

## CAFII Consultations/Submissions Timetable 2016-17

| Regulatory Issue   | Deliverable   | Deadline   | Accountable   |
|--|---|--|---|
| BC FICOM 10-Year Review of FIA<br>(consultation paper released June 2, 2015) | <ul style="list-style-type: none"> <li>CAFII Response to Initial Consultation Paper</li> <li>Meetings with Ministry of Finance officials</li> <li>Public Report on input received on Initial Consultation Paper</li> <li>Policy Paper on proposals for change</li> <li><b>CAFII Response to Policy Paper</b></li> <li><i>Meeting with Ministry of Finance officials, if necessary</i></li> <li>Amendments to Act and drafting of Regulations</li> </ul> | <ul style="list-style-type: none"> <li>Sep 15, 2015</li> <li>Nov 10, 2015</li> <li>Issued March 23, 2016</li> <li><u>Q1 or Q2 2017</u></li> <li><b><u>Q2 or Q3 2017</u></b></li> <li><u>Q2 or Q3 2017</u></li> <li><u>Q4 2017</u></li> </ul> | <ul style="list-style-type: none"> <li>Joint Market Conduct/Licensing Committee; ED to monitor</li> </ul> |
| BC "Effecting" of CGI Issue  | <ul style="list-style-type: none"> <li>FICOM Information Bulletin on CGI</li> <li>Meeting with FICOM officials re Bulletin's directives</li> <li>CAFII follow-up letter seeking clarification on key Bulletin issues</li> <li>CAFII teleconference with FICOM officials re follow-up letter</li> <li><b>CAFII to provide further info re auto dealers</b></li> </ul>  | <ul style="list-style-type: none"> <li>Issued Sep 14, 2015</li> <li>Nov 10, 2015</li> <li>March 15, 2016</li> <li>April 14, 2016</li> <li><b><u>Sep 2016</u></b></li> </ul>  | <ul style="list-style-type: none"> <li>EOC; ED to monitor</li> </ul>                                      |
| QC Ministry of Finance Review of Distribution Act                            | <ul style="list-style-type: none"> <li>CAFII Response to June 12/15 Consultation Report</li> <li>Dialogue with Ministry re meeting re online distribution</li> <li>Legislation to be tabled, via omnibus Bill, for industry consultation</li> <li><b>CAFII Response to draft legislation</b></li> </ul>   | <ul style="list-style-type: none"> <li>Sep 30, 2015</li> <li>Completed March 2016</li> <li>Q4 2016</li> <li><b><u>Q4 2016 or Q1 2017</u></b></li> </ul>  | <ul style="list-style-type: none"> <li>Joint Mkt Cndct/ Lcnsng Cttee; ED to monitor</li> </ul>            |
| CCIR Annual Statement on Market Conduct                                      | <ul style="list-style-type: none"> <li>CAFII Response to Draft Annual Statement (released Oct 28/15)</li> <li>Revised Draft released for 45 day consultation period</li> <li><b>CAFII Response to Draft 3</b></li> </ul>  | <ul style="list-style-type: none"> <li>Dec 4, 2015</li> <li><u>July 12, 2016</u></li> <li><b><u>August 26, 2016</u></b></li> </ul>   | <ul style="list-style-type: none"> <li>Market Conduct Cttee; ED to monitor</li> </ul>                     |
| CCIR Review of Travel Health Insurance                                       | <ul style="list-style-type: none"> <li>CAFII/CLHIA joint update meeting with CCIR TIWG</li> <li>Issues Paper released for 90 day consultation</li> <li><i>Meeting with CCIR TIWG re Issues Paper</i></li> <li><b>CAFII Response to Issues Paper</b></li> <li><i>Meeting with CCIR TIWG re Response to Issues Paper, if necessary</i></li> <li>CCIR releases Position Paper</li> </ul>   | <ul style="list-style-type: none"> <li>March 2016</li> <li><u>July 4, 2016</u></li> <li><u>Aug 10, 2016</u></li> <li><b><u>Sep 30, 2016</u></b></li> <li><u>Oct/Nov 2016</u></li> <li>Q4 2016 or Q1 2017</li> </ul>                          | <ul style="list-style-type: none"> <li>EOC; ED to monitor</li> </ul>                                      |
| SK Bill 177  | <ul style="list-style-type: none"> <li>FCAA conducts Part I of consultation on Draft Regulations</li> <li>FCAA releases Draft Regulations for Part II of industry consultation</li> <li><b>CAFII Response to Draft Regulations</b></li> <li><i>Meeting with FCAA officials re CAFII submission</i></li> </ul>   | <ul style="list-style-type: none"> <li><u>Q3 2016</u></li> <li><u>Q3 2016</u></li> <li><b><u>Nov/Dec 2016</u></b></li> <li><u>Nov/Dec 2016</u></li> </ul>  | <ul style="list-style-type: none"> <li>Market Conduct Cttee; ED to monitor</li> </ul>                     |
| FCAC "Compliance Framework"  | <ul style="list-style-type: none"> <li>FCAC publishes consultation document</li> <li><b>CAFII submission</b></li> </ul>   | <ul style="list-style-type: none"> <li><u>Summer/Fall 2016</u></li> <li><u>Fall 2016</u></li> </ul>  | <ul style="list-style-type: none"> <li>EOC; ED to monitor</li> </ul>                                      |
| "Modernizing the New Brunswick Insurance Licensing Framework"                | <ul style="list-style-type: none"> <li>CAFII Response to Position Paper on aspects related to life agents</li> <li>FCNB delivers final recommendations to Minister</li> </ul>   | <ul style="list-style-type: none"> <li>Jan 22, 2016</li> <li>?</li> </ul>  | <ul style="list-style-type: none"> <li>Licensing Cttee; ED to monitor</li> </ul>                          |

Underline = new/updated item since previous publication; **Boldface** = CAFII response pending; *Italics* = CAFII meeting with regulators/policy-makers pending