Agenda Item 5(a)(i)(a) August 22, 2013 EOC Teleconference Meeting

From: James Fox [mailto:Jim.Fox@fsco.gov.on.ca]

Sent: August-20-13 10:54 AM

To: brendan.wycks@cafii.com; rosemary.troiani@rbc.com

Subject: FW: Modernizing Disciplinary Process - Follow-up Consultation

Brendan & Rosemary,

We are planning stakeholder consultations now that the paper has been released on the proposed changes to the disciplinary process affecting insurance agents and adjusters. We wanted to provide you with opportunity to discuss our proposals in a one on one consultation, however we would only hold the session if you feel there is a need.

We have set aside time at 9:45 am to 10:45 am on Monday, September 9 in Room 4A (4th floor) in our building at 5160 Yonge Street for a meeting.

You can let me know if CAFII wants to meet with us after you've had a chance to review the consultation paper and discuss with colleagues.

Grant Swanson would be joining me to hear your views. If you have any questions, please contact me.

Thanks, Jim Fox Senior Policy Advisor Insurance & Deposit Institutions Policy Licensing and Market Conduct 416-590-7277