

## CAFII Regulator and Policy-Maker Visit Plan 2015

Jurisdiction Regulator/Policy-Maker	Last Meeting /Contact	Proposed Meeting	Topics/Purpose	Status Jan 5/15
<b>British Columbia</b>				
Gerry Matier, Executive Director, Insurance Council of BC	Nov. 21/14: roundtable re 10 yr. Review of BC FIA (G. Grant in Vancouver)  Nov 20/14: CLHIA COSS seminar (B. Wycks in Toronto)  May 28/14: Toronto, ON	When Gerry is in Toronto for CISRO/CCIR/related meetings	-10 yr. Review of BC Financial Institutions Act (FIA) -Representation for banks-in-insurance sector on Council -LLQP modernization -Update on TIPIP initiative -Update on Council priorities -Communicate CAFII issues -Maintain and strengthen relationship	Pending
Carolyn Rogers , CEO, FICOM & Superintendent of Insurance (CCIR Chair)	May 6/14; Ottawa, ON  Informal discussion on October 7/14 during CAFII Speaker Reception	January 28/15 stakeholder meeting with CCIR  When Carolyn is in Toronto for CCIR/related meetings	See above	Confirmed
Doug McLean, Deputy Superintendent of Insurance, FICOM	No contact/meeting for at least past two years	When Doug is in Toronto for CCIR/related meetings	See above	Pending
Harry James, Director, Policy Initiatives, FICOM; Chair of CCIR's new Working Group on Travel Insurance	Jul 28/14: call with CAFII reps re: "effecting" CGI  Nov. 21/14: G. Grant chatted with H. James during FIA Review roundtable discussion		See above	Pending
Michael de Jong, Minister of Finance	Nov. 21/14: G. Grant represented CAFII at FIA Review roundtable discussion	If necessary, Q1 or Q2 2015	- follow up on CAFII issues/concerns re: 10-year Review of Financial Institutions Act	Pending

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<b>Alberta</b>				
<b>Alberta Insurance Council:</b> Joanne Abram, CEO;  Ron Gilbertson, Chair (2012-15)  Tom Hampton, COO (retiring at end of 2014)  Warren Martinson, Legal Counsel (member of CISRO LLQP Ctte)	Nov 20/14: CLHIA COSS seminar (B. Wycks); Mar 17/14; Toronto, ON Mar 17/14; Toronto, ON  Jan 8/14: Toronto, ON  Feb 10/14: Toronto, ON	When Joanne is in Toronto for CISRO/CCIR/related meetings	-Representation for Restricted Licence Holders - - Licensing for 3 <sup>rd</sup> party providers: business number registration system -LLQP modernization -Update on TIPIP initiative - Update on Council priorities -Communicate CAFII issues -Maintain and strengthen relationship	Pending
Mark Prefontaine, Superintendent of Insurance	Sep 30/14; Fredericton, NB (informal meeting)	When Mark is in Toronto for CISRO/CCIR/related meetings	See above	Pending
David Sorenson, Deputy Superintendent of Insurance	No contact - <i>appointed Sep 15/14</i>	When David is in Toronto for CISRO/CCIR/related meetings	See above - and introduce CAFII	Pending
Laurie Balfour, Director, Financial Compliance, Insurance Regulation and Market Conduct Branch	Sep 30/14: Fredericton, NB (informal meeting)  Jul 28/14: call with CAFII reps re: "effecting of CGI"	When Laurie is in Toronto for CISRO/CCIR/related meetings	See above	Pending
Robin Campbell, President of Treasury Board and Minister of Finance	No contact – appointed Sep 15/14	TBD	TBD until CAFII has a "direct ask"	Pending
<b>Saskatchewan</b>				
Ron Fullan, Executive Director, Insurance Councils of Saskatchewan and CISRO Chair	Sep 30/14; Fredericton, NB (informal meeting)	When Ron is in Toronto for CISRO/CCIR/related meetings	-Restricted Insurance Agents Advisory Ctte. - LLQP modernization -Update on TIPIP initiative - Update on ICS and CISRO priorities	Pending
April Stadnek, Director of Licensing	Same as above	When April is in Toronto for CISRO/CCIR/related meetings	-Communicate CAFII issues -Maintain and strengthen relationship	Pending

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David Wild, Chairperson and Superintendent of Insurance, Financial Consumer Affairs Authority (FCAA)	-no contact/meeting for at least past two years	Q1 or Q2 2015 meeting in Regina	-introduce CAFII and build relationship <b>-Bill 177, The Insurance Act, posted on SK website on Dec. 8/14. Bill serving as consultation document with industry, with Feb. 16/15 deadline for submissions. When passed and proclaimed, will replace current SK Insurance Act.</b> -ISI: Representation for Restricted Licence Holders -LLQP modernization -Update on TIPIP initiative - Update on Superintendent's priorities -Communicate CAFII issues	Pending
Ian McIntosh, Deputy Superintendent of Insurance	Jul 28/14 call with CAFII reps re: "effecting CGI"	Same as above	See above	Pending
<b>Consultant (ex-Superintendent)</b> Jim Hall <i>*Semi-retired. Jim Hall and Janette Seibel, Lawyer, FCAA, are point persons for review of SK Insurance Act</i>	-no contact/meeting for at least past two years	Same as above	Review and rewrite of SK Insurance Act	Pending
<b>Manitoba</b>				
<b>Ministry of Finance:</b>  Jim Scalena, Superintendent <b>*Retired at end of 2014</b>  Scott Moore, Deputy Superintendent  Jennifer Howard, Minister of Finance – resigned Nov 3/14 Greg Dewar New Minister of Finance	April 29/14; Winnipeg, MB  April 29/14: Winnipeg, MB;  April 29/14; Winnipeg, MB  No contact – appointed Nov/14	When successor or Scott is in Toronto for CISRO/CCIR/related meetings  TBD	-Introduce CAFII and build/maintain relationship -Implementation of ISI regime -Representation for Restricted Licence Holders -Update on Insurance Act Review -LLQP modernization -Update on TIPIP initiative -Update on Superintendent's and Council's priorities -Communicate CAFII issues  TBD until CAFII has "direct ask"	Pending  Pending  Pending
Erin Pearson, Executive Director, Insurance Council of Manitoba:	Sept. 30/14: dinner in Fredericton, NB re: ISI implementation  Apr 29/14; Winnipeg, MB	When Erin is in Toronto for CISRO/CCIR/related meetings	Same as above	Pending

