

**CAFII Regulator Visit Plan 2012**

updated October, 2012

| Jurisdiction     | Regulator  | Annual Courtesy Call | Purpose   | Attendees                 | Org          | Date/Venue                        | Status                               |
|------------------|--|----------------------|---|---------------------------|--------------|-----------------------------------|--------------------------------------|
| British Columbia | <b>Insurance Council of BC:</b><br>Gerry Matier, Exec. Dir.  | X                    | Update on Council priorities  |                           |              | TBD<br>Toronto                    |                                      |
|                  | Carolyn Rogers ,<br>Superintendent ( interim<br>CCIR chair)<br><br>Doug Mclean, Deputy<br>Superintendent |                      | -meet when in BC, was invited as CAFII<br>speaker for October 2012<br>-part of CCIR contingent Oct 25   | Cathy Honor               |              |                                   |                                      |
| Alberta          | <b>Alberta Insurance<br/>Council :</b> Joanne Abram,<br>CEO  | X                    | Update on Council priorities<br>Council Representation<br>Licensing for 3 <sup>rd</sup> party providers |                           |              | May 8<br>Montreal                 | complete                             |
|                  | <b>Alberta Insurance<br/>Council :</b> Tom Hampton,<br>Chief Operating Officer                           |                      | Present to CAFII AIC's Business number<br>registration system   |                           |              |                                   |                                      |
|                  | <b>Ministry of Finance:</b><br>Mark Prefontaine,<br>Superintendent                                       |                      | Courtesy call to introduce New Chair  | Cathy Honor<br>Moira Gill | RBC<br>TD    | Jan 13<br>12:30 – 2pm<br>Edmonton | complete                             |
|                  | <b>Council:</b> Joanne Abram   |                      | Council representation, other issues to<br>be determined  | Cathy Honor<br>Moira Gill | RBC<br>TD    | Jan 13<br>10:30 AM<br>Edmonton    | complete                             |
|                  | <b>New Minister of Finance</b>   |                      |   |                           |              | after the<br>election             |                                      |
| Saskatche<br>wan | <b>Sask. Insurance Council:</b><br>Ron Fullan, Chair   | X                    | Review Council priorities<br>Council Representation   | Moira Gill<br>Sara Gelgor | TD<br>Scotia | Friday July 6<br>8:30             | complete                             |
|                  |  |                      |   |                           |              | September<br>24                   | complete                             |
|                  | <b>Consultant ( ex<br/>Superintendent )</b> Jim Hall   |                      | Insurance Act review  |                           |              | Conference<br>call                | On hold<br>until<br>review<br>starts |

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| Manitoba     | <b>Ministry of Finance:</b> Jim Scalena, Superintendent   | X                    | Update on Insurance Act review. ISI regime   | Moira Gill<br>Sara Gelgor | TD<br>Scotia | In Winnipeg<br>August 8              | complete                                       |
|              | <b>Manitoba Insurance Council:</b> Erin Pearson, Exec Director;   | X                    | Review Council priorities<br>Restricted cert holder representation on council  | Moira Gill<br>Sara Gelgor | TD<br>Scotia | In Winnipeg<br>August 8              | complete                                       |
|              | <b>Ministry of Finance</b><br>Minister Stan Struthers   |                      | Letter of introduction sent, include on a visit of Chair to Manitoba. Sept 2012 – eoc recommends waiting until issues with Insurance act review are resolved |                           |              | ( J Hines to confirm avail of chair) |  |
| Ontario      | <b>FSCO:</b> Phil Howell, CEO & Superintendent  | X                    |  |                           |              | Toronto                              | To be set up                                   |
|              | <b>FSCO:</b> Director, Market Conduct; Grant Swanson, Exec Dir Licensing & Market Conduct and new staff |                      | FSCO has hired new staff, introduce CAFII, cover current files, Insurance act review   |                           |              | September 13                         | complete -DMC to follow up re call center tour |
|              | <b>Ministry of Finance :</b> Paul Braithwaite   |                      | Update on trade negotiations/agreements (e.g. Canada-EU, ON-QB)  |                           |              | May 28                               | complete                                       |
| Quebec       | <b>AMF:</b> Mario Albert, CEO; Superintendents and key directors  | X                    |  | Board                     |              | June 19, Quebec City Board lunch     | complete                                       |
|              | <b>AMF:</b>   |                      | ECommerce consultation paper meeting   |                           |              | April 4                              | Part of CCIR meeting                           |

