct/Licensing Committee; Co-EDs to monitor</u>
FSCO Treating Consumers Fairly (TCF) Guideline	<ul style="list-style-type: none"> FSCO Releases Draft TCF Guideline For Industry Consultation CAFII submission on FSCO Draft TCF Guideline <u>FSCO issues final version of TCF Guideline</u> 	<ul style="list-style-type: none"> April 2018 May 8/18 <u>September 28/18</u> 	<ul style="list-style-type: none"> Market Conduct Cttee; Co-EDs to monitor
AMF Sound Commercial Practices Guideline Update	<ul style="list-style-type: none"> <u>2018 "update" consultation announced by L. Gauthier</u> <u>AMF releases consultation document</u> CAFII submission on updated Sound Commercial Practices Guideline 	<ul style="list-style-type: none"> <u>May 3/18</u> <u>Q2 2019 (expected)</u> Q3 2019 (expected) 	<ul style="list-style-type: none"> <u>Market Conduct Cttee; Co-EDs to monitor</u>
Quebec Bill 141 and Related Regulations	<ul style="list-style-type: none"> Omnibus Bill 141 tabled and related Bill 150 subsequently tabled CAFII submission to National Assembly Committee on Public Finance National Assembly passes Bill 141 (certain Bill 150 provisions included) <u>AMF releases Bill 141-related Regulation on alternative distribution methods (online sales of insurance)</u> CAFII submission on Regulation on alternative distribution methods 	<ul style="list-style-type: none"> Oct 5 and 23, 2017 January 16, 2018 June 2018 <u>October 10/18</u> December 10/18 	<ul style="list-style-type: none"> Joint Mkt Conduct/Licensing Committee; Co-EDs to monitor
CCIR Guidance: Conduct of Insurance Business and Fair Treatment of Customers	<ul style="list-style-type: none"> CAFII submission on first draft of Guidance document CCIR releases Draft 2 of Guidance for formal industry consultation CAFII submission on Draft 2 of CCIR Guidance document <u>CCIR issues final version of Guidance document</u> 	<ul style="list-style-type: none"> March 7/18 May 3, 2018 June 18, 2018 <u>September 27/18</u> 	<ul style="list-style-type: none"> Market Conduct Cttee; Co-EDs to monitor
CCIR Review of Travel Health Insurance	<ul style="list-style-type: none"> CCIR Travel Health Insurance Products Position Paper Released Meeting with TIWG Re Position Paper and industry reforms <i>Follow-up meeting with TIWG Re Position Paper and industry reforms</i> 	<ul style="list-style-type: none"> May 31, 2017 January 29, 2018 October 24, 2018 	<ul style="list-style-type: none"> EOC; Co-EDs to monitor
SK Bill 177	<ul style="list-style-type: none"> FCAA releases The Insurance Amendment Regulations, 2018 CAFII submission on The Insurance Amendment Regulations, 2018 New Saskatchewan Insurance Act and Regulations come into force 	<ul style="list-style-type: none"> August 10, 2018 September 14, 2018 January 1, 2019 	<ul style="list-style-type: none"> Joint Mkt Conduct/Licensing Committee; Co-EDs to monitor
FCNB Introduction of Restricted Insurance Agent (RIA) Licensing Regime in New Brunswick	<ul style="list-style-type: none"> FCNB informs CAFII that development of RIA regime is well-advanced FCNB launches industry consultation on RIA licensing regime model CAFII submission on FCNB's RIA licensing regime model 	<ul style="list-style-type: none"> May 14, 2018 Q1 2019 (expected) Q1 or Q2 2019 	<ul style="list-style-type: none"> Licensing Committee; Co-EDs to monitor

Underline = new/updated item since previous publication; **Boldface** = CAFII response pending; *Italics* = CAFII meeting with regulators/policy-makers pending