

CAFII Regulator Visit Plan 2013¹

updated April 22, 2013

Jurisdiction	Regulator	Annual Courtesy Call	Purpose	Attendees	Date/Venue	Status
British Columbia	Insurance Council of BC: Gerry Matier, Exec. Dir.	X	-Update on Council priorities		Invite when in Toronto	Lunch meeting in Toronto arranged by Sara Gelgor for May 15, 2013
	Financial Institutions Commission(FICOM): Carolyn Rogers , CEO FICOM, Superintendent (interim CCIR chair) Doug McLean, Deputy Superintendent	X	-CLHIA Compliance and Consumer Complaints Annual Conference in Vancouver, May 8-10, 2013: meeting to be co-ordinated around conference.	M. Gill; S. Gelgor; J. Lewsen; T. VanMeggelen, RBC ?	Surrey, BC When Carolyn is in Toronto; or CAFII Board member in Vancouver	Targeted networking during CAFII 15 th Anniversary event on April 10, 2013;
Alberta	Alberta Insurance Council : Joanne Abram, CEO; Tom Hampton, Chief Operating Officer	X	-Update on Council priorities -Council Representation -Licensing for 3 rd party providers - Business number registration system		When Joanne or Tom is in Toronto; or CAFII Board member in Edmonton	Targeted networking during CAFII 15 th Anniversary event on April 10, 2013; a CAFII EOC member should be assigned as dedicated "host." Also determine if separate meeting should be requested around CCIR meeting in Toronto on April 10
	Ministry of Finance: Mark Prefontaine, Superintendent of Insurance Brad Geddes, Deputy Superintendent of Insurance				When Mark is in Toronto; or CAFII Board member in Edmonton	Targeted networking during CAFII 15 th Anniversary event on April 10, 2013

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	New President of Treasury Board and Minister of Finance Doug Horner					
Saskatchewan	Sask. Insurance Council: Ron Fullan, Chair	X	-Review Council priorities -Council Representation		Invite when Ron is in Toronto	B. Wycks to find out exact date of next quarterly CISRO LLQP Stakeholder Information Session (June/July/August 2013?), with a view to meeting with R. Fullan when he is in Toronto for that meeting
	Financial and Consumer Affairs Authority, David Wild, Chairperson and Superintendent of Insurance; Ian McIntosh Deputy Superintendent of Insurance		-Courtesy visit, Introduction of CAFII -Insurance Act Review, ISI; Council Representation		When David or Ian is in Toronto; or CAFII Board member in Regina	
	Consultant (ex Superintendent) Jim Hall		Insurance Act review		When Jim is in Toronto; or CAFII Board member in Regina	
Manitoba	Ministry of Finance: Jim Scalena, Superintendent	X	Update on Insurance Act review. ISI regime		Winnipeg	Targeted networking during CAFII 15 th Anniversary event on April 10, 2013

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	Manitoba Insurance Council: Erin Pearson, Exec Director;	X	Review Council priorities Restricted cert holder representation on council		When Erin is in Toronto; or CAFII Board member in Winnipeg	
	Ministry of Finance Minister Stan Struthers		Letter of introduction sent, include on a visit of CAFII Chair or Board member to Manitoba. Wait until issues with Insurance Act review are resolved			
Ontario	FSCO: Phil Howell, CEO & Superintendent	X			Toronto	Targeted networking during CAFII 15 th Anniversary event on April 10, 2013. P. Howell invited B. Wycks to contact his EA to arrange a lunch meeting to get better acquainted
	FSCO: Grant Swanson, Exec Dir Licensing & Market Conduct Division					On February 14, B. Wycks and Rosemary Troiani, RBC Insurance, met with G. Swanson, Isobel Scovino and Jim Fox around FSCO's plans for Modernizing Disciplinary Procedures For Life Insurance Agents and Independent Adjusters
	FSCO: Company Complaint Officer Day			-individual companies	Toronto November	

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Quebec	AMF: Mario Albert, CEO				When Mario is in Toronto; or CAFII Board member in Quebec City	In follow-up to CAFII Board discussion on April 10 and B. Wycks' conversation with E. Stevenson at 15 th Anniversary event, he will e-mail Eric shortly to propose a liaison meeting between a CAFII delegation and AMF senior staff in Quebec during week of June 17-21, 2013
	AMF: Superintendant, Client Services and Distribution Oversight: Eric Stevenson AMF: Superintendent of Solvency, Patrick Dery	X				Targeted networking during CAFII 15 th Anniversary event on April 10, 2013
	AMF: Rendez-vous			-individual companies	October	

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New Brunswick	Superintendent (Acting) Angela Mazerolle-Stephens		-Review priorities -Discuss /educate on creditor's group insurance (CGI) and Avalon; -Licensing issues, electronic licensing; Insurance Act Review Status; -David Weir (Deputy Superintendent); Atlantic Taskforce on ISI (Chair)		TBD Fredericton	Targeted networking during CAFII 15 th Anniversary event on April 10, 2013 with Angela Mazerolle-Stephens, Acting Superintendent of Insurance; and David Weir, Deputy Superintendent of Insurance
	Consumer Advocate For Insurance, Ronald Godin		-Review priorities -Discuss /educate on creditor's group insurance (CGI) and Avalon		When Ronald Godin is in Toronto; or CAFII Board member in Fredericton	
Nova Scotia	Superintendent Doug Murphy		-Review priorities -Discuss /educate on creditor's group insurance (CGI) and Avalon; -Atlantic Taskforce on ISI		TBD Halifax	Targeted networking during CAFII 15 th Anniversary event on April 10, 2013
PEI	Superintendent Robert Bradley		Courtesy- Low priority for 2013 (only if CAFII Board member in Charlottetown)		Charlottetown	Targeted networking during CAFII 15 th Anniversary event on April 10, 2013
Newfoundland	Superintendent Douglas Connolly		-Review priorities -Discuss /educate on creditor's group insurance (CGI) and Avalon		St John's	Targeted networking during CAFII 15 th Anniversary event on April 10, 2013

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CCIR	Carol Shevlin, Policy Manager	X	Annual lunch. Discuss priorities		May Toronto	B. Wycks had a Get Acquainted Lunch with C. Shevlin in early January; and is in regular contact with her
	CCIR Executive		Meet when pressing issues or opportunistic meeting occasions arise.			
CISRO	Ron Fullan Chair				-invite when in Toronto	B. Wycks to invite for a breakfast, lunch, or coffee meeting around CISRO LLQP Stakeholder Info Session in summer 2013
Federal	Federal Finance		Educational – marketing of CGI			
	OSFI: Julie Dickson, Superintendent					
	OBSI: Doug Melville Ombudsman		Educational – marketing of CGI			
	Financial Consumer Agency of Canada (FCAC)		Financial Literacy re insurance			Ursula Menkes due to retire in 2013.