

| Summary of CAFII Board and EOC Action Items |                    |   |                |           |                     |
|---|--------------------|---|----------------|-----------|---------------------|
|   | Source             | Action Item   | Responsible    | Deadline  | Status<br>24-Aug-16 |
|   |                    | <b>Alberta Regulatory Issues</b>  |                |           |                     |
| 1   | EOC July 19, 2016  | • Provide further revised draft of letter to J. Abram on selling of CI under an RIA license, for EOC review   | Greg           | 20-Jul-16 | Complete            |
| 2   |                    | • EOC members to provide feedback on revised draft letter   | All EOC        | 22-Jul-16 | Complete            |
| 3   |                    | • Finalize letter and submit to J. Abram  | Greg, Brendan  | 22-Jul-16 | Complete            |
|   |                    | <b>Atlantic Canada Regulatory Issues</b>  |                |           |                     |
| 4   | EOC May 24, 2016   | • Update CAFII presentation on "Seven Point Guide to the Creditor Insurance Regulatory Regime," for possible use as an educational communication to New Brunswick and/or other insurance regulators                 | Diane          | 30-Jun-16 | In progress         |
|   |                    | <b>BC Ministry of Finance &amp; FICOM Regulatory Issues</b>   |                |           |                     |
| 5   | Board June 7, 2016 | • Engage J. McCutcheon to provide legal advice to CAFII on the Association's next steps in dealing with BC FICOM on the auto dealers are creditors for-a-moment-in-time issue                                       | Greg           | 30-Jun-16 | In progress         |
| 6   | EOC Apr 26, 2016   | • Conduct further research and provide additional information on auto dealers are creditors for a moment-in-time argument, to enable further CAFII discussion with FICOM.   | Diane          | 31-May-16 | In progress         |
| 7   | EOC Feb 16, 2016   | • Investigate opportunities to engage with BC Ministry of Finance to provide information and find out more about what will be included in the Policy Paper to be released in late 2016.                             | Brendan        | 30-Apr-16 | In progress         |
|   |                    | <b>Quebec Regulatory Issues</b>   |                |           |                     |
| 8   | EOC July 19, 2016  | • Bring forward a proposal to the October 4/16 Board of Directors Meeting recommending engagement of specialized legal counsel with respect to expected overhaul of Quebec's Insurance Act and/or Distribution Act. | Rose, Isabelle | 23-Sep-16 | In progress         |
| 9   | EOC Apr 26, 2016   | • Consult on the possibility of engaging specialized legal counsel with respect to expected overhaul of Quebec's Insurance Act and/or Distribution Act, before bringing a proposal back to EOC.                     | Rose, Isabelle | 15-Jun-16 | Complete            |
|   |                    | <b>CCIR</b>   |                |           |                     |
|   |                    | <b>Annual Statement on Market Conduct</b>   |                |           |                     |
| 10  | EOC July 19, 2016  | • Revise Critical Path to include a final opportunity for EOC members to provide feedback on draft submission, subsequent to August 23/16 EOC meeting   | Brendan        | 22-Jul-16 | Complete            |
|   |                    | <b>Regulator and Policy-Maker Visit Plan</b>  |                |           |                     |
| 11  | EOC June 21, 2016  | • Update and circulate CAFII’s Lobbyist Registration Regime Matrix to EOC members   | Brendan        | 30-Jul-16 | In progress         |
| 12  |                    | • Clearly flag in the Regulator and Policy-Maker Visit Plan any CAFII regulator/policy-maker relations activity that constitutes lobbying   | Brendan        | 21-Jun-16 | Complete            |
|   |                    | <b>Association Admin</b>  |                |           |                     |
| 13  | EOC June 21, 2016  | • Update CAFII EOC Subcommittee Directory, and send it to the subcommittee chairs   | Emily          | 05-Jul-16 | Complete            |
| 14  |                    | • Canvas EOC Members by email to secure locations for EOC meetings for the balance of 2016.   | Emily          | 15-Jul-16 | Complete            |
| 15  |                    | • Follow-up with Members and Associates who haven't yet paid the 2016 CAFII fees now due  | Emily          | 07-Jun-16 | In progress         |