

## CAFII Regulator and Policy-Maker Visit Plan 2017-18

Jurisdiction Regulator/Policy-Maker	Last Meeting /Contact	Proposed Meeting	Topics/Purpose	Status Feb 14/17
<b>British Columbia</b>				
<b>Insurance Council of BC:</b> Gerry Matier, Executive Director	-Dec 9/16 informal discussion with B. Wycks at CISRO LLQP Session in Toronto - June 29/16 informal discussion with B. Wycks at CISRO LLQP Session in Toronto -Feb. 27/15 breakfast meeting in Toronto	-CAFII 20 <sup>th</sup> Anniversary event: April 5/17	-Council's current view on 10-Year Review of Financial Institutions Act -Representation of alternate distribution/non-resident sellers on Council -Update on Council priorities	-Invitation sent
<b>FICOM:</b> Frank Chong, Acting Superintendent of Financial Institutions (effective August 1/16)	-Dec. 12/16 CAFII Stakeholder Dialogue with CCIR in Toronto -Nov 10/15 in Vancouver re CGI Information Bulletin	-CAFII 20 <sup>th</sup> Anniversary event: April 5/17	-Monitoring for appt. of interim or permanent FICOM CEO successor -FICOM Information Bulletin on CGI ('effecting' of CGI in BC issue) -10 yr. Review of BC Financial Institutions Act (if appropriate)	-Invitation sent  -ED and EOC to monitor re need for meeting/ dialogue around any member or industry issues re compliance with CGI Info Bulletin
Doug McLean, Deputy Superintendent of Insurance	-No contact/meeting for at least past three years	-None at this time	-see F. Chong above	-see F. Chong above
Chris Carter, Acting Superintendent, Real Estate and Acting Registrar, Mortgage Brokers (effective August 1/16)	-April 14/16 teleconference re CAFII follow-up letter - Follow-up letter, seeking clarification on CGI Info Bulletin key issues, sent March 15/16 - Nov 10/15 in Vancouver re CGI Information Bulletin	-None at this time	- see F. Chong above	-see F. Chong above

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Lorena Dimma, Director, Market Conduct (assuming Harry James' former policy advisor role re 'effecting' of CGI issue)	-April 14/16 teleconference re CAFII's March 15/16 follow-up letter on CGI Info Bulletin	-None at this time	-See F. Chong above	-see F. Chong above
Harry James, Senior Regulatory Advisor (Chair of CCIR's Travel Insurance Working Group)	-Dec. 8/16 cordial reply email to E. Fang, agreeing with CAFII's request that auto dealers are creditors for a moment-in-time issue be closed. -April 14/16 teleconference re CAFII's March 15/16 follow-up letter on CGI Info Bulletin	-None at this time	-See F. Chong above. Although H. James is supposed to have handed the CGI Information Bulletin file to L. Dimma and C. Carter, he did most of talking in April 14/16 teleconference; so CAFII will continue to include Harry in discussions on "effecting" issue.	-see F. Chong above
Molly Burns, Analyst, Policy Initiatives	-April 14/16 teleconference re CAFII's March 15/16 follow-up letter on CGI Info Bulletin -Nov 10/15 in Vancouver	-None at this time	-See F. Chong above	-see F. Chong above
Erin Morrison, Regulatory Analyst, Policy Initiatives	-August 10/16 in Toronto, accompanied Harry James and participated in CCIR TIWG meeting with CAFII	-None at this time	-See F. Chong above	-see F. Chong above
<b>Ministry of Finance:</b> Michael de Jong, Minister of Finance	-Nov. 21/14: G. Grant represented CAFII at FIA Review roundtable discussion hosted by Minister	-None at this time	TBD until CAFII has a "direct ask" at Ministerial level	
Dan Ashton, Parliamentary Secretary for Finance (elected MLA)	-November 10/15 in Vancouver, along with Elizabeth Cole	-If necessary, follow-up meeting in Q2 or Q3 2017 in Vancouver, after Policy Paper released	-Update on 10-year Review of Financial Institutions Act: follow-up on CAFII issues/concerns including recommendations of other stakeholders	Pending (see E. Cole below)

