

Jurisdiction Regulator/Policy-Maker	Last Meeting /Contact	Proposed Meeting	Topics/Purpose	Status April 18/16
Lorena Dimma, Director, Market Conduct (assuming Harry James' former policy advisor role re 'effecting' of CGI issue)	-April 14/16 teleconference re CAFII follow-up letter	If necessary, follow-up teleconference to obtain further clarification on CAFII questions/issues	- FICOM Information Bulletin on 'effecting' of CGI in BC issue (C. Carter has leadership responsibility for this issue)	Pending
Harry James, Senior Regulatory Advisor (Chair of CCIR's Travel Insurance Working Group)	- April 14/16 teleconference re CAFII CGI follow-up letter - Nov. 21/14: G. Grant chatted with H. James during FIA Review roundtable discussion	See Lorena Dimma above	- Although Harry is supposed to have handed this file to Lorena, he did most of the talking in our recent call so we will continue to include Harry in the discussions on the "effecting" issue.	N/A
Molly Burns, Analyst, Policy Initiatives	-April 14/16 teleconference re CAFII follow-up letter -Nov 10/15 in Vancouver	If necessary, follow-up teleconference to obtain further clarification on CAFII questions/issues	- FICOM Information Bulletin on "effecting" CGI contracts	
Ministry of Finance: Michael de Jong, Minister of Finance Dan Ashton, Parliamentary Secretary for Finance (elected MLA) Elizabeth Cole, Executive Director, Strategic Projects & Policy (head of 10-Year Review of FIA) Brian Dillon, Director, Financial Institutions Kari Toovey, Senior Policy Advisor	-Nov. 21/14: G. Grant represented CAFII at FIA Review roundtable discussion hosted by Minister -November 10/15 in Vancouver along with Elizabeth Cole -November 10/15 in Vancouver along with Dan Ashton; and separate meeting along with Brian Dillon and Kari Toovey	None at this time If necessary, follow-up teleconference in 2016 Possible follow-up meeting in Vancouver when Policy Paper is released in late 2016 See E. Cole above See E. Cole above	TBD until CAFII has a "direct ask" at Ministerial level -Update on 10-year Review of Financial Institutions Act: follow-up on CAFII issues/concerns including recommendations of other stakeholders	Pending Pending

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Alberta				
Alberta Insurance Council: Joanne Abram, CEO;	<ul style="list-style-type: none"> - Feb. 10/16 letter - Jan/16 and Oct./15 e-mail exchanges with J. Abram on same matter - Nov 20/14: CLHIA COSS seminar (B. Wycks) 	- teleconference, if necessary	<ul style="list-style-type: none"> -AIC Review of Products Suitable For Sale Under RIA Licence -Representation for Restricted Licence Holders 	Pending
<p>Anthonet Maramieri, COO (succeeded Tom Hampton at beginning of 2015)</p> <p>Warren Martinson, Legal Counsel (member of CISRO LLQP Committee)</p>	<ul style="list-style-type: none"> - April 11/16 informal discussion with B. Wycks, at CISRO LLQP Info Session - Feb 27/15: Toronto: B. Wycks met A. Maramieri and had get acquainted chat at CISRO LLQP Stakeholder Info Session - Feb 10/14: Toronto, ON 	<p>See J. Abram above</p> <p>See J. Abram above</p>		
Treasury Board and Ministry of Finance:				
<p>Nilam Jetha, Acting Superintendent of Insurance (one year interim role)</p> <p>Mark Prefontaine, Superintendent of Insurance (seconded to Senior Asst. Deputy Minister for one year, effective Jan. 11/16)</p> <p>David Sorensen, Deputy Superintendent of Insurance</p>	<p>No contact – appointed Jan 11/16 (congratulatory letter sent Feb. 1/16)</p> <p>-Sep 30/14; Fredericton, NB (informal meeting)</p> <p>No face-to-face contact to date - <i>appointed Sep 15/14</i></p>	<p>None at this time</p> <p>Not applicable at this time</p> <p>None at this time</p>	<p>- Update on Superintendent's priorities</p> <p>-Communicate CAFII issues; build and strengthen relationship</p> <p>See N. Jetha above</p>	

