

CAFII Regulator and Policy-Maker Visit Plan 2015

Jurisdiction Regulator/Policy-Maker	Last Meeting /Contact	Proposed Meeting	Topics/Purpose	Status Feb. 11/15
British Columbia				
Gerry Matier, Executive Director, Insurance Council of BC	Nov. 21/14: roundtable re 10 yr. Review of BC FIA (G. Grant in Vancouver) Nov 20/14: CLHIA COSS seminar (B. Wycks in Toronto) May 28/14: Toronto, ON	When Gerry is in Toronto for CISRO/CCIR/related meetings	-10 yr. Review of BC Financial Institutions Act (FIA) -Representation for banks-in-insurance sector on Council -LLQP modernization -Update on TIPIP initiative -Update on Council priorities -Communicate CAFII issues -Maintain and strengthen relationship	Pending
Carolyn Rogers , CEO, FICOM & Superintendent of Insurance (CCIR Chair)	January 28/15 stakeholder meeting with CCIR Informal discussion on October 7/14 during CAFII Speaker Reception May 6/14; liaison dinner in Ottawa	When Carolyn is in Toronto for CCIR/related meetings; or if attending CLHIA Spring Conference, April 29 to May 1 in Quebec City	See above	Confirmed
Doug McLean, Deputy Superintendent of Insurance, FICOM	No contact/meeting for at least past two years	When Doug is in Toronto for CCIR/related meetings	See above	Pending
Harry James, Director, Policy Initiatives, FICOM; Chair of CCIR's new Working Group on Travel Insurance	January 28/15 stakeholder meeting with CCIR Nov. 21/14: G. Grant chatted with H. James during FIA Review roundtable discussion Jul 28/14: call with CAFII reps re: "effecting" CGI		See above	Pending

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Michael de Jong, Minister of Finance	Nov. 21/14: G. Grant represented CAFII at FIA Review roundtable discussion	If necessary, Q1 or Q2 2015	- follow up on CAFII issues/concerns re: 10-year Review of Financial Institutions Act; announcement re formal consultation phase of FIA Review expected imminently	Pending
Alberta				
Alberta Insurance Council: Joanne Abram, CEO; Ron Gilbertson, Chair (2012-15) Tom Hampton, COO (retiring at end of 2014) Warren Martinson, Legal Counsel (member of CISRO LLQP Ctte)	Nov 20/14: CLHIA COSS seminar (B. Wycks); Mar 17/14; Toronto, ON Mar 17/14; Toronto, ON Jan 8/14: Toronto, ON Feb 10/14: Toronto, ON	When Joanne is in Toronto for CISRO/CCIR/related meetings; or if attending CLHIA Spring Conference, April 29-May 1 in Quebec City	-Representation for Restricted Licence Holders - - Licensing for 3 rd party providers: business number registration system -LLQP modernization -Update on TIPIP initiative - Update on Council priorities -Communicate CAFII issues -Maintain and strengthen relationship	Pending
Mark Prefontaine, Superintendent of Insurance	Sep 30/14; Fredericton, NB (informal meeting)	When Mark is in Toronto for CCIR/related meetings; or if attending CLHIA Spring Conference, April 29-May 1 in Quebec City	See above	Pending
David Sorensen, Deputy Superintendent of Insurance	No contact - <i>appointed Sep 15/14</i>	When David is in Toronto for CCIR/related meetings	See above - and introduce CAFII	Pending
Laurie Balfour, Director, Financial Compliance, Insurance Regulation and Market Conduct Branch	Sep 30/14: Fredericton, NB (informal meeting) Jul 28/14: call with CAFII reps re: "effecting of CGI"	When Laurie is in Toronto for CCIR/related meetings	See above	Pending

