

Regulator and Policy-Maker Visit Plan 2019-2020

Regulator/Policy-Maker	Last Meeting/Contact	Proposed Meeting	Topics/Purpose	Status
British Columbia				
Insurance Council of BC: Janet Sinclair, Executive Director (Started November 1/17)	Liaison meeting on May 3/18 during CLHIA Conference in Calgary	Liaison meeting during 2019 CLHIA Conference in Niagara Falls, May 8-10/19, where J. Sinclair is on the program	CAFII's views on optimal features of an RIA regime in BC	Pending
		2019 CAFII Western Canada Regulators and Policy-Makers Visits Tour: deferral to Fall 2019 proposed to allow CAFII to have new and substantive research-based insights to share	Representation of alternate distribution/non-resident sellers on Council	Pending
FICOM: Frank Chong, Acting Superintendent of Financial Institutions (effective August 1/16)	Oct.25/17 CAFII Stakeholder Dialogue with CCIR in Toronto	2019 CAFII Western Canada Regulators and Policy-Makers Visits Tour: deferral to Fall 2019 proposed to allow CAFII to have new and substantive research-based insights to share	future of FICOM if proposals made in Ministry of Finance's "Preliminary Recommendations Paper" are brought to fruition	Pending
Michael McTavish, Acting Executive Director, Market Conduct (joined FICOM in Spring 2017)	liaison meeting on October 17/17 in Vancouver as part of CAFII tour of BC/Alberta/Manitoba regulators and policy-makers	Liaison meeting during 2019 CLHIA Conference in Niagara Falls, May 8-10/19, where M. McTavish is on the program	See F. Chong above	Pending
Elizabeth Cole, Executive Director, Strategic Projects & Policy (head of 10-Year Review of FIA) (on personal leave until sometime in mid- to late 2018)	April 20/17 and June 23/16 telephone conversations with B. Wycks re updates on expected release date of Policy Paper and other FIA Review timelines	Q2 or Q3 2018 in-person or teleconference meeting with Ministry of Finance officials, if necessary.	Insurance policy changes to be set out in draft revised Financial Institutions Act, expected in Q2 2019.	Pending
	November 10/15 in Vancouver along with Dan Ashton; and separate meeting along with Brian Dillon and Kari Toovey	2019 CAFII Western Canada Regulators and Policy-Makers Visits Tour: deferral to Fall 2019 proposed to allow CAFII to have new and substantive research-based insights to share		Pending

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Alberta				
Alberta Insurance Council: Joanne Abram, CEO	May 4/18 liaison meeting during CLHIA Conference in Calgary	Q2 2019 teleconference meeting to discuss Alberta Government consultation paper on plans to create a single financial services regulator in the province, once it is released	-Alberta Government consultation paper on plans to create a single financial services regulator in the province	Pending
	liaison meeting on October 18/17 in Edmonton as part of CAFII tour of BC/Alberta/Manitoba regulators and policy-makers		-AIC's implementation of approved definition and process for CI to be sold under existing RIA licence	
	June 2/17 CAFII presentation to CISRO in Saskatoon	Liaison meeting during 2019 CLHIA Conference in Niagara Falls, May 8-10/19, where J. Abram is on the program	-Representation for Restricted Licence Holders on Life Insurance Council	Pending
	May 4/17 liaison lunch in London, Ontario	2019 CAFII Western Canada Regulators and Policy-Makers Visits Tour: deferral to Fall 2019 proposed to allow CAFII to have new and substantive research-based insights to share		Pending
Treasury Board and Ministry of Finance: Darren Hedley, Assistant Deputy Minister, Financial Sector Regulation and Policy; and Superintendent of Insurance (effective January 2019, succeeding Nilam Jetha)	-liaison meeting on October 18/17 in Edmonton as part of CAFII tour of BC/Alberta/Manitoba regulators and policy-makers	Q2 2019 teleconference, if necessary, re Alberta Government consultation on creating a single financial services regulator in the province	-Alberta Government plans to create a single financial services regulator in the province	Pending
		2019 CAFII Western Canada Regulators and Policy-Makers Visits Tour: deferral to Fall 2019 proposed to allow CAFII to have new and substantive research-based insights to share		Pending

