

***Agenda Item 6(b)(iii)(a)
March 25, 2014 EOC Meeting***

From: Brendan Wycks [mailto:brendan.wycks@cafii.com]

Sent: March-07-14 3:50 PM

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Subject: Call For CAFII Board/EOC Member Participation In Liaison Meeting With Atlantic Canada Regulators: Thursday, May 15, 2014

Board and EOC Members:

The date and details for our joint liaison meeting with the Atlantic Canada regulators are now settled, as set out in my confirmation e-mail to them below.

With reasonable advance notice, we'd now like to invite Board member and/or EOC member participation in this regulatory visit. We'd like to secure commitments from several additional representatives, to augment a core group of Moira Gill, Charles Blaquiere, and myself (based on established relationships with the regulators; and previous discussion about this joint meeting).

Based on the Association's policy and practice, this invitation is extended on the understanding that a member FI will cover its own representative's travel expenses to Halifax, and any accommodation and incidental expenses.

So we are canvassing Board and EOC members for participation commitments based on interest; availability on Thursday, May 15; and available budgetary resources.

(We will also try to arrange, for a smaller CAFII group that has the additional availability, a one-on-one regulatory meeting with Nova Scotia Superintendent Doug Murphy on the evening of Wednesday, May 14, likely over dinner. And possibly a similar one-on-one meeting with another of the Atlantic Canada regulators on the evening of Thursday, May 15.)

Please consider this opportunity and let me know by Friday, March 21 if you can be a member of CAFII's delegation for this meeting. That deadline will allow the EOC to formulate specific plans for this joint visit at its meeting on March 25, based on a delegation of confirmed participants.

Brendan Wycks, BA, MBA, CAE
Executive Director

From: Brendan Wycks [<mailto:brendan.wycks@cafii.com>]

Sent: March-05-14 5:08 PM

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Subject: Confirming Date and Details For 2014 CAFII and Atlantic Canada Insurance Regulators Joint Meeting

Hello again, Angela, Doug, Robert, and Craig.

Thanks to all of you for responding promptly to my *availability and preferences poll* last week. Fortunately, a couple of the dates proposed appear to work for everyone.

CAFII is therefore pleased to confirm the following date and details for our joint meeting:

Date: Thursday, May 15, 2014 (alternate date, in case any regulator has an immediate/near future change in calendar commitments, is Wednesday, May 14, 2014)

Time/Format: Half-day meeting, beginning with lunch, from 11:30 a.m. to 4:00 p.m. CAFII will cover the hosting expenses, including lunch.

Location: Halifax, Nova Scotia

Participation1: -Angela Mazerolle, New Brunswick; Doug Murphy, Nova Scotia; Robert Bradley, PEI; successor to Doug Connolly and/or Craig Whalen, Newfoundland

-As many staff members from regulators' offices as the Superintendents would like to bring along

-five to 10 CAFII representatives, including Executive Director

Agenda: Within the next two weeks, CAFII will circulate a draft agenda for the meeting, for your review and feedback. We want to organize the agenda such that the information-sharing and learning it generates is highly mutual and beneficial; and such that both CAFII representatives and regulators serve as presenter/lead discussant on given topics

1 The purpose of our liaison meeting is both education (mutual) and dialogue on specific industry issues. In that connection, immediately following lunch, CAFII would like to start things off on an educational note with a short, independent research-based presentation on creditor's group insurance, alternate distribution, and access for under-served consumer segments. Therefore, we strongly encourage you to consider bringing a broader staff group to the meeting, as many members of your team as you feel would benefit from its education and information-sharing focus.

Approximately one week prior to the meeting, CAFII will ask each regulator's office to confirm its number of attendees, to ensure that the meeting room is suitably equipped and that we order appropriately for lunch.

Looking forward to our meeting in May,

Brendan Wycks, BA, MBA, CAE

Executive Director

Canadian Association of Financial Institutions in Insurance