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Robin Campbell, President of Treasury Board and Minister of Finance	No contact – appointed Sep 15/14	TBD	TBD until CAFII has a “direct ask”	Pending
Saskatchewan				
Ron Fullan, Executive Director, Insurance Councils of Saskatchewan and CISRO Chair	January 28/15 stakeholder meeting with CCIR Sep 30/14; Fredericton, NB (informal meeting)	When Ron is in Toronto for CISRO/CCIR/related meetings	-Restricted Insurance Agents Advisory Cttee. - LLQP modernization -Update on TIPIP initiative - Update on ICS and CISRO priorities -Communicate CAFII issues -Maintain and strengthen relationship	Pending Pending
April Stadnek, Director of Licensing	Sep 30/14; Fredericton, NB (informal meeting)	When April is in Toronto for CISRO/CCIR/related meetings		
Roger Sobotkiewicz, former Director of Financial Consumer Affairs Authority (FCAA)’s Legal Branch, became Interim Chairperson and Superintendent of Insurance, effective Feb. 1/15 (David Wild left to lead Public Employees Benefits Agency as Associate Deputy Minister of Finance)	-no previous contact; congratulatory letter on appointment to be sent -no contact/meeting for at least past two years	Q1 or Q2 2015 meeting in Regina	-introduce CAFII and build relationship -Bill 177, The Insurance Act, posted on SK website on Dec. 8/14. Bill serving as consultation document with industry, with Feb. 16/15 deadline for submissions. When passed and proclaimed, will replace current SK Insurance Act. -ISI: Representation for Restricted Licence Holders -LLQP modernization -Update on TIPIP initiative - Update on Superintendent’s priorities -Communicate CAFII issues	Pending: CAFII submission on Bill 177 requests a meeting
Ian McIntosh, Deputy Superintendent of Insurance	Jul 28/14 call with CAFII reps re: “effecting CGI”	Same as above	See above	Pending

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Consultant (ex-Superintendent) Jim Hall and Janette Seibel, Lawyer, FCAA, are point persons for review of SK Insurance Act	-no contact/meeting for at least past two years	Same as above	Bill 177, The Insurance Act (Saskatchewan)	Pending: CAFII submission on Bill 177 requests a meeting
Manitoba				
Ministry of Finance: Jim Scalena, Superintendent <i>*Retired at end of 2014</i> Scott Moore, Deputy Superintendent, currently serving as Interim Superintendent of Insurance Greg Dewar, Minister of Finance	April 29/14; Winnipeg, MB April 29/14: Winnipeg, MB; No contact – appointed Nov/14	When successor or Scott is in Toronto for CCIR/related meetings; or if attending CLHIA Spring Conference, April 29-May 1 in Quebec City TBD	-Introduce CAFII and build/maintain relationship -Implementation of ISI regime -Representation for Restricted Licence Holders -Update on Insurance Act Review -LLQP modernization -Update on TIPIP initiative -Update on Superintendent's and Council's priorities -Communicate CAFII issues TBD until CAFII has "direct ask"	Pending Pending
Erin Pearson, Executive Director, Insurance Council of Manitoba:	Sept. 30/14: dinner in Fredericton, NB re: ISI implementation Apr 29/14; Winnipeg, MB	When Erin is in Toronto for CISRO/related meetings	Same as above	Pending
Ontario				
FSCO: Brian Mills, appointed Interim CEO and Superintendent on October 18/14	January 28/15 stakeholder meeting with CCIR November 21/14 at FSCO Life and Health Insurance Symposium	Q1 or Q2 2015: follow-up lunch or dinner	(i)-Introduce CAFII and build/maintain relationship (ii) -next steps, if any, in Life Insurance Product Suitability Review (iii)-LLQP modernization (iv)-enhancing the national CRS (v)-Update on TIPIP initiative (vi)-Update on Superintendent's priorities (vii) "Agency Review" of FSCO's mandate (viii)-communicate CAFII issues	Pending

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Anatol Monid, Interim Executive Director, Licensing and Market Conduct Division	January 28/15 stakeholder meeting with CCIR November 21/14 at FSCO Life and Health Insurance Symposium April 9/14 re: Interim Report of Life Insurance Product Suitability Review	Meeting, if required re (ii)		Pending
Isabel Scovino, appointed Director, Market Conduct Regulation Branch in Nov/14	Nov 21/14 FSCO Life & Health Insurance Symposium Nov. 20/14 Networking Dinner following CLHIA CCOS Seminar Nov 13/14 re: Report on Joint Review (FSCO and AMF) of National Complaint Reporting System (CRS)	Meeting, if required re (iv)	Item (iv) above	Pending
Quebec				
AMF: Louis Morisset, CEO; Patrick Dery, Eric Stevenson, Julien Reid, Stephane Langlois, Louise Gauthier	Apr 8/14: Montreal, QC January 30/15 meeting in Toronto with E. Stevenson and L. Gauthier re (i) and (ii)	October 6/15 CAFII Board meeting hosted by Desjardins in Quebec City	-(i)Further round of AMF consultation re electronic commerce in insurance -(ii)Distribution Guide template and implementation timelines -LLQP modernization -enhancing the national CRS -Update on TIPIP initiative -Update on AMF priorities -Communicate CAFII issues -Maintain and strengthen relationship	Pending