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Laurie Balfour, Director, Financial Compliance, Insurance Regulation and Market Conduct Branch (Chair of CCIR Insurance Core Principles Implementation Ctte)	-Sep 30/14: Fredericton, NB (informal meeting) -Jul 28/14: call with CAFII reps re: "effecting of CGI"	None at this time	-See N. Jetha above	Pending
Joe Ceci, President of Treasury Board and Minister of Finance	No contact – appointed May 24/15	None	TBD until CAFII has a "direct ask" at Ministerial level	Pending
Saskatchewan				
Insurance Councils of Saskatchewan: Ron Fullan, Executive Director, (CISRO Chair)	- April 11/16 informal conversation with B. Wycks, at CISRO LLQP Info Session	None at this time	-Restricted Insurance Agents Advisory Committee. -Sask. RIA regime and licensure issues	Pending
April Stadnek, Director of Licensing	- Oct. 27/15: R. Fullan gave dedicated CISRO LLQP Stakeholder Info presentation for CAFII members - Sept 22/15 CISRO LLQP Stakeholder Info Session in Toronto (B. Wycks) - Sep 30/14; Fredericton, NB (informal meeting) - November/13 in Toronto when April attended CLHIA CCOS Seminar	None at this time	-See R. Fullan above	Pending
Financial Consumer Affairs Authority (FCAA): Roger Sobotkiewicz, former Director of FCAA Legal Branch, became Interim Chairperson and Superintendent of Insurance, effective Feb. 1/15	-no previous contact; congratulatory letter on appointment sent March 4/15	-Teleconference meeting with FCAA officials in Q2 2016	-introduce CAFII and build relationship -Regulations being developed following passage of <i>Bill 177, The Insurance Act (Saskatchewan)</i> -ISI: Representation for Restricted Licence Holders - Update on Superintendent's priorities	Pending

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Ian McIntosh, Deputy Superintendent of Insurance	-Jul 28/14 call with CAFII reps re: "effecting CGI"	Same as R. Sobotkiewicz above	-See R. Sobotkiewicz above	Pending
Janette Seibel, Lawyer, became lead on Bill 177 and Regulations file effective June 1/15	-Regular e-mail exchanges since June 2015 most recently April 18/16 (B. Wycks) -teleconference meeting, along with Jim Hall	-Teleconference meeting with J. Seibel in Q2 2016	- discuss Part I of the draft Regulations being developed following passage of <i>Bill 177, The Insurance Act (Saskatchewan)</i>	Pending
Manitoba				
Ministry of Finance: Ken Lofgren, Acting Superintendent of Insurance	-Appointed Spring 2015; no previous CAFII contact	None at this time		
Scott Moore, Deputy Superintendent of Insurance	-April 15/15 teleconference with three CAFII reps -April 29/14: Winnipeg, MB	None at this time	- concern about amended Insurance Act's apparent residency requirement for employees of Restricted Insurance Agents	
Greg Dewar, Minister of Finance	No contact – appointed Nov/14	None	TBD until CAFII has "direct ask" at Ministerial level	
Erin Pearson, Executive Director, Insurance Council of Manitoba:	-Oct. 8/15 at CLHIA CCOS Fall Seminar (B. Wycks) -Sept. 30/14: dinner in Fredericton, NB re: ISI implementation	None at this time	Same as above; and Insurance Council's "ISI items for further review and development"	
Ontario				
FSCO: Brian Mills, appointed Interim CEO and Superintendent on October 18/14	-January 28/15 stakeholder meeting with CCIR	-Awaiting clarification of appointment status, emerging from Ontario review of FSCO mandate	(i)Introduce CAFII and build/maintain relationship (ii) Ontario government review of FSCO's mandate (iii) next steps, if any, in Life Insurance Product Suitability Review (iv)CCIR review of travel insurance (v) LLQP modernization (vi)enhancing the national CRS (vii)Update on Superintendent's priorities (viii)communicate CAFII issues	Pending

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Anatol Monid, Interim Executive Director, Licensing and Market Conduct Division	-December 8/15 and June 9/15: Informal update conversations with A. Monid at CAFII Reception events -January 28/15 stakeholder meeting with CCIR	None at this time	Item (iii) above	
Izabel Scovino, appointed Director, Market Conduct Regulation Branch in Nov/14	-Oct. 8/15 at CLHIA CCOS Fall Seminar (B. Wycks) -Nov 21/14 at FSCO Life & Health Insurance Symposium	None at this time	Item (vi) above	
Ministry of Finance Three-member Expert Panel advising on Government's review of FSCO's mandate -David McLean, Policy Advisor	-July 30/15 life & health insurance sector roundtable meeting (P. McCarthy and G. Grant) -May 21/15 informal meeting: insurance sector round table -April 12/16 informal conversation with B. Wycks at CAFII Reception	None at this time.	-Discuss Expert Panel's final report to Minister on proposed future mandate of FSCO - Awaiting release of Expert Panel's final report to determine if meeting with Deputy Minister of Assistant Deputy Minister should be pursued	Pending
Quebec				
AMF: Louis Morisset, CEO; Patrick Dery, Superintendent, Solvency (appointed CCIR Chair effective April 1/15)	-Apr 8/14: Montreal, QC -Liaison lunch and industry issues dialogue on October 6/15 in Levis, Quebec	-Oct. 4/16 in Montreal: CAFII annual liaison meeting CAFII proposed early May meeting in Halifax, during CLHIA Conference Oct. 4/16 in Montreal: CAFII annual liaison meeting	-(i)AMF final paper on electronic commerce in insurance, setting out Orientations/expectations -(ii)Distribution Guide template and implementation timelines -LLQP modernization -enhancing the national CRS -Update on AMF priorities -Communicate CAFII issues -Maintain and strengthen relationship	Pending Invitation declined