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Elizabeth Cole, Executive Director, Strategic Projects & Policy (head of 10-Year Review of FIA)	-June 23/16 telephone conversation with B. Wycks re updates on expected release date of Policy Paper and other FIA Review timelines -November 10/15 in Vancouver along with Dan Ashton; and separate meeting along with Brian Dillon and Kari Toovey	-If necessary, follow-up meeting in Q2 or Q3 2017 in Vancouver, after Policy Paper is released		Pending Ministry of Finance's release of Policy Paper
Brian Dillon, Director, Financial Institutions  Kari Toovey, Senior Policy Advisor		-See E. Cole above  -See E. Cole above		Pending (see E. Cole above) Pending (see E. Cole above)
<b>Alberta</b>				
<b>Alberta Insurance Council:</b> Joanne Abram, CEO;	-December 21/16 letter to CAFII confirming definition of CGI critical illness insurance approved by Life Council to include selling of CI under existing RIA licence -Oct 31/16 CAFII submission of letter proposing definition of CGI critical illness insurance to be adopted for inclusion under existing RIA licence -Sept 16 & 28/16 and Oct 11/16 e-mail correspondence with B. Wycks re LIC decision on allowing CI to be sold under an RIA licence	-CAFII 20 <sup>th</sup> Anniversary event: April 5/17  -if necessary, Q1 or Q2 2017 teleconference or in-person meeting with AIC management or LIC	-AIC's implementation of approved definition and process for CI to be sold under existing RIA licence  -Representation for Restricted Licence Holders on Life Insurance Council	Invitation sent  Pending

Jurisdiction Regulator/Policy-Maker	Last Meeting /Contact	Proposed Meeting	Topics/Purpose	Status Feb 14/17
	<ul style="list-style-type: none"> <li>-Sept 12/16 teleconference with G. Grant and B. Wycks</li> <li>-July 12/16 teleconference with G. Grant and B. Wycks</li> <li>-May 9/16 review update e-mail from J. Abram to B. Wycks</li> <li>-Feb. 10/16 CAFII letter to J. Abram</li> <li>- Jan/16 and Oct./15 e-mail exchanges with J. Abram</li> </ul>			
Anthonet Maramieri, COO (succeeded Tom Hampton at beginning of 2015)	<ul style="list-style-type: none"> <li>-Sept 12/16 teleconference with G. Grant and B. Wycks</li> <li>-July 12/16 teleconference with G. Grant and B. Wycks</li> <li>- April 11/16 informal discussion with B. Wycks, at CISRO LLQP Info Session</li> <li>- Feb 27/15: Toronto: B. Wycks met A. Maramieri and had get acquainted chat at CISRO LLQP Stakeholder Info Session</li> </ul>	-See J. Abram above	-See J. Abram above	Pending (see J. Abram above)
Warren Martinson, Legal Counsel	<ul style="list-style-type: none"> <li>-May/June 2016 telephone discussion with J. McCutcheon (on CAFII's behalf) re legislative and regulatory underpinnings of selling of CI benefits as credit-related insurance under an RIA licence</li> <li>-Feb 10/14: Toronto, ON (with B. Wycks, as W. Martinson then on LLQP Governance Ctte.)</li> </ul>	-See J. Abram above	-See J. Abram above	Pending (see J. Abram above)

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<b>Treasury Board and Ministry of Finance:</b>  Nilam Jetha, Acting Superintendent of Insurance (one year interim role)  Mark Prefontaine, Superintendent of Insurance (seconded to Senior Asst. Deputy Minister for one year, effective Jan. 11/16)	No contact – appointed Jan 11/16 (congratulatory letter sent Feb. 1/16)  -Sep 30/14; Fredericton, NB (informal meeting)	-CAFII 20 <sup>th</sup> Anniversary event: April 5/17  -Not applicable at this time	- Update on Superintendent’s priorities -Communicate CAFII issues; build and strengthen relationship	Invitation sent
David Sorensen, Deputy Superintendent of Insurance	No face-to-face contact to date - <i>appointed Sep 15/14</i>	-None at this time	See N. Jetha above	
Laurie Balfour, Director, Financial Compliance, Insurance Regulation and Market Conduct Branch (Chair of CCIR Insurance Core Principles Implementation Ctte)	-Sep 30/14: Fredericton, NB (informal meeting) -Jul 28/14: call with CAFII reps re: “effecting of CGI”	-None at this time	-See N. Jetha above	
Joe Ceci, President of Treasury Board and Minister of Finance	No contact – appointed May 24/15	-None at this time	TBD until CAFII has a “direct ask” at Ministerial level	
<b>Saskatchewan</b>				
<b>Insurance Councils of Saskatchewan:</b> Ron Fullan, Executive Director, (CISRO Chair)	-Dec. 12/16 CAFII Stakeholder Dialogue with CCIR in Toronto -Dec 9/16 informal discussion with B. Wycks at CISRO LLQP Session	-CAFII 20 <sup>th</sup> Anniversary event: April 5/17	-timing of renewed focus on establishing a Restricted Insurance Agents Advisory Committee  -ICS-relevant aspects of implementation of new Saskatchewan Insurance Act and Regulations  -Sask. RIA regime and licensure issues	Invitation sent

