

Agenda Item 2(c)(2)
May 17/22 EOC Meeting

From: Mark White <Mark.White@fsrao.ca>

Sent: April-30-22 10:46 AM

To: Brendan Wycks <brendan.wycks@cafii.com>

Cc: Rachel Olaso-Pezeshkian <Rachel.Olaso-Pezeshkian@fsrao.ca>

Subject: RE: CAFII Feedback On FSRA's Proposed "Principles-Based Regulation Approach Guidance"

Brendan, thanks for the thoughtful submission from CAFII.

While I will let the team consider this in detail along with all other submissions, I am appreciative of CAFII's support for our PBR approach and note your points on national harmonization, consistent enforcement and selection of rules vs guidance (with UDAP as an interesting example).

Mark

On May 2, 2022, at 9:05 AM, Brendan Wycks <brendan.wycks@cafii.com> wrote:

Thanks very much for this kind acknowledgement of our CAFII submission, Mark.

Much appreciated.

Glad to see that you've registered to attend our CAFII webinar tomorrow on PBR and Rule-making Authority, with Dr. Cristie Ford and Stuart Carruthers dialoguing with Keith on that very interesting topic.

Best regards,

Brendan Wycks, BA, MBA, CAE

Co-Executive Director

Canadian Association of Financial Institutions in Insurance

Brendan.wycks@cafii.com

From: Mark White <Mark.White@fsrao.ca>

Sent: May-02-22 11:47 AM

To: Brendan Wycks <brendan.wycks@cafii.com>

Cc: Rachel Olaso-Pezeshkian <Rachel.Olaso-Pezeshkian@fsrao.ca>

Subject: Re: CAFII Feedback On FSRA's Proposed "Principles-Based Regulation Approach Guidance"

Brendan it is great to see you hosting an event to further discussion in the area!

Mark

Sent by Mark White

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From: Mark White <Mark.White@fsrao.ca>

Sent: May-04-22 12:29 PM

To: Brendan Wycks <brendan.wycks@cafii.com>

Cc: Rachel Olaso-Pezeshkian <Rachel.Olaso-Pezeshkian@fsrao.ca>

Subject: RE: CAFII Feedback On FSRA's Proposed Principles of Conduct for Insurance Intermediaries

Brendan, thanks for the CAFII submissions on the insurance intermediaries work – I know our team will consider your comments carefully.

I also wanted to let you know that I found yesterday's webinar to be insightful and useful

Mark