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<b>Ontario</b>				
<b>FSCO:</b>				
Brian Mills, appointed Interim CEO and Superintendent on October 18/14	November 21/14 at FSCO Life and Health Insurance Symposium	Q1 or Q2 2015: follow-up lunch or dinner	(i)-Introduce CAFII and build/maintain relationship (ii) -next steps, if any, in Life Insurance Product Suitability Review (iii)-LLQP modernization (iv)-enhancing the national CRS (v)-Update on TIPIP initiative	Pending
Anatol Monid, Interim Executive Director, Licensing and Market Conduct Division	Nov 21/14 FSCO Life & Health Insurance Symposium  April 9/14 re: Interim Report of Life Insurance Product Suitability Review	Meeting, if required re (ii)	(vi)-Update on Superintendent's priorities (vii) "Agency Review" of FSCO's mandate (viii)-communicate CAFII issues	Pending
Isabel Scovino, appointed Director, Market Conduct Regulation Branch in Nov/14	Nov 21/14 FSCO Life & Health Insurance Symposium  Nov. 20/14 Networking Dinner following CLHIA CCOS Seminar  Nov 13/14 re: Report on Joint Review (FSCO and AMF) of National Complaint Reporting System (CRS)	Meeting, if required	Item (iv) above	Pending



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<b>Nova Scotia</b>				
Doug Murphy, Superintendent	Oct 1/14: Fredericton, NB	To Be Determined	- possible Insurance Act Review in 2015	Pending
<b>PEI</b>				
Superintendent Robert Bradley	Oct 1/14: Fredericton, NB	Q2 2015 in PEI or Toronto	-Review of life and accident & sickness provisions of Insurance Act, previously communicated as a definite priority for 2015 -LLQP modernization -Update on TIPIP initiative -Update on Superintendent's priorities -Communicate CAFII issues -Maintain and strengthen relationship	Pending
<b>Newfoundland</b>				
Craig Whalen, Deputy Superintendent	Oct 1/14: Fredericton, NB	None in 2015	N/A	Deferred to 2016
<b>FEDERAL/NATIONAL</b>				
Carol Shevlin, Policy Manager, <b>CCIR</b> *Retiring end of 2015	May 7/14; Ottawa, ON (informal update)	<b>Jan 2015 informal catch-up lunch with B. Wycks</b>  Jan 28/15 CCIR stakeholder meeting	-Update on CCIR 2014-17 Strategic Plan and related priorities -possible CAFII webinar(s) for CCIR in 2015 -succession plans for CCIR Policy Manager given upcoming retirement -opportunity to thank and acknowledge C. Shevlin at CAFII Reception later in 2015	<b>Pending (awaiting C. Shevlin's invitation reply)</b>  Confirmed
Carolyn Rogers, <b>CCIR Chair</b> (CEO, FICOM & Superintendent of Insurance)	Oct 7/14: Toronto, ON	Jan 28/15 CCIR stakeholder meeting  CAFII webinar(s)	-Update on CCIR 2014-17 Strategic Plan and related priorities -LLQP modernization -Communicate CAFII issues	Confirmed  Pending
Harry James, Chair, <b>CCIR Working Group on Travel Insurance</b> (Director, Policy Initiatives, BC FICOM)	<b>December 10/14, CAFII participated in TIPIP meeting with CCIR Working Group on Travel Insurance</b>	<b>Pending CCIR's approval of Working Group's terms of reference</b>	-Regulator concerns re travel insurance	<b>Pending</b>

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Ron Fullan Chair (SK); G. Matier (BC); J. Abram (AB), W. Martinson (AB); D. Weir (NB) <b>CISRO</b>	Feb 10/14: Toronto, ON	When Ron is in Toronto for CISRO/CCIR/related meetings	-LLQP modernization -possible CISRO Strategic Plan	Pending
Jeremy Rudin, Superintendent, <b>Office of the Superintendent of Financial Institutions</b>	No contact – appointed June/14	Q1 2015 “get acquainted” meeting in Ottawa or Toronto	-introduce/educate about CAFII, CGI and alternate distribution -build relationship <b>-invite to be speaker at CAFII Reception in June or October 2015</b>	Pending
Doug Melville, Ombudsman, <b>OBSI</b>	None	None	TBD	Watch/ monitor
<b>Financial Consumer Agency of Canada (FCAC):</b>				
Lucie Tedesco, Commissioner	No contact	Q1 2015 (either in-person in Ottawa or Toronto; or via teleconference)	-CAFII proposed enhancements to FAQs and other content on FCAC web site re creditor insurance	Pending
Brigitte Goulard, Deputy Commissioner	Jun 10/14			
Jane Rooney, Financial Literacy Leader	No contact	Feb 10/15	Jane Rooney to be guest speaker at CAFII Annual Members’ Luncheon in Toronto	Confirmed
Karen Morgan, Marketing Officer	Jan 9/14			