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	<ul style="list-style-type: none"> <li>-June 29/16 informal conversation with B. Wycks at CISRO LLQP Info Session</li> <li>-April 11/16 informal conversation with B. Wycks, at CISRO LLQP Info Session</li> <li>- Oct. 27/15: R. Fullan gave dedicated CISRO LLQP Stakeholder Info presentation for CAFII members</li> </ul>			
April Stadnek, Director of Licensing	<ul style="list-style-type: none"> <li>-Sept 22/15 CISRO LLQP Stakeholder Info Session in Toronto (B. Wycks)</li> <li>- Sep 30/14; Fredericton, NB (informal meeting)</li> <li>- November/13 in Toronto when April attended CLHIA CCOSS Seminar</li> </ul>	-None at this time	-See R. Fullan above	
<b>Financial Consumer Affairs Authority (FCAA):</b> Roger Sobotkiewicz, former Director of FCAA Legal Branch, became Interim Chairperson and Superintendent of Insurance, effective Feb. 1/15	-no previous contact; congratulatory letter on appointment sent March 4/15	-see J. Seibel below	<ul style="list-style-type: none"> <li>-see J. Seibel below; and</li> <li>-introduce CAFII and build relationship</li> <li>-Regulations being developed following passage of <i>Bill 177, The Insurance Act (Saskatchewan)</i></li> <li>-ISI: Representation for Restricted Licence Holders</li> <li>- Update on Superintendent's priorities</li> </ul>	Pending (see J. Seibel below)
Ian McIntosh, Deputy Superintendent of Insurance	-Jul 28/14 call with CAFII reps re: "effecting CGI"	-see J. Seibel below	<ul style="list-style-type: none"> <li>-see J. Seibel below; and</li> <li>-see R. Sobotkiewicz above</li> </ul>	Pending (see J. Seibel below)

Manitoba

Jurisdiction Regulator/Policy-Maker	Last Meeting /Contact	Proposed Meeting	Topics/Purpose	Status Feb 14/17
<p>Barbara Palace Churchill, Executive Director, Insurance Council of Manitoba (appointed late November 2016)</p> <p>Erin Pearson, former Executive Director, Insurance Council of Manitoba (<b>retired at end of 2016</b>)</p>	<p>-congratulatory letter on appointment sent January 2017</p> <p>-Sept. 23/16 email exchange with B. Wycks re amendments to Regulation 389/87 which ICM's ISI Committee recommended to Government re incorporating other organizations and other types of insurance into prescribed regulatory framework for RIA licence regime</p> <p>-Oct. 8/15 at CLHIA CCOS Fall Seminar (B. Wycks)</p> <p>-Sept. 30/14: dinner in Fredericton, NB re: ISI implementation</p>	<p>-CAFII 20<sup>th</sup> Anniversary event: April 5/17</p> <p><b>-Q2 2017 in-person, get acquainted meeting in Winnipeg – either joint with Scott Moore, Deputy Superintendent of Insurance, or separate -- once K. Martin, CAFII Co-Executive Director, is fully acclimatized</b></p>	<p>-Introduce CAFII and build/maintain relationship</p> <p>-Representation for Restricted Licence Holders on Life Insurance Council</p> <p>-Insurance Council's "ISI items for further review and development"</p> <p>-communicate CAFII issues</p>	<p>Invitation sent</p> <p><b>Pending</b></p>
<b>Ontario</b>				
<p><b>FSCO:</b></p> <p>Brian Mills, appointed Interim CEO and Superintendent on October 18/14. Will likely be FSCO's last CEO, as Ontario Government transitions to a new Financial Services Regulatory Authority (FSRA) over next two years (2017 and 2018), as stated by B. Mills at FSCO Symposium on November 25/16.</p>	<p>-Dec. 12/16 CAFII Stakeholder Dialogue with CCIR in Toronto - January 28/15 stakeholder meeting with CCIR</p>	<p>-CAFII 20<sup>th</sup> Anniversary event: April 5/17</p>	<p>(i)Build/maintain relationship</p> <p>(ii) Ontario government review of FSCO's mandate</p> <p>(iii) next steps in Life Insurance Product Suitability Review</p> <p>(iv)CCIR review of travel health insurance</p> <p>(v)Update on Superintendent's priorities</p> <p>(vi)communicate CAFII issues</p>	<p>Invitation sent</p>