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|               | AMF: Superintendent; Eric Stephenson, Director, SROs and Distribution Practices |                      | Meeting to discuss DWR distribution guide format , compensation disclosure  | Moira Gill<br>Andre Duval<br>John Lewsen<br>Greg Grant<br>Rose Beckford<br>Jennifer Hines | TD<br>Des<br>BMO<br>CIBC<br>ScotiaLF<br>RBC | May 10<br>Montreal,<br>lunch at<br>RBC place<br>Ville Marie | complete     |
|               | AMF: Eric Stephenson, Acting Superintendent, SROs and Distribution Practices    |                      | Discuss distribution  |   |   | November 14, PM, 15 all day, or 16 in AM                    | To be set up |
|               | AMF: Superintendent, Solvency   | X                    |   | Board   |   | With M<br>Albert June<br>19                                 | complete     |
|               | AMF : Rendez-vous   |                      |   | -individual companies   |   | October 15  | complete     |
| New Brunswick | Superintendent<br>( may be unavailable for personal reasons-as at Sept 2012)    |                      | Review priorities<br>Discuss /educate on creditor's group insurance (CGI) and Avalon;<br>Licensing issues, electronic licensing;<br>Insurance Act Review Status ;<br>David Weir (Deputy Super)- Atlantic Taskforce on ISI (chair) | Check board member travel plans   |   | TBD<br>Fredericton  |              |
|               | Consumer Advocate   |                      | Review priorities,<br>Discuss /educate on creditor's group insurance (CGI) and Avalon;  | Check board member travel plans   |   | TBD<br>Fredericton  |              |
| Nova Scotia   | Superintendent  |                      | Review priorities,<br>Discuss /educate on creditor's group insurance (CGI) and Avalon;<br>Atlantic Taskforce on ISI   | Check board member travel plans   |   | TBD<br>Halifax  |              |
| PEI           | Superintendent  |                      | Courtesy- Low priority for 2012 ( if member is in Charlottetown)  | Check board member travel plans   |   | Charlottetown   |              |

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| Newfoundl and | Superintendent                                    |                      | Review priorities, Discuss /educate on creditor's group insurance (CGI) and Avalon; Atlantic Taskforce on ISI | Check board member travel plans                           |                 | TBD<br>St John's |                 |
| CCIR          | Carol Shevlin, Policy Manager                     | X                    | Review CCIR Priorities<br>CAFII webinar curriculum  | Greg Grant<br>Jennifer Hines<br>John Lewsen<br>Anne Riley |                 | May 31           | complete        |
|               | Ecommerce Committee                               |                      | Review issues paper   |   |                 | April 4          | complete        |
|               | CCIR members                                      |                      | Webinar presentation - underserved market   |   |                 | Sept 14          | complete        |
|               | CCIR executive and members                        |                      | CCIR initiative – request personal contact to discuss industry issues, concerns and workplans                 |   |                 | Oct 25 AM        | Feedback needed |
| CISRO         | Ron Fullan Chair                                  |                      | Chair requested a face to face presentation of Ecommerce –Sept 2012   |   |                 |                  | To be set up    |
| Federal       | <b>Federal Finance</b>                            |                      | Educational – marketing of CGI  |   |                 |                  |                 |
|               | <b>OSFI:</b> Julie Dickson, Superintendent        |                      | Declined offer to be Guest speaker at CAFII event   |   |                 |                  |                 |
|               | <b>OBSI:</b> Doug Melville Ombudsman              |                      | Educational – marketing of CGI  |   |                 |                  |                 |
|               | <b>Financial Consumer Agency of Canada (FCAC)</b> |                      | Financial Literacy re insurance   | John Lewsen<br>Moira Gill<br>Maria Sanchez-Chung          | BMO<br>TD<br>TD | January          | complete        |
|               | <b>FCAC compliance group</b>                      |                      | Financial Literacy re insurance (at FCAC request)   | John Lewsen<br>Moira Gill                                 | BMO<br>TD       | April 4          | complete        |