

## CAFII Consultations/Submissions Timetable 2019-20

Regulatory Issue	Deliverable	Deadline	Accountable
BC Ministry of Finance 10-Year Review of FIA (Initial Public Consultation Paper released June 2, 2015)	<ul style="list-style-type: none"> <li>Preliminary Recommendations Paper on policy proposals for change</li> <li>CAFII Response to Preliminary Recommendations Paper</li> <li>Ministry announces FICOM transition into Financial Services Authority (FSA)</li> <li>FICOM-led Consultation on Industry Funding of BCFSa (successor regulator)</li> <li>CAFII submission on Industry Funding of BCFSa</li> <li>Proposed Revised Financial Institutions Act released for consultation</li> <li><b>CAFII submission on proposed revised FIA</b></li> <li><i>Meeting with Ministry of Finance staff executives</i></li> </ul>	<ul style="list-style-type: none"> <li>Released March 19/18</li> <li><b>June 19/18</b></li> <li>April 4/19</li> <li>Released June 10, 2019</li> <li>July 24/19</li> <li>Q4 2019 (expected)</li> <li><b>Q4 2019 or Q1 2020</b></li> <li><i>October 25/19</i></li> </ul>	<ul style="list-style-type: none"> <li>Joint Market Conduct/Licensing Committee; Co-EDs to monitor</li> </ul>
AMF Sound Commercial Practices Guideline Update	<ul style="list-style-type: none"> <li>2018 "update" consultation announced by L. Gauthier</li> <li>AMF releases consultation document</li> <li><b>CAFII submission on updated Sound Commercial Practices Guideline</b></li> </ul>	<ul style="list-style-type: none"> <li>May 3/18</li> <li>Q4 2019 (expected)</li> <li><b>Q4 2019 or Q1 2020</b></li> </ul>	<ul style="list-style-type: none"> <li>Market Conduct Cttee; Co-EDs to monitor</li> </ul>
Quebec Bill 141 and Related Regulations	<ul style="list-style-type: none"> <li>CAFII submission on Bills 141 and 150 to National Assembly Committee</li> <li>National Assembly passes Bill 141 (certain Bill 150 provisions included)</li> <li>AMF releases Regulation Respecting Alternative Distribution Methods (RADM)</li> <li>CAFII submission on Regulations Supporting Bill 141</li> <li>AMF Response to Feedback on RADM</li> <li>Implementation/In-Force Period for RADM (varies by Article/Chapter)</li> </ul>	<ul style="list-style-type: none"> <li>January 16, 2018</li> <li>June 2018</li> <li>October 10, 2018</li> <li>December 10, 2018</li> <li>April 17/19</li> <li>June 13/19: Chapter 2;</li> <li>June 13/20: Chapter 3</li> </ul>	<ul style="list-style-type: none"> <li>Joint Mkt Conduct/Licensing Committee; Co-EDs to monitor</li> </ul>
CCIR/CISRO Guidance: Conduct of Insurance Business and Fair Treatment of Customers	<ul style="list-style-type: none"> <li>CCIR issues final version of FTC Guidance document</li> <li>Meeting with CCIR/CISRO Working Group re Guidance implementation</li> <li>Meeting with CCIR/CISRO Working Group re Guidance implementation</li> <li><i>Meeting with CCIR/CISRO Working Group re Guidance implementation</i></li> </ul>	<ul style="list-style-type: none"> <li>September 27, 2018</li> <li>November 28, 2018</li> <li>March 27, 2019</li> <li><u><i>Deferred from Q4 2019 to Q1 2020</i></u></li> </ul>	<ul style="list-style-type: none"> <li>Market Conduct Cttee; Co-EDs to monitor</li> </ul>
CCIR 2020-2023 Strategic Plan	<ul style="list-style-type: none"> <li><u>CCIR releases preliminary consultation draft of 2020-23 Strategic Plan</u></li> <li><u>CAFII 2019 Stakeholder Meeting With CCIR Focused On Strategic Plan</u></li> <li><u>CCIR announces formal deadline for written submissions</u></li> <li><b>CAFII submission on CCIR 2020-2023 Strategic Plan</b></li> </ul>	<ul style="list-style-type: none"> <li><u>September 30, 2019</u></li> <li><u>November 5, 2019</u></li> <li><u>Nov 15, 2019 (expected)</u></li> <li><b>December 15, 2019</b></li> </ul>	<ul style="list-style-type: none"> <li>Market Conduct Committee; EOC; Co-EDs to monitor</li> </ul>
SK Bill 177	<ul style="list-style-type: none"> <li>CAFII submission on The Insurance Amendment Regulations, 2018</li> <li>FCAA delays implementation of new Act and Regulations to Jan 1/20</li> <li>FCAA releases transition-related Guidance and Interpretation Bulletin</li> </ul>	<ul style="list-style-type: none"> <li>September 14, 2018</li> <li>November 26, 2018</li> <li>May 17, 2019</li> </ul>	<ul style="list-style-type: none"> <li>Market Conduct Cttee; Co-EDs to monitor</li> </ul>
FCNB Insurance Act Rewrite and Introduction of RIA Regime	<ul style="list-style-type: none"> <li>CAFII Meeting with David Weir and Jennifer Sutherland Green, FCNB in Fredericton</li> <li>CAFII submission on FCNB Licensing of Insurance Adjusters and Damage Appraisers Consultation</li> <li>FCNB launches industry consultation on RIA licensing regime model</li> <li><b>CAFII submissions on FCNB's Insurance Act Rewrite and RIA Regime</b></li> </ul>	<ul style="list-style-type: none"> <li>June 11, 2019</li> <li>July 2/19</li> <li>Q4 2019 (expected)</li> <li><b>Q4 2019 or Q1 2020</b></li> </ul>	<ul style="list-style-type: none"> <li>Licensing Committee; Co-EDs to monitor</li> </ul>

Underline = new/updated item since previous publication; **Boldface** = CAFII response pending; *Italics* = CAFII meeting with regulators/policy-makers pending