

CAFII Regulator and Policy-Maker Visit Plan 2015

Jurisdiction Regulator/Policy-Maker	Last Meeting /Contact	Proposed Meeting	Topics/Purpose	Status April 17/15
British Columbia				
Gerry Matier, Executive Director, Insurance Council of BC	Feb. 27/15 meeting in Toronto addressed review of BC FIA; representation for banks-in- insurance on Insurance Council; new CE requirements for new licensees; LLQP support; CCIR travel insurance initiative	When Gerry is in Toronto for CISRO/CCIR/related meetings	-10 yr. Review of BC Financial Institutions Act (FIA) -Representation for banks-in-insurance sector on Council -LLQP modernization -Update on Council priorities -Update on CCIR travel insurance review -Communicate CAFII issues -Maintain and strengthen relationship	Completed
Carolyn Rogers , CEO, FICOM & Superintendent of Insurance (CCIR Vice-Chair)	January 28/15 stakeholder meeting with CCIR Informal discussion on October 7/14 during CAFII Speaker Reception	Lunch meeting in Quebec City on April 30/15, in connection with CLHIA Conference	-10 yr. Review of BC Financial Institutions Act (FIA) -Representation for banks-in-insurance sector on Council -“Effecting’ of CGI in BC issue -Update on CCIR travel insurance review -Communicate CAFII issues; maintain and strengthen relationship	Confirmed
Doug McLean, Deputy Superintendent of Insurance, FICOM	No contact/meeting for at least past two years	When Doug is in Toronto for CCIR/related meetings	See above	Pending
Harry James, Director, Policy Initiatives, FICOM (Chair of CCIR’s new Working Group on Travel Insurance)	January 28/15 stakeholder meeting with CCIR Nov. 21/14: G. Grant chatted with H. James during FIA Review roundtable discussion Jul 28/14: call with CAFII reps re: “effecting” CGI	When Harry is in Toronto for CCIR/related meetings	See above	Pending

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Michael de Jong, Minister of Finance	Nov. 21/14: G. Grant represented CAFII at FIA Review roundtable discussion	If necessary, Q1 or Q2 2015	- follow up on CAFII issues/concerns re: 10-year Review of Financial Institutions Act; announcement re formal consultation phase of FIA Review expected imminently	Pending
Alberta				
Alberta Insurance Council: Joanne Abram, CEO;	Nov 20/14: CLHIA COSS seminar (B. Wycks); Mar 17/14; Toronto, ON	When Joanne, Anthonet, or Warren is in Toronto for CISRO/CCIR/related meetings	-Representation for Restricted Licence Holders - - Licensing for 3 rd party providers: business number registration system -LLQP modernization - Update on Council priorities -Communicate CAFII issues -Maintain and strengthen relationship	Pending
Ron Gilbertson, Chair (2012-15)	Mar 17/14; Toronto, ON			Completed
Anthonet Maramieri, COO (succeeded retired Tom Hampton at beginning of 2015)	Feb 27/15: Toronto: B. Wycks met A. Maramieri and had get acquainted chat at CISRO LLQP Stakeholder Info Session			
Warren Martinson, Legal Counsel (member of CISRO LLQP Ctte)	Feb 10/14: Toronto, ON			
Mark Prefontaine, Superintendent of Insurance	Sep 30/14; Fredericton, NB (informal meeting)	When Mark is in Toronto for CCIR/related meetings	See above	Pending
David Sorensen, Deputy Superintendent of Insurance	No contact - <i>appointed Sep 15/14</i>	When David is in Toronto for CCIR/related meetings	See above - and introduce CAFII	Pending
Laurie Balfour, Director, Financial Compliance, Insurance Regulation and Market Conduct Branch	Sep 30/14: Fredericton, NB (informal meeting) Jul 28/14: call with CAFII reps re: "effecting of CGI"	When Laurie is in Toronto for CCIR/related meetings	See above	Pending

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Robin Campbell, President of Treasury Board and Minister of Finance	No contact – appointed Sep 15/14	TBD	TBD until CAFII has a “direct ask”	Pending
Saskatchewan				
Ron Fullan, Executive Director, Insurance Councils of Saskatchewan and CISRO Chair	February 27/15 CISRO LLQP Stakeholder Info Session January 28/15 stakeholder meeting with CCIR Sep 30/14; Fredericton, NB (informal meeting)	When Ron is in Toronto for LLQP Stakeholder Info Sessions on June 5/15 and in September 2015	-Restricted Insurance Agents Advisory Cttee. -Sask RIA regime and licensure issues -LLQP modernization - Update on ICS and CISRO priorities -Communicate CAFII issues -Maintain and strengthen relationship	Pending
April Stadnek, Director of Licensing	Sep 30/14; Fredericton, NB (informal meeting) November/13 in Toronto when April attended CLHIA CCOS Seminar	When April is in Toronto for CISRO/CCIR/related meetings		Pending
Roger Sobotkiewicz, former Director of Financial Consumer Affairs Authority (FCAA)’s Legal Branch, became Interim Chairperson and Superintendent of Insurance, effective Feb. 1/15	-no previous contact; congratulatory letter on appointment sent March 4/15 -	Q2 or Q3 2015 meeting in Regina if necessary, as follow-up teleconference with J. Hall and J. Seibel on CAFII submission occurred on March 2/15	-introduce CAFII and build relationship -Bill 177, The Insurance Act (Saskatchewan) -ISI: Representation for Restricted Licence Holders -LLQP modernization - Update on Superintendent’s priorities -Communicate CAFII issues	Pending
Ian McIntosh, Deputy Superintendent of Insurance	Jul 28/14 call with CAFII reps re: “effecting CGI”	Same as above	See above	Pending
Consultant (ex-Superintendent) Jim Hall and Janette Seibel, Lawyer, FCAA, are point persons for review of SK Insurance Act	- teleconference meeting on CAFII submission on Bill 177, on March 2/15	Same as per Roger Sobotkiewicz, but J. Hall slated to take full retirement at end of May 2015	Bill 177, The Insurance Act (Saskatchewan)	Pending

