

## CAFII Regulator and Policy-Maker Visit Plan 2015

Jurisdiction Regulator/Policy-Maker	Last Meeting /Contact	Proposed Meeting	Topics/Purpose	Status March 26/15
<b>British Columbia</b>				
Gerry Matier, Executive Director, Insurance Council of BC	Feb. 27/15 meeting in Toronto addressed review of BC FIA; representation for banks-in- insurance on Insurance Council; new CE requirements for new licensees; LLQP support; CCIR travel insurance initiative	When Gerry is in Toronto for CISRO/CCIR/related meetings	-10 yr. Review of BC Financial Institutions Act (FIA) -Representation for banks-in-insurance sector on Council -LLQP modernization -Update on Council priorities -Update on CCIR travel insurance review -Communicate CAFII issues -Maintain and strengthen relationship	Completed
Carolyn Rogers , CEO, FICOM & Superintendent of Insurance (CCIR Chair)	January 28/15 stakeholder meeting with CCIR  Informal discussion on October 7/14 during CAFII Speaker Reception  May 6/14; liaison dinner in Ottawa	<b>Dinner meeting in Quebec City on April 28/15 or April 29/15, in connection with CLHIA Conference;</b> or when Carolyn is in Toronto for CCIR/related meetings	See above	<b>Pending</b>
Doug McLean, Deputy Superintendent of Insurance, FICOM	No contact/meeting for at least past two years	When Doug is in Toronto for CCIR/related meetings	See above	Pending
Harry James, Director, Policy Initiatives, FICOM; Chair of CCIR's new Working Group on Travel Insurance	January 28/15 stakeholder meeting with CCIR  Nov. 21/14: G. Grant chatted with H. James during FIA Review roundtable discussion  Jul 28/14: call with CAFII reps re: "effecting" CGI	March 24/15 meeting with CAFII EOC	See above	Completed

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Michael de Jong, Minister of Finance	Nov. 21/14: G. Grant represented CAFII at FIA Review roundtable discussion	If necessary, Q1 or Q2 2015	- follow up on CAFII issues/concerns re: 10-year Review of Financial Institutions Act; announcement re formal consultation phase of FIA Review expected imminently	Pending
<b>Alberta</b>				
<b>Alberta Insurance Council:</b> Joanne Abram, CEO;  Ron Gilbertson, Chair (2012-15)  Anthonet Maramieri, COO (succeeded retired Tom Hampton at beginning of 2015)  Warren Martinson, Legal Counsel (member of CISRO LLQP Ctte)	Nov 20/14: CLHIA COSS seminar (B. Wycks); Mar 17/14; Toronto, ON  Mar 17/14; Toronto, ON  Feb 27/15: Toronto: B. Wycks met A. Maramieri and had get acquainted chat at CISRO LLQP Stakeholder Info Session  Feb 10/14: Toronto, ON	When Joanne, Anthonet, or Warren is in Toronto for CISRO/CCIR/related meetings	-Representation for Restricted Licence Holders - - Licensing for 3 <sup>rd</sup> party providers: business number registration system -LLQP modernization -Update on CCIR travel insurance review - Update on Council priorities -Communicate CAFII issues -Maintain and strengthen relationship	Pending       Completed
Mark Prefontaine, Superintendent of Insurance	Sep 30/14; Fredericton, NB (informal meeting)	When Mark is in Toronto for CCIR/related meetings; or if attending CLHIA Spring Conference, April 29-May 1 in Quebec City	See above	Pending
David Sorensen, Deputy Superintendent of Insurance	No contact - <i>appointed Sep 15/14</i>	When David is in Toronto for CCIR/related meetings	See above - and introduce CAFII	Pending
Laurie Balfour, Director, Financial Compliance, Insurance Regulation and Market Conduct Branch	Sep 30/14: Fredericton, NB (informal meeting)  Jul 28/14: call with CAFII reps re: "effecting of CGI"	When Laurie is in Toronto for CCIR/related meetings	See above	Pending

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Robin Campbell, President of Treasury Board and Minister of Finance	No contact – appointed Sep 15/14	TBD	TBD until CAFII has a “direct ask”	Pending
<b>Saskatchewan</b>				
Ron Fullan, Executive Director, Insurance Councils of Saskatchewan and CISRO Chair	February 27/15 CISRO LLQP Stakeholder Info Session  January 28/15 stakeholder meeting with CCIR  Sep 30/14; Fredericton, NB (informal meeting)	<b>Liaison meeting around RIA Advisory Cttee and other Sask. licensure issues when Ron is in Toronto for June and September 2015 LLQP Stakeholder Info Sessions</b>	-Restricted Insurance Agents Advisory Cttee. - LLQP modernization -Update on TIPIP initiative - Update on ICS and CISRO priorities -Communicate CAFII issues -Maintain and strengthen relationship	<b>Pending</b>
April Stadnek, Director of Licensing	Sep 30/14; Fredericton, NB (informal meeting)	When April is in Toronto for CISRO/CCIR/related meetings		Pending
Roger Sobotkiewicz, former Director of Financial Consumer Affairs Authority (FCAA)’s Legal Branch, became Interim Chairperson and Superintendent of Insurance, effective Feb. 1/15	-no previous contact; congratulatory letter on appointment sent March 4/15  -	<b>Q1 or Q2 2015 meeting in Regina if necessary, as follow-up teleconference with J. Hall and J. Seibel on CAFII submission occurred on March 2/15</b>	-introduce CAFII and build relationship <b>-Bill 177, The Insurance Act, posted on SK website on Dec. 8/14. Bill serving as consultation document with industry, with Feb. 16/15 deadline for submissions. When passed and proclaimed, will replace current SK Insurance Act.</b> -ISI: Representation for Restricted Licence Holders -LLQP modernization -Update on TIPIP initiative - Update on Superintendent’s priorities -Communicate CAFII issues	<b>Pending</b>
Ian McIntosh, Deputy Superintendent of Insurance	Jul 28/14 call with CAFII reps re: “effecting CGI”	Same as above	See above	Pending