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Anatol Monid, Interim Executive Director, Licensing and Market Conduct Division	-June 7/16, December 8/15 and June 9/15: informal update conversations with A. Monid at CAFII Reception events  -January 28/15 stakeholder meeting with CCIR	-CAFII 20 <sup>th</sup> Anniversary event: April 5/17	-next steps in Life Insurance Product Suitability Review -other FSCO initiatives re life insurance agent and/or insurer compliance	Invitation sent
Izabel Scovino, appointed Director, Market Conduct Regulation Branch in Nov/14	-conversation with B. Wycks at Dec. 12/16 CAFII Stakeholder Dialogue with CCIR in Toronto Oct. 8/15 at CLHIA CCOS Fall Seminar (B. Wycks) -Nov 21/14 at FSCO Life & Health Insurance Symposium	-CAFII 20 <sup>th</sup> Anniversary event: April 5/17	-see A. Monid above	Invitation sent

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Heather Driver Director, Licensing Branch Licensing and Market Conduct Division (assumed position in Jan 2016, following retirement of Shonna Neil)	-conversation with B. Wycks at Dec. 12/16 CAFII Stakeholder Dialogue with CCIR in Toronto -Oct. 14/16 email exchange with B. Wycks following CAFII's delivery of input, requested by FSCO in Oct. 7/16 meeting, re optimal wording to use in FSCO communications re life insurance agent and insurer relationship -Oct. 7/16 meeting with CAFII reps, at FSCO's invitation, re FSCO initiatives around life agent and insurer compliance, particularly re E&O insurance (also attended by Richard Tillman, Allan Amos, Kelly Picard, and Abina Rogers of FSCO)	-CAFII 20 <sup>th</sup> Anniversary event: April 5/17	-see A. Monid above	Invitation sent
Richard Tillman, Senior Manager, Insurance and Deposit Institutions Policy	-conversation with B. Wycks at Dec. 12/16 CAFII Stakeholder Dialogue with CCIR in Toronto - Oct. 7/16 meeting with CAFII reps re life agent and insurer compliance	-CAFII 20 <sup>th</sup> Anniversary event: April 5/17	-see A. Monid above	Invitation sent

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Reena Vora, Manager, Market Regulation Branch, Licensing and Market Conduct Division	-December 8/16 with CAFII reps for feedback on draft questionnaire for life insurer examination visits (one pilot test visit planned in Q1 2017), as next phase in Life Insurance Product Suitability Review	-CAFII 20 <sup>th</sup> Anniversary event: April 5/17	-Life insurer examination visits	Invitation sent
<b>Ministry of Finance</b>				
-Sandy Roberts, Director, FSRA Implementation Secretariat (appointed Nov 2016)	-congratulatory letter on appointment sent January 2017	-CAFII 20 <sup>th</sup> Anniversary event: April 5/17	-Ontario Government's replacement of FSCO with a new Financial Services Regulatory Authority (FSRA) over next two years (2017 and 2018); and Regulations to implement FSRA	Invitation sent
-Stuart Wilkinson, Senior Manager, FSRA Implementation Secretariat (transferred from previous MOF role in Nov 2016)	-June 2016 CAFII Reception (regular attendee at CAFII Receptions)	-CAFII 20 <sup>th</sup> Anniversary event: April 5/17	-	Invitation sent
-David McLean, Policy Advisor	-June 7/16 and April 12/16 informal conversations with B. Wycks at CAFII Receptions -July 30/15 life & health insurance sector roundtable -May 21/15 informal meeting: insurance sector round table			Awaiting release of FSRA Regulations and opportunity for stakeholder input