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Manitoba				
Ministry of Finance:				
Jim Scalena, Superintendent *Retired at end of 2014	April 29/14; Winnipeg, MB	When successor or Scott is in Toronto for CCIR/related meetings	-Introduce CAFII and build/maintain relationship -Implementation of ISI regime -Representation for Restricted Licence Holders -Update on Insurance Act Review -LLQP modernization -Update on Superintendent's and Council's priorities -Communicate CAFII issues	Pending
Scott Moore, Deputy Superintendent, currently serving as Interim Superintendent of Insurance	April 15/15 teleconference with three CAFII reps re concern about amended Insurance Act's apparent residency requirement for employees of Restricted Insurance Agents			Completed
Greg Dewar, Minister of Finance	April 29/14: Winnipeg, MB No contact – appointed Nov/14	TBD	TBD until CAFII has "direct ask"	Pending
Erin Pearson, Executive Director, Insurance Council of Manitoba:	Sept. 30/14: dinner in Fredericton, NB re: ISI implementation Apr 29/14; Winnipeg, MB	When Erin is in Toronto for CISRO/related meetings	Same as above	Pending
Ontario				
FSCO:				
Brian Mills, appointed Interim CEO and Superintendent on October 18/14	January 28/15 stakeholder meeting with CCIR November 21/14 at FSCO Life and Health Insurance Symposium	Q2 2015: follow-up lunch or dinner	(i)-Introduce CAFII and build/maintain relationship (ii) -next steps, if any, in Life Insurance Product Suitability Review (iii)-LLQP modernization (iv)-enhancing the national CRS (vi)-Update on Superintendent's priorities (vii) "Agency Review" of FSCO's mandate (viii)-communicate CAFII issues	Pending

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Anatol Monid, Interim Executive Director, Licensing and Market Conduct Division	January 28/15 stakeholder meeting with CCIR	Meeting, if required re (ii)		Pending
	November 21/14 at FSCO Life and Health Insurance Symposium			
	April 9/14 re: Interim Report of Life Insurance Product Suitability Review			
Isabel Scovino, appointed Director, Market Conduct Regulation Branch in Nov/14	Nov 21/14 FSCO Life & Health Insurance Symposium	Meeting, if required re (iv)	Item (iv) above	Pending
	Nov. 20/14 Networking Dinner following CLHIA CCOS Seminar			
	Nov 13/14 re: Report on Joint Review (FSCO and AMF) of National Complaint Reporting System (CRS)			
Quebec				
AMF: Louis Morisset, CEO;	Apr 8/14: Montreal, QC	October 6/15 CAFII Board meeting to be hosted by Desjardins in Quebec City	-(i)AMF final paper on electronic commerce in insurance, setting out Orientations/expectations	Pending
Patrick Dery, Superintendent, Solvency (appointed CCIR Chair effective April 1/15)	January 28/15 stakeholder meeting with CCIR	Pre-dinner meeting in Quebec City on April 29/15, along with other AMF staff executives and Carolyn Rogers, CCIR Vice-Chair	-(ii)Distribution Guide template and implementation timelines	Confirmed
	Apr 8/14: Montreal, QC		-LLQP modernization	
			-enhancing the national CRS	
			-Update on AMF priorities	
			-Communicate CAFII issues	
			-Maintain and strengthen relationship	
Eric Stevenson, Superintendent, Client Services and Distribution Oversight	January 30/15 meeting in Toronto with E. Stevenson and L. Gauthier re (i) and (ii)	Patrick Dery and Eric Stevenson will be in Toronto for meetings in late June 2015, and have offered to meet with CAFII then		Pending