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Consultant (ex-Superintendent) Jim Hall and Janette Seibel, Lawyer, FCAA, are point persons for review of SK Insurance Act	- teleconference meeting on CAFII submission on Bill 177, on March 2/15	<b>Same as per Roger Sobotkiewicz</b>	Bill 177, The Insurance Act (Saskatchewan)	<b>Pending</b>
<b>Manitoba</b>				
<b>Ministry of Finance:</b>  Jim Scalena, Superintendent <b>*Retired at end of 2014</b>  Scott Moore, Deputy Superintendent, currently serving as Interim Superintendent of Insurance  Greg Dewar, Minister of Finance	April 29/14; Winnipeg, MB  April 29/14: Winnipeg, MB;  No contact – appointed Nov/14	When successor or Scott is in Toronto for CCIR/related meetings  TBD	-Introduce CAFII and build/maintain relationship -Implementation of ISI regime -Representation for Restricted Licence Holders -Update on Insurance Act Review -LLQP modernization -Update on TIPIP initiative -Update on Superintendent's and Council's priorities -Communicate CAFII issues  TBD until CAFII has "direct ask"	Pending         Pending
Erin Pearson, Executive Director, Insurance Council of Manitoba:	Sept. 30/14: dinner in Fredericton, NB re: ISI implementation  Apr 29/14; Winnipeg, MB	When Erin is in Toronto for CISRO/related meetings	Same as above	Pending
<b>Ontario</b>				
<b>FSCO:</b>  Brian Mills, appointed Interim CEO and Superintendent on October 18/14	January 28/15 stakeholder meeting with CCIR  November 21/14 at FSCO Life and Health Insurance Symposium	<b>Q2 2015: follow-up lunch or dinner</b>	(i)-Introduce CAFII and build/maintain relationship (ii) -next steps, if any, in Life Insurance Product Suitability Review (iii)-LLQP modernization (iv)-enhancing the national CRS (v)-Update on CCIR review of travel insurance (vi)-Update on Superintendent's priorities (vii) "Agency Review" of FSCO's mandate (viii)-communicate CAFII issues	<b>Pending</b>

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Anatol Monid, Interim Executive Director, Licensing and Market Conduct Division	January 28/15 stakeholder meeting with CCIR  November 21/14 at FSCO Life and Health Insurance Symposium  April 9/14 re: Interim Report of Life Insurance Product Suitability Review	Meeting, if required re (ii)		Pending
Isabel Scovino, appointed Director, Market Conduct Regulation Branch in Nov/14	Nov 21/14 FSCO Life & Health Insurance Symposium  Nov. 20/14 Networking Dinner following CLHIA CCOS Seminar  Nov 13/14 re: Report on Joint Review (FSCO and AMF) of National Complaint Reporting System (CRS)	Meeting, if required re (iv)	Item (iv) above	Pending
<b>Quebec</b>				
<b>AMF:</b> Louis Morisset, CEO;  Patrick Dery,  Eric Stevenson,  Julien Reid,  Stephane Langlois,  Louise Gauthier	Apr 8/14: Montreal, QC    January 30/15 meeting in Toronto with E. Stevenson and L. Gauthier re (i) and (ii)	<b>October 6/15 CAFII Board meeting to be hosted by Desjardins in Quebec City</b>  <b>Possible breakfast meeting with E. Stevenson and/or L. Gauthier in Quebec City on May 1/15, during CLHIA Conference, re (i) and (ii)</b>	-(i)Further round of AMF consultation re electronic commerce in insurance -(ii)Distribution Guide template and implementation timelines -LLQP modernization -enhancing the national CRS -Update on TIPIIP initiative -Update on AMF priorities -Communicate CAFII issues -Maintain and strengthen relationship	<b>Pending</b>       <b>Pending</b>

