

CAFII Regulator Visit Plan 2012

updated November, 2012

Jurisdiction	Regulator	Annual Courtesy Call	Purpose	Attendees	Org	Date/Venue	Status
British Columbia	Insurance Council of BC: Gerry Matier, Exec. Dir.	X	Update on Council priorities			TBD Toronto	
	Carolyn Rogers , Superintendent (interim CCIR chair) Doug Mclean, Deputy Superintendent		-meet when in BC, was invited as CAFII speaker for October 2012 -part of CCIR contingent Oct 25	Cathy Honor			
Alberta	Alberta Insurance Council : Joanne Abram, CEO	X	Update on Council priorities Council Representation Licensing for 3 rd party providers			May 8 Montreal	complete
	Alberta Insurance Council : Tom Hampton, Chief Operating Officer		Present to CAFII AIC's Business number registration system				
	Ministry of Finance: Mark Prefontaine, Superintendent		Courtesy call to introduce New Chair	Cathy Honor Moira Gill	RBC TD	Jan 13 12:30 – 2pm Edmonton	complete
	Council: Joanne Abram		Council representation, other issues to be determined	Cathy Honor Moira Gill	RBC TD	Jan 13 10:30 AM Edmonton	complete
	New Minister of Finance					after the election	
Saskatche wan	Sask. Insurance Council: Ron Fullan, Chair	X	Review Council priorities Council Representation	Moira Gill Sara Gelgor	TD Scotia	Friday July 6 8:30	complete
						September 24	complete
	Consultant (ex Superintendent) Jim Hall		Insurance Act review			Conference call	On hold until review starts

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Manitoba	Ministry of Finance: Jim Scalena, Superintendent	X	Update on Insurance Act review. ISI regime	Moira Gill Sara Gelgor	TD Scotia	Winnipeg August 8	complete
	Manitoba Insurance Council: Erin Pearson, Exec Director;	X	Review Council priorities Restricted cert holder representation on council	Moira Gill Sara Gelgor	TD Scotia	Winnipeg August 8	complete
	Ministry of Finance Minister Stan Struthers		Letter of introduction sent, include on a visit of Chair to Manitoba. Sept 2012 – eoc recommends waiting until issues with Insurance act review are resolved				
Ontario	FSCO: Phil Howell, CEO & Superintendent	X				Toronto	To be set up
	FSCO: Director, Market Conduct; Grant Swanson, Exec Dir Licensing & Market Conduct and new staff		FSCO has hired new staff, introduce CAFII, cover current files, Insurance act review			September 13	complete -DMC to follow up re call center tour
	FSCO Company Complaint Officer day		Annual – individual companies to attend			Nov 23	
	Ministry of Finance : Paul Braithwaite		Update on trade negotiations/agreements (e.g. Canada-EU, ON-QB)			May 28	complete
	Ministry of Finance : Michael Weiss		Technical review of AMP regulations	A Riley M Gill		Nov 19	complete
Quebec	AMF: Mario Albert, CEO; Superintendents and key directors	X		Board		June 19, Quebec City Board lunch	complete
	AMF:		ECommerce consultation paper meeting			April 4	Part of CCIR meeting

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	AMF: Superintendent; Eric Stephenson, Director, SROs and Distribution Practices		Meeting to discuss DWR distribution guide format , compensation disclosure	Moira Gill Andre Duval John Lewsen Greg Grant Rose Beckford Jennifer Hines	TD Des BMO CIBC ScotiaLF RBC	May 10 Montreal, lunch at RBC place Ville Marie	complete
	AMF: Eric Stephenson, Superintendent,		Discuss distribution			November 15	Notes and follow up required
	AMF: Superintendent, Solvency	X		Board		With M Albert June 19	complete
	AMF : Rendez-vous			-individual companies		October 15	complete
New Brunswick	Superintendent		Review priorities Discuss /educate on creditor's group insurance (CGI) and Avalon; Licensing issues, electronic licensing; Insurance Act Review Status ; David Weir (Deputy Super)- Atlantic Taskforce on ISI (chair)	Check board member travel plans		TBD Fredericton	
	Consumer Advocate		Review priorities, Discuss /educate on creditor's group insurance (CGI) and Avalon;	Check board member travel plans		TBD Fredericton	
Nova Scotia	Superintendent		Review priorities, Discuss /educate on creditor's group insurance (CGI) and Avalon; Atlantic Taskforce on ISI	Check board member travel plans		TBD Halifax	
PEI	Superintendent		Courtesy- Low priority for 2012 (if member is in Charlottetown)	Check board member travel plans		Charlottetown	

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Newfoundl and	Superintendent		Review priorities, Discuss /educate on creditor's group insurance (CGI) and Avalon; Atlantic Taskforce on ISI	Check board member travel plans		TBD St John's	
CCIR	Carol Shevlin, Policy Manager	X	Review CCIR Priorities CAFII webinar curriculum	Greg Grant Jennifer Hines John Lewsen Anne Riley		May 31	complete
	Ecommerce Committee		Review issues paper			April 4	complete
	CCIR members		Webinar presentation - underserved market			Sept 14	complete
	CCIR executive and members		CCIR initiative – request personal contact to discuss industry issues, concerns and workplans			Oct 25 AM	Thankyou letter sent meeting notes needed
CISRO	Ron Fullan Chair		Chair requested a face to face presentation of Ecommerce –Sept 2012				To be set up
Federal	Federal Finance		Educational – marketing of CGI				
	OSFI: Julie Dickson, Superintendent		Declined offer to be Guest speaker at CAFII event				
	OBSI: Doug Melville Ombudsman		Educational – marketing of CGI				
	Financial Consumer Agency of Canada (FCAC)		Financial Literacy re insurance	John Lewsen Maira Gill Maria Sanchez-Chung	BMO TD TD	January	complete

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	FCAC compliance group		Financial Literacy re insurance (at FCAC request)	John Lewsen Moira Gill	BMO TD	April 4	complete