

## CAFII Regulator and Policy-Maker Visit Plan 2015

Jurisdiction Regulator/Policy-Maker	Last Meeting /Contact	Proposed Meeting	Topics/Purpose	Status Nov 17/14
<b>British Columbia</b>				
Gerry Matier, Executive Director, Insurance Council of BC	May 28/14: Toronto, ON	Nov 20/14 CLHIA COSS seminar  Q1 or Q2 2015 Western Canada tour	-Review of BC Financial Institutions Act -Representation for banks-in-insurance sector on Council -LLQP modernization -Update on TIPIP initiative -Update on Council priorities -Communicate CAFII issues -Maintain and strengthen relationship	Pending
Carolyn Rogers , CEO, FICOM & Superintendent of Insurance (CCIR Chair)	May 6/14; Ottawa, ON	Q1 or Q2 2015 Western Canada tour	See above	Pending
Doug McLean, Deputy Superintendent	No contact/meeting for at least past two years	See above	See above	Pending
Harry James, Director, Policy Initiatives, FICOM	Jul 28/14: call with CAFII reps re: "effecting" CGI	See above	See above	Pending
Michael de Jong, Minister of Finance	Not Applicable	Nov. 21/14 G. Grant to represent CAFII at roundtable discussion	-communicate CAFII issues/concerns re 10-year Review of FI Act	Confirmed
<b>Alberta</b>				
<b>Alberta Insurance Council:</b> Joanne Abram, CEO;	Mar 17/14; Toronto, ON	Nov 20/14 CLHIA COSS seminar (J. Abram)	-Representation for Restricted Licence Holders - - Licensing for 3 <sup>rd</sup> party providers: business number registration system	Pending
Ron Gilbertson, Chair (2012-15)	Mar 17/14; Toronto, ON	Q1 or Q2 2015 Western Canada tour	-LLQP modernization	
Tom Hampton, COO (retiring at end of 2014)	Jan 8/14: Toronto, ON		-Update on TIPIP initiative - Update on Council priorities	
Warren Martinson, Legal Counsel (member of CISRO LLQP Ctte)	Feb 10/14: Toronto, ON		-Communicate CAFII issues -Maintain and strengthen relationship	
Mark Prefontaine, Superintendent of Insurance	Sep 30/14; Fredericton, NB (informal meeting)	See above	See above	Pending

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David Sorenson, Deputy Superintendent of Insurance ( <i>appointed Sep 15/14</i> )	Not Applicable	See above	See above - and introduce CAFII	Pending
Laurie Balfour, Director, Financial Compliance, Insurance Regulation and Market Conduct Branch	Sep 30/14: Fredericton, NB (informal meeting)  Jul 28/14: call with CAFII reps re: "effecting of CGI"		See above	Pending
Robin Campbell, President of Treasury Board and Minister of Finance ( <i>appointed Sep 15/14</i> )	Not Applicable	<i>Hold off until CAFII has "direct ask" to make</i>	-Educate about CAFII and its issues -Build relationship	Not Applicable
<b>Saskatchewan</b>				
Ron Fullan, Executive Director, Insurance Councils of Saskatchewan and CISRO Chair  April Stadnek, Director of Licensing	Sep 30/14; Fredericton, NB (informal meeting)	Q1 or Q2 2015 Western Canada tour	-Restricted Insurance Agents Advisory Cttee. - LLQP modernization -Update on TIPIP initiative - Update on ICS and CISRO priorities -Communicate CAFII issues -Maintain and strengthen relationship	Pending
David Wild, Chairperson and Superintendent of Insurance	-no contact/meeting for at least past two years	See above	-introduce CAFII and build relationship -Insurance Act Review: underway; completion expected in 2015 -ISI: Representation for Restricted Licence Holders -LLQP modernization -Update on TIPIP initiative - Update on Superintendent's priorities -Communicate CAFII issues	Pending
Ian McIntosh, Deputy Superintendent of Insurance	Jul 28/14 call with CAFII reps re: "effecting CGI"	See above	See above	Pending
<b>Consultant (ex-Superintendent)</b> Jim Hall <i>*Semi-retired but remains centrally involved in review and rewrite of Sask. Insurance Act</i>	-no contact/meeting for at least past two years	See above	See above	Pending

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<b>Manitoba</b>				
<b>Ministry of Finance:</b>  Jim Scalena, Superintendent <i>*Retiring at end of 2014</i>  Scott Moore, Deputy Superintendent  Jennifer Howard, Minister of Finance Greg Dewar New Minister of Finance, appointed Nov/14	April 29/14; Winnipeg, MB  April 29/14: Winnipeg, MB;  April 29/14; Winnipeg, MB	Q1 or Q2 2015 Western Canada tour          <i>Hold off until CAFII has "direct ask" to make</i>	-Introduce CAFII and build/maintain relationship -Implementation of ISI regime -Representation for Restricted Licence Holders -Update on Insurance Act Review -LLQP modernization -Update on TIPIP initiative -Update on Superintendent's and Council's priorities -Communicate CAFII issues	Pending
Erin Pearson, Executive Director, Insurance Council of Manitoba:	Sept. 30/14: dinner in Fredericton, NB re: ISI implementation  Apr 29/14; Winnipeg, MB	See above	See above	Pending
<b>Ontario</b>				
<b>FSCO:</b>  Brian Mills, appointed Interim CEO and Superintendent on October 18/14  Anatol Monid, Interim Executive Director, Licensing and Market Conduct Division  Isabel Scovino, appointed Director, Market Conduct Regulation Branch in Nov/14	N/A  April 9/14 re: Interim Report of Life Insurance Product Suitability Review  Nov 13/14 re: Report on Joint Review (FSCO and AMF) of National Complaint Reporting System (CRS)	November 21/14 at FSCO Life and Health Insurance Symposium  Q1 or Q2 2015 -follow-up lunch or dinner	-Introduce CAFII and build/maintain relationship -next steps, if any, in Life Insurance Product Suitability Review -LLQP modernization -enhancing the national CRS -Update on TIPIP initiative -Update on Superintendent's priorities -communicate CAFII issues	Pending