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<b>Quebec</b>				
<b>AMF:</b> Louis Morisset, CEO;	-AMF 2016 Rendez-Vous on November 14/16 in Montreal	-CAFII liaison lunch and Industry Issues Dialogue with AMF in Oct. 2017	-(i)draft omnibus Bill to modernize Quebec's financial services sector, including Distribution Act (expected release by Ministry of Finance in Q4 2016)	Pending
	-Oct. 4/16 in Montreal: CAFII liaison lunch and Industry Issues Dialogue with AMF	-AMF 2017 Rendez-Vous event in November 2017	-(ii)AMF final paper on electronic commerce in insurance, setting out Orientations/expectations	Pending
	-Apr 8/14: CAFII liaison lunch and Industry Issues Dialogue with AMF in Montreal		-(iii)Distribution Guide template and implementation timelines	
			-(iv)Update on AMF priorities	
Patrick Dery, Superintendent, Solvency (appointed CCIR Chair effective April 1/15)			-(v)Communicate CAFII issues	
			-(vi)Maintain and strengthen relationship	
	-Dec. 12/16 CAFII Stakeholder Dialogue with CCIR in Toronto -	-See L. Morisset above	-see L. Morisset above	Pending
	Oct. 4/16 in Montreal: CAFII liaison lunch and Industry Issues Dialogue with AMF			
Eric Stevenson, Superintendent, Client Services and Distribution Oversight	-Liaison lunch and industry issues dialogue on October 6/15 in Levis, Quebec			
	-AMF 2016 Rendez-Vous on November 14/16 in Montreal	-CAFII 20 <sup>th</sup> Anniversary event: April 5/17	-See L. Morisset above	Invitation sent
	-Oct. 4/16 in Montreal: CAFII liaison lunch and Industry Issues Dialogue with AMF	-See L. Morisset above		Pending
	-Liaison lunch and industry issues dialogue on October 6/15 in Levis, Quebec			

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Louise Gauthier, Senior Director, Distribution Policies and Compensation (member of CCIR TIWG). Lead on AMF's Distribution Guide rewrite initiative.	-AMF 2016 Rendez-Vous on November 14/16 in Montreal -Oct. 4/16 in Montreal: CAFII liaison lunch and Industry Issues Dialogue with AMF -August 10/16 CAFII stakeholder meeting re CCIR travel health insurance Issues Paper -Liaison lunch and industry issues dialogue on October 6/15 in Levis, Quebec	-CAFII 20 <sup>th</sup> Anniversary event: April 5/17  -See L. Morisset above	-See L. Morisset above; and  -Distribution Guide rewrite	Invitation sent  Pending
Maryse Pineault, Senior Director, Distribution Framework	-Dec 9/16 informal discussion with B. Wycks at CISRO LLQP Session in Toronto -AMF 2016 Rendez-Vous on November 14/16 in Montreal	CAFII 20 <sup>th</sup> Anniversary event: April 5/17	-see L. Gauthier above	Invitation sent
<b>Ministry of Finance</b>  Carlos Leitao, Minister  Richard Boivin, Assistant Deputy Minister, Financial Institution Policy and Corporate Law  Guillaume Caudron, Chief of Staff  Yann Nachabé, Policy Advisor	      -Feb. 25/16 phone call between B. Wycks and Yann Nachabé, Policy Advisor, Ministry of Finance, resulting from CAFII request for April 2016 in-person follow-up meeting with Richard Boivin and Guillaume Caudron	  -in-person or teleconference meeting with Ministry officials coincident with consultation period on Omnibus Bill expected in Q1 or Q2 2017, if necessary	  -Omnibus Bill expected to modernize financial sector, incl. the Distribution Act (Minister Leitao in response to question at legislative committee meeting on April 28/16) -Ministry's direction on online distribution of insurance without involvement of an advisor -CAFII submission in response to Report on the Application of the Act respecting the distribution of financial products and service	  -Pending release of Omnibus Bill    -Request politely withdrawn, based on reassuring information from Y. Nachabé