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Eric Stevenson, Superintendent, Client Services and Distribution Oversight	-Liaison lunch and industry issues dialogue on October 6/15 in Levis, Quebec	Oct. 4/16 in Montreal: CAFII annual liaison meeting	-See above	
Ministry of Finance Carlos Leitao, Minister Richard Boivin, Assistant Deputy Minister, Financial Institution Policy and Corporate Law Guillaume Caudron, Chief of Staff Yann Nachabé, Policy Advisor	-Feb. 25/16 phone call between B. Wycks and Yann Nachabé, Policy Advisor, Ministry of Finance	- CAFII request for April 2016 in-person follow-up meeting with Richard Boivin and Guillaume Caudron	- Ministry's direction on online distribution of insurance without involvement of an advisor -CAFII submission in response to Report on the Application of the Act respecting the distribution of financial products and service	CAFII meeting request politely withdrawn, based on reassuring information from Y. Nachabé
New Brunswick				
Financial and Consumer Services Commission (Insurance Division): Angela Mazerolle, Superintendent of Insurance	Oct 1/14: Fredericton, NB	-May 4/16 dinner meeting with Atlantic Canada regulators during CLHIA Conference in Halifax	-implementation of online licensing system -legislative/regulatory change to support electronic beneficiary designations -other New Brunswick licensing issues	Confirmed
David Weir, Deputy Superintendent of Insurance	- April 11/16 informal discussion with B. Wycks, at CISRO LLQP Info Session - Feb. 19/16 - Sept. 22/15 CISRO LLQP Info Session in Toronto (B. Wycks)	-May 4/16 dinner meeting with Atlantic Canada regulators during CLHIA Conference in Halifax	- timing of final recommendations on reforming licensing framework for other-than-life agents and brokers -teleconference re CAFII feedback on New Brunswick online insurance licensing system -provide CAFII feedback on Phase 1 of NB online insurance licensing system; and hoped-for functionality in Phase 2	Confirmed

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Opportunities New Brunswick: Jay Reid, Investment Attraction Officer	-Jun 3/14: Toronto, with Adam Mitton of predecessor organization Invest New Brunswick	None at this time	- CAFII submission re: Insurance Act and regulatory process changes necessary to support business efficiency and further inbound investment and additional jobs in New Brunswick -Introduce CAFII and build relationship -Position CAFII as an information resource	
Consumer Advocate for Insurance: Ronald Godin, Consumer Advocate	No contact	None at this time		
Nova Scotia				
Superintendent of Insurance: William Ngu, Acting Superintendent of Insurance	-Appointed June 2015; no previous CAFII contact	-May 4/16 dinner meeting with Atlantic Canada regulators during CLHIA Conference in Halifax	-Review of life and accident & sickness provisions of Insurance Act -legislative/regulatory change to support electronic beneficiary designations -Update on Superintendent's priorities -Communicate CAFII issues; build and strengthen relationship	Confirmed
Jennifer Calder, Deputy Superintendent of Insurance	Oct 1/14: Fredericton, NB (participated by teleconference)	-May 4/16 dinner meeting with Atlantic Canada regulators during CLHIA Conference in Halifax		Confirmed
PEI				
Superintendent of Insurance: Robert Bradley, Superintendent	-Oct 1/14: Fredericton, NB	-May 4/16 dinner meeting with Atlantic Canada regulators during CLHIA Conference in Halifax	-Review of life and accident & sickness provisions of Insurance Act (on April 23/15, R. Bradley advised that this may get underway in late 2015) -legislative/regulatory change to support electronic beneficiary designations -Update on Superintendent's priorities -Communicate CAFII issues -Maintain and strengthen relationship	Unable to attend

