

Critical Path for CAFII Response Submission on AMF's Regulation Respecting Alternative Distribution Methods, October to December 2018 (Draft 1)

Task	Responsible	Timing/Deadline
AMF Publishes Regulation Respecting Alternative Distribution Methods and related Notice (rationale) document for public consultation, with December 10/18 deadline for response submissions	AMF	October 10/18: Completed
CAFII circulates Regulation Respecting Alternative Distribution Methods and related Notice (rationale) document to all Board, EOC, and Other Committee members	K. Martin	October 11/18: Completed
Attend AMF webinar on Regulation Respecting Alternative Distribution Methods (in French only)	K. Martin	October 17/18: Completed
Develop Critical Path for CAFII response submission on Regulation Respecting Alternative Distribution Methods	B. Wycks	October 23/18: Completed
One hour initial/kickoff teleconference meeting with S. Bourdeau, legal counsel, in which she provides legal perspectives/advice on key elements of Regulation for CAFII to focus on, potential risks, pitfalls, concerns; and answers any questions	Market Conduct Committee	To be scheduled for October 26, October 30; or October 31/18
One hour teleconference meeting to provide input on Regulation Respecting Alternative Distribution Methods itself, for K. Martin and B. Wycks to use in drafting CAFII response submission	Market Conduct Committee	To be scheduled for November 5, 6, or 7/18
One hour teleconference meeting to provide input on Schedule 1 through Schedule 5 (prescribed use documents included as appendices to Regulation Respecting Alternative Distribution Methods), for K. Martin and B. Wycks to use in drafting CAFII response submission	Market Conduct Committee	To be scheduled for November 12, 13, or 14/18
Prepare Draft 1 of CAFII submission on Regulation Respecting Alternative Distribution Methods; and send to B. Kuiper, M. Boyle, and B. Wycks for preliminary feedback	K. Martin	November 15/18
Provide feedback on Draft 1 of CAFII submission on Regulation Respecting Alternative Distribution Methods to K. Martin	B. Kuiper, M. Boyle, B. Wycks	November 16/18
Prepare Draft 2 of CAFII response submission on Regulation Respecting Alternative Distribution Methods; circulate to Market Conduct Committee and EOC members (post for November 20/18 EOC meeting), with November 21/18 deadline for feedback	K. Martin	November 16/18
Provide feedback on Draft 2 of CAFII submission on Regulation Respecting Alternative Distribution Methods to K. Martin	Mkt. Conduct Ctte and EOC Members	November 21/18
Prepare Draft 3 of CAFII submission on Regulation Respecting Alternative Distribution Methods and send it to S. Bourdeau, legal counsel, for review and feedback by November 26/18	K. Martin	November 22/18
Provide feedback on Draft 3 of CAFII submission on Regulation Respecting Alternative Distribution Methods to K. Martin	S. Bourdeau	November 26/18
Prepare Draft 4 (penultimate draft) of CAFII submission on Regulation Respecting Alternative Distribution Methods and send to Market Conduct Committee and EOC Members for final review, with November 29/18 deadline for feedback	K. Martin	November 27/18
Provide feedback on Draft 4 (penultimate draft) of CAFII submission on Regulation Respecting Alternative Distribution Methods to K. Martin	Mkt. Conduct Ctte and EOC Members	November 29/18
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Task	Responsible	Timing/Deadline
Make final edits to draft CAFII submission, based on Market Conduct Committee and EOC member input; and circulate to EOC Chair M. Boyle for final review and approval to insert his electronic signature.	K. Martin	November 29/18
Provide final feedback on CAFII submission and approval to insert electronic signature to K. Martin	M. Boyle	November 30/18
Finalize English version of CAFII submission and send to Fasken's office in Montreal, via S. Bourdeau, for translation into French, with December 7/18 deadline for completion of translation.	K. Martin	December 3/18
Send finalized English and French versions of CAFII submission to AMF electronically	K. Martin	December 10/18