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<b>New Brunswick</b>				
Financial and Consumer Services Commission (Insurance Division): Angela Mazerolle, Superintendent of Insurance	-Dec. 12/16 CAFII Stakeholder Dialogue with CCIR in Toronto - May 4/16 dinner meeting with Atlantic Canada regulators in Halifax -Oct 1/14: Fredericton, NB	-Q1 or Q2 2017 CAFII webinar  -CAFII 20 <sup>th</sup> Anniversary event: April 5/17	-refreshed "Seven Point Guide to The Creditor Insurance Regulatory Regime," to be presented by CAFII as an educational resource to counteract false impression that ISI is unregulated -implementation of further phases of online licensing system -legislative/regulatory change to support electronic beneficiary designations -other New Brunswick licensing issues	-Pending  Invitation sent
David Weir, Deputy Superintendent of Insurance	-Dec 9/16 informal discussion with B. Wycks at CISRO LLQP Session in Toronto -May 4/16 dinner meeting with Atlantic Canada regulators in Halifax - April 11/16 informal discussion with B. Wycks, at CISRO LLQP Info Session - Feb. 19/16 re CAFII feedback on online insurance licensing system - Sept. 22/15 CISRO LLQP Info Session in Toronto (B. Wycks) -Oct 1/14: Fredericton, NB	-see A. Mazerolle above  -CAFII 20 <sup>th</sup> Anniversary event: April 5/17	-see A. Mazerolle above -timing of final recommendations on reforming licensing framework for other-than-life agents and brokers -CAFII feedback on New Brunswick online insurance licensing system	-Pending (see A. Mazerolle above)  Invitation sent
<b>Opportunities New Brunswick:</b> Jay Reid, Investment Attraction Officer	-Jun 3/14: Toronto, with Adam Mitton of predecessor organization Invest New Brunswick	-None at this time	- CAFII submission re: Insurance Act and regulatory process changes necessary to support business efficiency and further inbound investment and additional jobs in New Brunswick -Introduce CAFII and build relationship -Position CAFII as an information resource	

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<b>Consumer Advocate for Insurance:</b> Ronald Godin, Consumer Advocate	No contact	-None at this time		
<b>Nova Scotia</b>				
<b>Superintendent of Insurance:</b> William Ngu, Acting Superintendent of Insurance	-May 4/16 dinner meeting with Atlantic Canada regulators in Halifax -Appointed June 2015; no previous CAFII contact	-Q1 or Q2 2017 webinar  -CAFII 20 <sup>th</sup> Anniversary event: April 5/17	-refreshed “Seven Point Guide to The Creditor Insurance Regulatory Regime,” to be presented by CAFII as an educational resource to counteract false impression that ISI is unregulated -Review of life and accident & sickness provisions of Insurance Act -legislative/regulatory change to support electronic beneficiary designations -Update on Superintendent’s priorities -Communicate CAFII issues; build and strengthen relationship	-Pending  Invitation sent
Jennifer Calder, Deputy Superintendent of Insurance	-Dec. 12/16 CAFII Stakeholder Dialogue with CCIR (participated by phone) -August 10/16 CAFII stakeholder meeting re CCIR travel health insurance Issues Paper (participated by teleconference) -May 4/16 dinner meeting with Atlantic Canada regulators in Halifax	-See W. Ngu above  -CAFII 20 <sup>th</sup> Anniversary event: April 5/17	-See W. Ngu above	-Pending (see W. Ngu above)  Invitation sent

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<b>PEI</b>				
<b>Superintendent of Insurance:</b> Robert Bradley, Superintendent	-Dec. 12/16 CAFII Stakeholder Dialogue with CCIR in Toronto  -Oct 1/14: Fredericton, NB	-Q1 or Q2 2017 CAFII webinar  -CAFII 20 <sup>th</sup> Anniversary event: April 5/17	-refreshed "Seven Point Guide to The Creditor Insurance Regulatory Regime," to be presented by CAFII as an educational resource to counteract false impression that ISI is unregulated -Review of life and accident & sickness provisions of Insurance Act (on April 23/15, R. Bradley advised that this may get underway in late 2015) -legislative/regulatory change to support electronic beneficiary designations -Update on Superintendent's priorities -Communicate CAFII issues -Maintain and strengthen relationship	-Pending  Invitation sent
<b>Newfoundland</b>				
<b>Superintendent of Insurance:</b> John O'Neill, Superintendent   Craig Whalen, Deputy Superintendent of Insurance	-N/A; appointed in October 2015   -Oct 1/14: Fredericton, NB	-Q1 or Q2 2017 CAFII webinar  -CAFII 20 <sup>th</sup> Anniversary event: April 5/17  -see J. O'Neill above  -CAFII 20 <sup>th</sup> Anniversary event: April 5/17	-refreshed "Seven Point Guide to The Creditor Insurance Regulatory Regime," to be presented by CAFII as an educational resource to counteract false impression that ISI is unregulated   -legislative/regulatory change to support electronic beneficiary designations -Update on Superintendent's priorities -Communicate CAFII issues; build and strengthen relationship	-Pending  Invitation sent   -Pending (see J. O'Neill above)  Invitation sent