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	-May 4/17 short get acquainted/liaison meeting in London, Ontario	2019 CAFII Western Canada Regulators and Policy-Makers Visits Tour: deferral to Fall 2019 proposed to allow CAFII to have new and substantive research-based insights to share	-Insurance Council's "ISI items for further review and development"	Pending
Ontario				
Financial Services Regulatory Authority of Ontario (FSRA): Mark White, CEO	<p>February 8, 2019 IAG Meeting #3 with FSRA Board of Directors re FSRA's 2019-20 priorities and budget</p> <p>January 28, 2019 IAG – Life and Health Insurance meeting with FSRA Management re FSRA's 2019-20 priorities and budget</p> <p>November 26/18 meeting of IAG—Life and Health Insurance with FSRA CEO re FSRA's 2019-2020 policies and priorities</p> <p>November 27/18 CAFII Reception (where Mark White was guest speaker on a FSRA policy-related topic)</p> <p>February 8/19 and January 28/19 IAG - Life and Health Insurance meetings with FSRA</p>		-FSRA's start-up; transition from FSCO into FSRA; FSRA's rule-making authority; FSRA's plans for regulating the life and health insurance industrv	<p>Confirmed</p> <p>Confirmed</p> <p>Pending</p>
Glen Padassery, Executive Vice President of Policy		Liaison meeting during 2019 CLHIA Conference in Niagara Falls, May 8-10/19 where G. Padassery is on the program		
New Brunswick				
Financial and Consumer Services Commission (Insurance Division): Angela Mazerolle, Superintendent of Insurance	-May 14/18 liaison meeting in Fredericton	Liaison meeting during 2019 CLHIA Conference in Niagara Falls, May 8-10/19, where A. Mazerolle is on the program	FCNB Multi-year Insurance Act Rewrite project under which FCNB plans to introduce an RIA regime in New Brunswick	Pending

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David Weir, Deputy Superintendent of Insurance	December 5/18 preliminary meeting in Toronto on New Brunswick Insurance Act Rewrite multi-year project	June 11/19 FCNB "Consumer Protection Conference", around which a CAFII liaison meeting in Fredericton could be arranged to discuss FCNB's plans to introduce an RIA regime in the province.	See A. Mazerolle above - timing of final recommendations on reforming licensing framework for other-than-life agents and brokers -CAFII feedback on New Brunswick online insurance licensing system	Pending
FEDERAL/NATIONAL				
CCIR: Tony Toy, Policy Manager	November 28/18 CAFII follow-up meeting with CCIR/CISRO Fair Treatment of Consumers Working Group re industry implementation of "Guidance: Conduct of Insurance Business and Fair Treatment of Customers" -November 1/18 CCIR issues update meeting with CAFII (K. Martin and B. Wycks)	CAFII Quarterly "Fair Treatment of Consumers Guidance Implementation Forum" with CCIR and CISRO, March 27, 2019 3:30-5:00pm CCIR Webinar For the Life and Health Insurance Industry on Year 3 Changes to the Annual Statement on Market Conduct: April 3/19	-CCIR Annual Statement on Market Conduct -Framework for Cooperative Market Conduct Supervision	Pending
Louise Gauthier, Chair of CCIR/CISRO Fair Treatment of Consumers Working Group; (member of CCIR Travel Insurance Working Group)	CAFII "Fair Treatment Of Customers Guidance Implementation Forum" with CCIR And CISRO on November 28/18 C30	CAFII quarterly "Fair Treatment of Customers Guidance Implementation Forum" with CCIR/CISRO FTC Working Group, March 27, 2019, 3.30—5.00pm		Pending
Harry James, Chair, CCIR Travel Insurance Working Group (TIWG)	December 5/18 CCIR Travel Insurance Working Group (TIWG) follow-up meeting in Toronto with CAFII Travel Medical Experts Working Group re regulator's travel health insurance data collection	Follow-up meeting being arranged between CCIR Travel Insurance Working Group (TIWG) and CAFII/CLHIA/THIA re CCIR's travel health insurance data requests, likely to occur in February or March 2019	CCIR Travel Health Insurance Products Position Paper and CAFII/industry response to same	Pending

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CISRO: Ron Fullan, Chair (SK)	-November 28/18 CAFII follow-up meeting with CCIR/CISRO Fair Treatment of Consumers Working Group re industry implementation of "Guidance: Conduct of Insurance Business and Fair Treatment of Customers"	Follow-up meeting being arranged between CCIR Travel Insurance Working Group (TIWG) and CAFII/CLHIA/THIA re CCIR's travel health insurance data requests, likely to occur in February or March 2019	-national, online licensing system for insurance and related harmonization issues	Pending
Financial Consumer Agency of Canada (FCAC): Lucie Tedesco, Commissioner	-June 12/18 informal conversation with B. Wycks and K. Martin following L. Tedesco's luncheon address to Economic Club of Canada	Follow-up meeting at FCAC office in Ottawa in Q2 or Q3 2019 for further information-sharing and education around creditors group insurance, if warranted	-FCAC consultation on its Proposed Supervision Framework and Publishing Principles for FCAC Decisions (released September 29/16 with November 14/16 deadline for submissions) of document expected). CAFII decided not to respond to this consultation, as out-of-scope	Pending
Richard Bilodeau, Acting Deputy Commissioner (interim successor to Brigitte Goulard)	none to this point in time	See L. Tedesco above		Pending