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Atlantic Canada				
Joint Forum of Insurance Regulators (four provinces)	Oct 1/14: Fredericton, NB	spring or fall 2016	TBD	Deferred to 2016
New Brunswick				
Angela Mazerolle, Superintendent of Insurance	Oct 1/14: Fredericton, NB	None in 2015	TBD	Deferred to 2016
David Weir, Deputy Superintendent of Insurance	Oct 1/14: Fredericton, NB	Same as above	TBD	Deferred to 2016
Adam Mitton, Attractiveness Executive, Invest New Brunswick	Jun 3/14: Toronto, ON	Q1 2015 when Adam is in Toronto or, if necessary, in Fredericton	- highlight and discuss CAFII submission re: Insurance Act changes	Pending
Ronald Godin, Consumer Advocate for Insurance (role to be taken over by provincial ombudsman effective Jan 1/15)	No contact			
Charles Murray, New Brunswick ombudsman (apptd. June/13)	No contact to date; assumed insurance consumer advocacy responsibilities on Jan 1/15	Q2 or Q3 2015 meeting with New Brunswick ombudsman	-Introduce CAFII and build relationship -Position CAFII as an information resource -Find out how provincial ombudsman will carry out role formerly held by Consumer Advocate For Insurance	Pending
Nova Scotia				
Doug Murphy, Superintendent	January 28/15 stakeholder meeting with CCIR Oct 1/14: Fredericton, NB	To Be Determined	- possible Insurance Act Review in 2015	Pending

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PEI				
Superintendent Robert Bradley	Oct 1/14: Fredericton, NB	Q2 2015 in PEI or Toronto	-Review of life and accident & sickness provisions of Insurance Act, previously communicated as a definite priority for 2015 -LLQP modernization -Update on TIPIP initiative -Update on Superintendent's priorities -Communicate CAFII issues -Maintain and strengthen relationship	Pending
Newfoundland				
Craig Whalen, Deputy Superintendent	Oct 1/14: Fredericton, NB	None in 2015	N/A	Deferred to 2016
FEDERAL/NATIONAL				
Carol Shevlin, Policy Manager, CCIR *Retiring end of 2015	January 28/15 stakeholder meeting with CCIR May 7/14; Ottawa, ON (informal update)	Liaison lunch with B. Wycks on Feb. 23/15	-Update on CCIR 2014-17 Strategic Plan and related priorities -possible CAFII webinar(s) for CCIR in 2015 -succession plans for CCIR Policy Manager given upcoming retirement -opportunity to thank and acknowledge C. Shevlin at CAFII Reception later in 2015	Confirmed
Carolyn Rogers, CCIR Chair (CEO, FICOM & Superintendent of Insurance)	January 28/15 stakeholder meeting with CCIR Oct 7/14: Toronto, ON	When Carolyn is in Toronto for CCIR/related meetings; or if attending CLHIA Spring Conference, April 29 – May 1 in Quebec City	-Update on CCIR 2014-17 Strategic Plan and related priorities -LLQP modernization -Communicate CAFII issues	Pending
Harry James, Chair, CCIR Working Group on Travel Insurance (Director, Policy Initiatives, BC FICOM)	January 30/15 and December 10/14, CAFII participated in TIPIP meeting with CCIR Working Group on Travel Insurance	Awaiting H. James' confirmation of Working Group's meeting schedule and plans for initial industry survey	-Regulator concerns re travel insurance	Pending

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Ron Fullan Chair (SK); G. Matier (BC); J. Abram (AB), W. Martinson (AB); D. Weir (NB) CISRO	Feb 10/14: Toronto, ON	Stakeholder Information Meeting with CAFII, CLHIA, and LLQP course providers on February 27/15, 10:30 a.m. to Noon at FSCO	-LLQP modernization -possible CISRO Strategic Plan	Confirmed
Jeremy Rudin, Superintendent, Office of the Superintendent of Financial Institutions	No contact – appointed June/14	Q2 2015 “get acquainted” meeting in Ottawa or Toronto	-introduce/educate about CAFII, CGI and alternate distribution -build relationship -invite to be speaker at CAFII Reception in June or October 2015	Pending
Doug Melville, Ombudsman, OBSI	None	None	TBD	Watch/ monitor
Financial Consumer Agency of Canada (FCAC): Lucie Tedesco, Commissioner Brigitte Goulard, Deputy Commissioner Jane Rooney, Financial Literacy Leader Jeremie Ryan, Director, Financial Literacy and Consumer Education Karen Morgan, Marketing Officer	No contact Jun 10/14 Feb 10/15 (presentation at CAFII Annual Luncheon) Feb. 10/15 Jan 9/14	 Q2 2015 (either in-person in Ottawa or Toronto; or via teleconference)	 -CAFII proposed enhancements to FAQs and other content on FCAC web site re creditor insurance -CAFII involvement in consumer financial literacy initiatives, including Financial Literacy Month	 Pending