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FEDERAL/NATIONAL				
CCIR:			-Annual Statement on Market Conduct (Martin) -Framework for Cooperative Market Conduct Supervision (Martin) -attendance of CCIR members at CAFII 20 <sup>th</sup> Anniversary celebration in 2017 -Possible CCIR speakers/panelists at CAFII events -Update on CCIR 2014-17 Strategic Plan and related priorities -Communicate CAFII issues; and maintain and strengthen relationship -possible CAFII webinar(s) for CCIR audience -updates on Annual Statement on Market Conduct	Confirmed
Martin Boyle, Policy Manager	-Dec. 12/16 CAFII Stakeholder Dialogue with CCIR in Toronto -November 29/16 CAFII Holiday Season Reception -October 24/16 CAFII liaison lunch with CCIR Policy Managers -Sept 7/16 informal discussion with B. Wycks at retirement reception for L. Byrnes -August 10/16 CAFII stakeholder meeting re CCIR travel health insurance Issues Paper -May 19/16 CCIR ICPiC teleconference/webinar meeting with CAFII members (L. Balfour and M. Boyle) -May 4/16 lunch meeting in Halifax (with C. Rogers and S. Jacobs) - April 12/16 CAFII Reception -April 12/16 liaison lunch meeting between CAFII and M. Boyle and S. Jacobs	-March 1/17 CCIR webinar presentation for CAFII members on CCIR expectations for insurer completion of Annual Statement on Market Conduct (being arranged through M. Boyle; to be presented by L. Balfour and/or M. Boyle)  -CAFII 20 <sup>th</sup> Anniversary event: April 5/17  -liaison lunch in second week of April 2017, following CCIR Spring Meeting, April 6-7/17  -liaison meeting during CLHIA Annual Conference, May 3-5/17 in London, Ontario, if Martin is attending		Invitation sent  Pending  Pending

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Sean Jacobs, Policy Manager	<ul style="list-style-type: none"> <li>-Dec. 12/16 CAFII Stakeholder Dialogue with CCIR in Toronto</li> <li>-November 29/16 CAFII Holiday Season Reception</li> <li>-October 24/16 CAFII liaison lunch with CCIR Policy Managers</li> <li>-Sept 7/16 informal discussion with B. Wycks at retirement reception for L. Byrnes</li> <li>-August 10/16 CAFII stakeholder meeting re CCIR travel health insurance Issues Paper</li> <li>-July 7/16 CAFII webinar presentation by Lesli Martin, Pollara, on Canadians' perceptions, confidence, and satisfaction with travel medical insurance</li> <li>-June 7/16 informal dialogue at CAFII Reception</li> <li>-May 4/16 lunch meeting in Halifax (with C. Rogers and M. Boyle)</li> <li>- April 12/16 liaison lunch meeting between CAFII and M. Boyle and S. Jacobs</li> <li>-March 16/16 CAFII/CLHIA joint stakeholder meeting with CCIR Travel Insurance Working Group</li> </ul>	<ul style="list-style-type: none"> <li><b>-February 22/17 CAFII stakeholder meeting with CCIR Travel Insurance Working Group</b></li> <li>-CAFII 20<sup>th</sup> Anniversary event: April 5/17</li> <li>-liaison lunch in second week of April 2017, following CCIR Spring Meeting, April 6-7/17</li> <li><b>-liaison meeting during CLHIA Annual Conference, May 3-5/17 in London, Ontario, if Sean is attending</b></li> </ul>	<ul style="list-style-type: none"> <li><b>-draft recommendations to be put forward in TIWG's Position Paper (expected May 2017)</b></li> <li>-CCIR review of travel health insurance</li> <li>-See M. Boyle above</li> </ul>	<p><b>Confirmed</b></p> <p>Invitation sent</p> <p>Pending</p> <p><b>Pending</b></p>