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AMF cont'd : Louise Gauthier, Director, Distribution Practices and Self-Regulatory Organisations Nathalie Sirois, Senior Director, Monitoring and Control of Insurers' Right to Practice Julien Reid, Senior Director, Financial Institutions and Deposit Insurance Supervision				
Atlantic Canada				
Joint Forum of Insurance Regulators (four provinces)	Oct 1/14: Fredericton, NB	Spring or Fall 2016	TBD	Deferred to 2016
New Brunswick				
Angela Mazerolle, Superintendent of Insurance	Oct 1/14: Fredericton, NB	None planned for 2015	TBD	Deferred to 2016
David Weir, Deputy Superintendent of Insurance	Oct 1/14: Fredericton, NB	When David is in Toronto for June and September 2015 LLQP Stakeholder Info Sessions	-New Brunswick licensure issues	Pending
Jay Reid, Investment Attraction Officer, Opportunities New Brunswick	Jun 3/14: Toronto, with Adam Mitton of predecessor organization Invest New Brunswick	Q2 or Q3 2015 when Jay is in Toronto or, if necessary, in Fredericton	- highlight and discuss CAFII submission re: Insurance Act and regulatory process changes necessary to support business efficiency and further inbound investment and additional jobs in New Brunswick	Pending

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Ronald Godin, Consumer Advocate for Insurance (NB govt. decision announced in Spring 2014 to eliminate Consumer Advocate, effective Jan 1/15, apparently reversed two weeks later)	No contact		-Introduce CAFII and build relationship -Position CAFII as an information resource	Pending
Nova Scotia				
Doug Murphy, Superintendent	January 28/15 stakeholder meeting with CCIR Oct 1/14: Fredericton, NB	To Be Determined	- possible review of life and accident & sickness provisions of Insurance Act Review in 2015	Pending
PEI				
Superintendent Robert Bradley	Oct 1/14: Fredericton, NB	Q2 or Q3 2015 in PEI or Toronto	-Review of life and accident & sickness provisions of Insurance Act, previously communicated as a definite priority for 2015 -LLQP modernization -Update on Superintendent's priorities -Communicate CAFII issues -Maintain and strengthen relationship	Pending
Newfoundland				
Craig Whalen, Deputy Superintendent	Oct 1/14: Fredericton, NB	None in 2015	N/A	Deferred to 2016
FEDERAL/NATIONAL				
Carol Shevlin, Policy Manager, CCIR *Retiring at end of 2015	-Liaison lunch with B. Wycks on Feb. 23/15 -January 28/15 stakeholder meeting with CCIR	-December 8/15 CAFII Holiday Season Reception	- Recognition and tribute to Carol, upon her imminent retirement at end of 2015 (confirmed as agreeable to her) -Update on CCIR 2014-17 Strategic Plan and related priorities -CCIR review of travel health insurance -possible CAFII webinar(s) for CCIR in 2015 -succession plans for CCIR Policy Manager given upcoming retirement -opportunity to thank and acknowledge C. Shevlin at CAFII Reception later in 2015	Pending

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Carolyn Rogers, CCIR Past-Chair and current Vice-Chair (CEO, FICOM & Superintendent of Insurance)	January 28/15 stakeholder meeting with CCIR Oct 7/14: Toronto, ON at CAFII Regulator Reception	Pre-dinner meeting on April 29/15 in Quebec City, during CLHIA Conference, along with new CCIR Chair Patrick Dery and other AMF staff executives	-Update on CCIR 2014-17 Strategic Plan and related priorities -CCIR review of travel health insurance -LLQP modernization -Communicate CAFII issues; and maintain and strengthen relationship	Confirmed
Harry James, Chair, CCIR Working Group on Travel Insurance (Director, Policy Initiatives, BC FICOM)	March 24/15 meeting with CAFII EOC re draft industry survey of travel insurance underwriters January 30/15 and December 10/14, CAFII participated in TIPIP meeting with CCIR Working Group on Travel Insurance	When Harry is in Toronto for CCIR/related meetings	-CCIR review of travel health insurance	Pending
Ron Fullan Chair (SK); G. Matier (BC); J. Abram (AB), W. Martinson (AB); D. Weir (NB) CISRO	Feb 27/15: CISRO LLQP Stakeholder Info Session Feb 10/14: Toronto, ON	When Ron is in Toronto for LLQP Stakeholder Info Sessions on June 5/15 and in September 2015	-LLQP modernization -possible CISRO Strategic Plan	Pending
Jeremy Rudin, Superintendent, Office of the Superintendent of Financial Institutions	No contact – appointed June/14	Q2 2015 “get acquainted” meeting in Ottawa or Toronto	-introduce/educate about CAFII, CGI and alternate distribution -build relationship -invite to be speaker at a CAFII Reception event	Pending
Doug Melville, Ombudsman, OBSI (resignation announced March 2015: departs OBSI at end of May 2015 for similar position in Channel Islands)	None	None	TBD	Watch/monit or

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Financial Consumer Agency of Canada (FCAC):				
Lucie Tedesco, Commissioner	No contact		-CAFII proposed enhancements to FAQs and other content on FCAC web site re creditor insurance	Pending
Brigitte Goulard, Deputy Commissioner	Jun 10/14		-CAFII involvement in consumer financial literacy initiatives, including Financial Literacy Month	Pending
Jane Rooney, Financial Literacy Leader	Feb 10/15 (presentation at CAFII Annual Luncheon)			
Jeremie Ryan, Director, Financial Literacy and Consumer Education	Feb. 10/15	Q2 2015 (either in-person in Ottawa or Toronto; or via teleconference)		
Karen Morgan, Marketing Officer	Jan 9/14			