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		Nov 21/14 FSCO Life & Health Insurance Symposium	B. Wycks to represent CAFII on panel re: current topics in Life & Health Insurance	Confirmed
<b>Quebec</b>				
<b>AMF:</b> Louis Morisset, CEO; Patrick Dery, Eric Stevenson, Julien Reid, Stephane Langlois, Louise Gauthier	Apr 8/14: Montreal, QC	Q1 or Q2 2015 Quebec or Toronto	-Further round of AMF consultation re electronic commerce in insurance -Distribution Guide template and implementation timelines -LLQP modernization -enhancing the national CRS -Update on TIPIP initiative -Update on AMF priorities -Communicate CAFII issues -Maintain and strengthen relationship	Pending
<b>Atlantic Canada</b>				
Joint Forum of Insurance Regulators (four provinces)	Oct 1/14: Fredericton, NB	spring or fall 2016	N/A	Deferred to 2016
<b>New Brunswick</b>				
Angela Mazerolle, Superintendent of Insurance	Oct 1/14: Fredericton, NB	None		Deferred to 2016
David Weir, Deputy Superintendent of Insurance	See above	See above		Deferred to 2016
Adam Mitton, Attractiveness Executive, Invest New Brunswick	Jun 3/14: Toronto, ON	Q1 2015	- highlight and discuss CAFII submission re: Insurance Act changes	Pending
Ronald Godin, Consumer Advocate for Insurance (role to be taken over by provincial ombudsman effective Jan 1/15)	None	Q1 or Q2 2015	-Introduce CAFII and build relationship -Position CAFII as an information resource -Find out how provincial ombudsman will carry out role formerly held by Consumer Advocate For Insurance	Pending

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<b>Nova Scotia</b>				
Doug Murphy, Superintendent	Oct 1/14: Fredericton, NB	None		Watch/ monitoring re possible Insurance Act Review in 2015
<b>PEI</b>				
Superintendent Robert Bradley	Oct 1/14: Fredericton, NB	Q2 2015 PEI or Toronto	-Review of life and accident & sickness provisions of Insurance Act, previously communicated as a definite priority for 2015 -LLQP modernization -Update on TIPIP initiative -Update on Superintendent's priorities -Communicate CAFII issues -Maintain and strengthen relationship	Pending
<b>Newfoundland</b>				
Craig Whalen, Deputy Superintendent	Oct 1/14: Fredericton, NB	None	N/A	Deferred to 2016
<b>FEDERAL/NATIONAL</b>				
Carol Shevlin, Policy Manager, <b>CCIR</b> *Retiring end of 2015	May 7/14; Ottawa, ON (informal update)	Dec 2014 or Jan 2015 informal catch-up lunch	-Update on CCIR 2014-17 Strategic Plan and related priorities -possible CAFII webinar(s) for CCIR in 2015 -succession plans for CCIR Policy Manager given upcoming retirement -opportunity to thank and acknowledge C. Shevlin at CAFII Reception later in 2015	Pending
Carolyn Rogers, <b>CCIR Chair</b> (CEO, FICOM & Superintendent of Insurance)	Oct 7/14: Toronto, ON	Jan 28 or 29/15 CCIR stakeholder meetings  CAFII webinar(s)	-Update on CCIR 2014-17 Strategic Plan and related priorities -LLQP modernization -Communicate CAFII issues	Confirmed  TBA
Ron Fullan Chair (SK); G. Matier (BC); J. Abram (AB), W. Martinson (AB); D. Weir (NB) <b>CISRO</b>	Feb 10/14: Toronto, ON	None		Watch/ monitoring

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Jeremy Rudin, Superintendent, <b>Office of the Superintendent of Financial Institutions</b> (appointed June/14)	N/A	Q1 2015 “get acquainted” meeting with J. Rudin in Ottawa or Toronto	-introduce/educate about CAFII, CGI and alternate distribution -build relationship <b>-invite to be speaker at CAFII Reception in June or October 2015</b>	
Doug Melville, Ombudsman, <b>OBSI</b>	N/A	None		Watch/ monitoring
<b>Financial Consumer Agency of Canada (FCAC):</b>  Lucie Tedesco, Commissioner  Brigitte Goulard, Deputy Commissioner  Jane Rooney, Financial Literacy Leader  Karen Morgan, Marketing Officer	N/A  Jun 10/14  N/A  Jan 9/14	Q1 2015 (either in-person in Ottawa or Toronto; or via teleconference)    Feb 10/15	-CAFII proposed enhancements to FAQs and other content on FCAC web site re creditor insurance    Jane Rooney confirmed as guest speaker at Feb CAFII Annual Members’ Luncheon in Toronto	Pending    Confirmed