Jurisdiction Regulator/Policy-Maker	Last Meeting /Contact	Proposed Meeting	Topics/Purpose	Status Feb 14/17
Patrick D�ry, Chair (Superintendent, Solvency, AMF)	<ul style="list-style-type: none"> <li>-Dec. 12/16 CAFII Stakeholder Dialogue with CCIR in Toronto</li> <li>-Oct. 4/16 in Montreal: CAFII liaison lunch and Industry Issues Dialogue with AMF</li> <li>-October 6/15: CAFII liaison lunch and industry issues dialogue with AMF</li> <li>-April 29/15 meeting in Quebec City, along with CCIR Vice-Chair Carolyn Rogers</li> </ul>	<ul style="list-style-type: none"> <li>-CAFII 20<sup>th</sup> Anniversary event: April 5/17</li> <li><b>-liaison meeting during CLHIA Annual Conference, May 3-5/17 in London, Ontario, if Patrick is attending</b></li> </ul>	<ul style="list-style-type: none"> <li>-CCIR review of travel health insurance</li> <li>-Update on CCIR 2017-20 Strategic Plan and related priorities</li> <li>-Communicate CAFII issues; maintain and strengthen relationship</li> </ul>	<p>Invitation sent</p> <p><b>-Pending</b></p>
Harry James, Chair, CCIR Travel Insurance Working Group (TIWG)	<ul style="list-style-type: none"> <li>-August 10/16 CAFII stakeholder meeting re CCIR travel health insurance Issues Paper</li> <li>-March 16/16 CAFII/CLHIA joint meeting with CCIR Travel Insurance Working Group</li> <li>-March 24/15 meeting with CAFII EOC re travel health insurance review initiative</li> </ul>	<ul style="list-style-type: none"> <li><b>-February 22/17 CAFII stakeholder meeting with CCIR Travel Insurance Working Group</b></li> <li>-CAFII 20<sup>th</sup> Anniversary event: April 5/17</li> <li><b>-liaison meeting during CLHIA Annual Conference, May 3-5/17 in London, Ontario, if Harry is attending</b></li> <li>-If necessary, Q2 or Q3 2017 meeting with Harry and TIWG members</li> </ul>	<ul style="list-style-type: none"> <li><b>-draft recommendations to be put forward in TIWG's Position Paper (expected May 2017)</b></li> <li>-CCIR Travel Health Insurance Products Position Paper and CAFII response to same</li> </ul>	<p><b>Confirmed</b></p> <p>Invitation sent</p> <p><b>Pending CCIR release of Travel Health Insurance Products Position Paper (expected May 2017)</b></p>

[illegible]

Jurisdiction Regulator/Policy-Maker	Last Meeting /Contact	Proposed Meeting	Topics/Purpose	Status Feb 14/17
<b>CISRO:</b> Ron Fullan, Chair (SK); G. Matier (BC); J. Abram (AB), W. Martinson (AB); D. Weir (NB)	-Dec 9/16 informal discussion with B. Wycks at CISRO LLQP Session in Toronto -Dec. 12/16 CAFII Stakeholder Dialogue with CCIR in Toronto -August 10/16 CAFII stakeholder meeting re CCIR travel health insurance Issues Paper (participated by teleconference) -June 29/16 informal conversation with B. Wycks at CISRO LLQP Info Session - April 11/16 CISRO LLQP Stakeholder Info Session -March 16/16 CAFII/CLHIA joint stakeholder meeting with CCIR Travel Insurance Working Group	-CAFII 20 <sup>th</sup> Anniversary event: April 5/17	-national, online licensing system for insurance and related harmonization issues -possible CISRO Strategic Plan and opportunity for stakeholders to provide input	Invitation sent
<b>Financial Consumer Agency of Canada (FCAC):</b> Lucie Tedesco, Commissioner	-May 1/15: B. Wycks made self-introduction and chatted with L. Tedesco, following her speech at CLHIA Conference	-CAFII 20 <sup>th</sup> Anniversary event: April 5/17	-FCAC consultation on its Proposed Supervision Framework and Publishing Principles for FCAC Decisions (released September 29/16 with November 14/16 deadline for submissions) of document expected). CAFII decided not to respond to this consultation, as out-of-scope	Invitation sent
Brigitte Goulard, Deputy Commissioner	-Jun 10/14: B. Goulard was speaker at CAFII event	-CAFII 20 <sup>th</sup> Anniversary event: April 5/17		Invitation sent
Jane Rooney, Financial Literacy Leader	-Feb 10/15 (presentation at CAFII Annual Luncheon)	-CAFII 20 <sup>th</sup> Anniversary event: April 5/17		Invitation sent
Jeremie Ryan, Director, Financial Literacy and Consumer Education	-Feb. 10/15			
Karen Morgan, Marketing Officer	-Jan 9/14			