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<b>Atlantic Canada</b>				
Joint Forum of Insurance Regulators (four provinces)	Oct 1/14: Fredericton, NB	spring or fall 2016	TBD	Deferred to 2016
<b>New Brunswick</b>				
Angela Mazerolle, Superintendent of Insurance	Oct 1/14: Fredericton, NB	None planned for 2015	TBD	Deferred to 2016
David Weir, Deputy Superintendent of Insurance	Oct 1/14: Fredericton, NB	<b>Liaison meeting around New Brunswick licensure issues if David is in Toronto for June and September 2015 LLQP Stakeholder Info Sessions</b>	TBD	<b>Pending</b>
Adam Mitton, Attractiveness Executive, Invest New Brunswick	Jun 3/14: Toronto, ON	Q1 2015 when Adam is in Toronto or, if necessary, in Fredericton	- highlight and discuss CAFII submission re: Insurance Act and regulatory process changes necessary to support business efficiency and further inbound investment and additional jobs in New Brunswick	Pending
Ronald Godin, Consumer Advocate for Insurance (NB govt. decision announced in Spring 2014 to eliminate Consumer Advocate, effective Jan 1/15, apparently reversed two weeks later)	No contact		-Introduce CAFII and build relationship -Position CAFII as an information resource	Pending
<b>Nova Scotia</b>				
Doug Murphy, Superintendent	January 28/15 stakeholder meeting with CCIR  Oct 1/14: Fredericton, NB	To Be Determined	- possible Insurance Act Review in 2015	Pending

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<b>PEI</b>				
Superintendent Robert Bradley	Oct 1/14: Fredericton, NB	Q2 or Q3 2015 in PEI or Toronto	-Review of life and accident & sickness provisions of Insurance Act, previously communicated as a definite priority for 2015 -LLQP modernization -Update on TIPIP initiative -Update on Superintendent's priorities -Communicate CAFII issues -Maintain and strengthen relationship	Pending
<b>Newfoundland</b>				
Craig Whalen, Deputy Superintendent	Oct 1/14: Fredericton, NB	None in 2015	N/A	Deferred to 2016
<b>FEDERAL/NATIONAL</b>				
Carol Shevlin, Policy Manager, <b>CCIR</b> *Retiring end of 2015	-Liaison lunch with B. Wycks on Feb. 23/15  -January 28/15 stakeholder meeting with CCIR	<b>-December 2015 CAFII Holiday Season Reception: Recognition and tribute to Carol Shevlin, upon her imminent retirement at end of 2015 (confirmed as agreeable to her)</b>	-Update on CCIR 2014-17 Strategic Plan and related priorities -possible CAFII webinar(s) for CCIR in 2015 -succession plans for CCIR Policy Manager given upcoming retirement -opportunity to thank and acknowledge C. Shevlin at CAFII Reception later in 2015	<b>Pending</b>
Carolyn Rogers, <b>CCIR Chair</b> (CEO, FICOM & Superintendent of Insurance)	January 28/15 stakeholder meeting with CCIR  Oct 7/14: Toronto, ON at CAFII Regulator Reception	<b>Dinner meeting in Quebec City on April 28 or 29/15, in connection with CLHIA Conference; or when Carolyn is in Toronto for CCIR/related meetings</b>	-Update on CCIR 2014-17 Strategic Plan and related priorities -CCIR review of travel insurance -LLQP modernization -Communicate CAFII issues	<b>Pending</b>
Harry James, Chair, CCIR Working Group on Travel Insurance (Director, Policy Initiatives, BC FICOM)	January 30/15 and December 10/14, CAFII participated in TIPIP meeting with CCIR Working Group on Travel Insurance	March 24/15 meeting with CAFII EOC re draft industry survey of travel insurance underwriters	-Regulator concerns re travel insurance	Completed

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Ron Fullan Chair (SK); G. Matier (BC); J. Abram (AB), W. Martinson (AB); D. Weir (NB) <b>CISRO</b>	Feb 27/15: CISRO LLQP Stakeholder Info Session  Feb 10/14: Toronto, ON	When Ron is in Toronto for CISRO LLQP or related meetings	-LLQP modernization -possible CISRO Strategic Plan	Completed
Jeremy Rudin, Superintendent, Office of the Superintendent of Financial Institutions	No contact – appointed June/14	Q2 2015 “get acquainted” meeting in Ottawa or Toronto	-introduce/educate about CAFII, CGI and alternate distribution -build relationship <b>-invite to be speaker at CAFII Reception in December 2015</b>	Pending
Doug Melville, Ombudsman, OBSI <b>(resignation announced March 2015: departs OBSI at end of May 2015 for similar position in Channel Islands)</b>	None	None	TBD	Watch/monitor
<b>Financial Consumer Agency of Canada (FCAC):</b>  Lucie Tedesco, Commissioner  Brigitte Goulard, Deputy Commissioner  Jane Rooney, Financial Literacy Leader  Jeremie Ryan, Director, Financial Literacy and Consumer Education  Karen Morgan, Marketing Officer	No contact  Jun 10/14  Feb 10/15 (presentation at CAFII Annual Luncheon)  Feb. 10/15  Jan 9/14	      Q2 2015 (either in-person in Ottawa or Toronto; or via teleconference)	-CAFII proposed enhancements to FAQs and other content on FCAC web site re creditor insurance  -CAFII involvement in consumer financial literacy initiatives, including Financial Literacy Month	Pending  Pending