

Agenda Item 2(f)
October 5, 2021 Board Meeting

CAFII Insurance Regulator and Policy-Maker Meetings/Interactions
From July 20/21 To September 10/21

<u>Date</u>	<u>Event/Occasion/Issue</u>	<u>Who</u>
July 21, 2021	CAFII Pre-Consultation/Kickoff Virtual Meeting With CCIR/CISRO Fair Treatment of Consumers Working Group On Its Draft "Incentives Management Guidance"	Approximately 15 CAFII EOC and Market Conduct & Licensing Committee members met virtually with members of the CCIR/CISRO Fair Treatment of Consumers Working Group (FTCWG), including Co-Chairs Louise Gauthier and Ron Fullan
July 28, 2021	Saskatchewan's <i>The Financial Planners and Financial Advisors Act</i>	Jan Seibel, Director, Insurance and Real Estate Licensing Division, Saskatchewan Financial and Consumer Affairs Authority (FCAA) reaches out to Brendan Wycks re a consultation on draft Regulations to support that province's <i>The Financial Planners and Financial Advisors Act</i> , which has an October 1/21 response deadline. CAFII decides not to respond to this consultation, as it is out-of-scope for our Association.
August 5, 2021	PEI Proposed "Class Proceedings Act"	Robert Bradley, PEI Superintendent of Insurance, reaches out to Keith Martin with a link to the announcement of a proposed <i>Class Proceedings Act</i> in his province, as well as to the related consultation paper (with an August 31/21 response deadline). CAFII determines that this consultation is only tangentially relevant to the Association's mandate and therefore out-of-scope.

August 9, 2021	CAFII Follow-up Letter to Eric Jacob, new AMF Superintendent, Client Services and Distribution Oversight	Brendan Wycks sends CAFII Follow-up Letter to Eric Jacob, new AMF Superintendent, Client Services and Distribution Oversight requests scheduling of 2021 CAFII Industry Issues Dialogue With AMF Staff Executives. Louise Gauthier responds on behalf of Eric Jacob and this virtual meeting is ultimately scheduled for Thursday, October 14/21 from 12:30 to 2:00 p.m.
August 19, 2021	Scheduling of Presentation and Dialogue Meeting with Erica Hiemstra, Director, Financial Services Regulatory Authority of Ontario (FSRA)	Brendan Wycks sends reminder email to Nadine Willock, Executive Assistant to Erica Hiemstra at FSRA, to propose some alternate dates for a Presentation and Dialogue Meeting for Ms. Hiemstra with CAFII (as per her request) Re "Introduction to Life and Health Agent Team and Sharing of Highlights of Life Agent Reporting Form (LARF) Report" (scheduled for September 14/21).
September 10, 2021	CAFII Get Acquainted and Dialogue Meeting With Eric Jacob, Newly Appointed AMF Superintendent, Client Services and Distribution Oversight	Keith Martin and Brendan Wycks participate in this introductory meeting with Eric Jacob (along with his AMF direct reports/colleagues Louise Gauthier and Mario Beaudoin) which also provides an opportunity to discuss CAFII members' concerns about providing inaccurate and misleading Fact Sheet and Notice of Rescission information to holders of credit cards with embedded insurance benefits.