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Newfoundland				
Superintendent of Insurance: John O'Neill, Superintendent Craig Whalen, Deputy Superintendent of Insurance	-N/A; appointed in October 2015 Oct 1/14: Fredericton, NB	-May 4/16 dinner meeting with Atlantic Canada regulators during CLHIA Conference in Halifax -Same as John O'Neill	-legislative/regulatory change to support electronic beneficiary designations -Update on Superintendent's priorities -Communicate CAFII issues; build and strengthen relationship	Unable to attend Unable to attend
FEDERAL/NATIONAL				
CCIR: Martin Boyle, Policy Manager	- April 12/16 CAFII Reception - April 12/16 liaison lunch meeting between CAFII and M. Boyle and S. Jacobs -January and March 2016 phone calls and e-mail exchanges (B. Wycks) -Nov. 20/15 relationship transition lunch meeting with three CCIR Policy Managers (C. Shevlin, S. Jacobs and M. Boyle)	- May 4/16 lunch meeting in Halifax during CLHIA Conference (with C. Rogers and S. Jacobs) - May 19/16 CCIR ICPiC teleconference/webinar meeting with CAFII members (L. Balfour and M. Boyle)	-Annual Statement on Market Conduct (Martin) -Framework for Cooperative Market Conduct Supervision (Martin) -Possible CCIR speakers/panelists at CAFII events -Update on CCIR 2014-17 Strategic Plan and related priorities -Communicate CAFII issues; and maintain and strengthen relationship -possible CAFII webinar(s) for CCIR audience updates on Annual Statement on Market Conduct	Confirmed Confirmed
Sean Jacobs, Policy Manager	- April 12/16 liaison lunch meeting between CAFII and M. Boyle and S. Jacobs -March 16/16 CAFII/CLHIA joint stakeholder meeting with CCIR Travel Insurance Working Group	- May 4/16 lunch meeting in Halifax during CLHIA Conference (with C. Rogers and M. Boyle)	-Review of Travel Health Insurance (Sean)	Confirmed

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Patrick D�ry, Chair (Superintendent, Solvency, AMF)	-Liaison lunch and industry issues dialogue on October 6/15 in Levis, Quebec -April 29/15 meeting in Quebec City, along with CCIR Vice-Chair Carolyn Rogers	CAFI proposed early May meeting in Halifax, during CLHIA Conference	-CCIR review of travel health insurance -Update on CCIR 2014-17 Strategic Plan and related priorities -Communicate CAFI issues; maintain and strengthen relationship	Invitation declined
Carolyn Rogers, CCIR Past-Chair and current Vice-Chair (also Chair of CCIR OmbudServices Oversight Committee)	-April 29/15 in Quebec City, along with CCIR Chair Patrick Dery	-May 4/16 lunch meeting in Halifax during CLHIA Conference (with M. Boyle and S. Jacobs)	See P. Dery above	Confirmed
Harry James, Chair, CCIR Travel Insurance Working Group (TIWG)	-March 16/16 CAFI/CLHIA joint meeting with CCIR Travel Insurance Working Group -March 24/15 meeting with CAFI EOC re	-June or Sept 2016 meeting with Harry and other TIWG members, following release of Issues/Discussion Paper	-issues/questions set out in Issues/Discussion Paper for industry consultation draft industry survey of travel insurance underwriters	Pending
Laurie Balfour, Chair, CCIR Insurance Core Principles Implementation Committee (ICPIC)	No previous contact in her role as Chair of ICPIC	-May 19/16 CCIR ICPIC presentation teleconference/webinar meeting with CAFI members (L. Balfour and M. Boyle)	-ICPIC work on IAIS' Insurance Core Principles -Framework for Co-operative Market Conduct Supervision in Canada -Harmonized Annual Statement on Market Conduct	Confirmed
CISRO: Ron Fullan, Chair (SK); G. Matier (BC); J. Abram (AB), W. Martinson (AB); D. Weir (NB)	- April 11/16 CISRO LLQP Stakeholder Info Session -March 16/16 CAFI/CLHIA joint stakeholder meeting with CCIR Travel Insurance Working Group -Oct. 27/15: R. Fullan gave dedicated CISRO LLQP Stakeholder Info presentation for CAFI members	-June 2016 CISRO LLQP Stakeholder Info Session in Toronto	-implementation of new, nationally harmonized LLQP -possible CISRO Strategic Plan	Pending

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Financial Consumer Agency of Canada (FCAC): Lucie Tedesco, Commissioner	-May 1/15: B. Wycks made self-introduction and chatted with L. Tedesco, following her speech at CLHIA Conference	None at this time		
Brigitte Goulard, Deputy Commissioner	-Jun 10/14: B. Goulard was speaker at CAFII event	-Q2 or Q3 teleconference meeting, if necessary.	- FCAC consultation on its supervisory/compliance framework (Spring 2016 release of document expected) re questions/issues raised in consultation document	Pending
Jane Rooney, Financial Literacy Leader	-Feb 10/15 (presentation at CAFII Annual Luncheon)			
Jeremie Ryan, Director, Financial Literacy and Consumer Education	-Feb. 10/15			
Karen Morgan, Marketing Officer	-Jan 9/14			