

CAFII Regulator Visit Plan 2012

updated June, 2012

Jurisdiction	Regulator	Annual Courtesy Call	Purpose	Attendees	Org	Date/Venue	Status
British Columbia	Insurance Council of BC: Gerry Matier, Exec. Dir.	X	Update on Council priorities			TBD Toronto	
Alberta	Alberta Insurance Council : Joanne Abram, CEO, Tom Hampton, Chief Operating Officer	X	Update on Council priorities Council Representation Licensing for 3 rd party providers			May 8 Montreal	complete
	Alberta Insurance Council :		Present to CAFII AIC's Business number registration system				
	Ministry of Finance: Mark Prefontaine, Superintendent		Courtesy call to introduce New Chair	Cathy Honor Moira Gill	RBC TD	Jan 13 12:30 – 2pm Edmonton	complete
	Council: Joanne Abram		Council representation, other issues to be determined	Cathy Honor Moira Gill	RBC TD	Jan 13 10:30 AM Edmonton	complete
	New Minister of Finance					after the election	
Saskatchewan	Sask. Insurance Council: Ron Fullan, Chair	X	Review Council priorities Council Representation			TBD Toronto	Offer extended
	Consultant (ex Superintendent) Jim Hall		Insurance Act review			Conference call	On hold until review starts
Manitoba	Ministry of Finance: Jim Scalena, Superintendent	X	Update on Insurance Act review. ISI regime			Conference call	
	Manitoba Insurance Council: Erin Pearson, Exec Director;	X	Review Council priorities Restricted cert holder representation on council			TBD Winnipeg	
	Ministry of Finance Minister Stan Struthers		Letter of introduction sent, include on a visit of Chair to Manitoba			(J Hines to confirm avail of chair)	

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Ontario	FSCO: Phil Howell, CEO & Superintendent	X				Toronto	To be set up
	FSCO: Anatol Monid, Director, Market Conduct; Grant Swanson, Exec Dir Licensing & Market Conduct		Discuss ISI consultation follow up ISI presentation Anatole hiring new staff, call to offer to meet and update staff			TBD Toronto	To be set up
	Ministry of Finance : Paul Braithwaite		Update on trade negotiations/agreements (e.g. Canada-EU, ON-QB)			May 28	Complete- notes required
Quebec	AMF: Mario Albert, CEO; Superintendents and key directors	X				June 19, Quebec City Board lunch	
	AMF:		ECommerce consultation paper meeting			April 4	Part of CCIR meeting complete
	AMF: Patrick Dery, Superintendent; Eric Stephenson, Director, SROs and Distribution Practices		Meeting to discuss DWR distribution guide format , compensation disclosure	Moira Gill Andre Duval John Lewsen Greg Grant Rose Beckford Jennifer Hines	TD Des	May 10 Montreal, lunch at RBC place Ville Marie	
	AMF: Superintendent, Solvency	X				withM Albert June 19	

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New Brunswick	Superintendent		Review priorities Discuss /educate on creditor's group insurance (CGI) and Avalon; Licensing issues, electronic licensing; Insurance Act Review Status ; David Weir (Deputy Super)- Atlantic Taskforce on ISI (chair)	Check board member travel plans		TBD Fredericton	
	Consumer Advocate		Review priorities, Discuss /educate on creditor's group insurance (CGI) and Avalon;	Check board member travel plans		TBD Fredericton	
Nova Scotia	Superintendent		Review priorities, Discuss /educate on creditor's group insurance (CGI) and Avalon; Atlantic Taskforce on ISI	Check board member travel plans		TBD Halifax	
PEI?	Superintendent		Courtesy- Low priority for 2012 (if member is in Charlottetown)	Check board member travel plans		Charlottetown	
Newfoundland and	Superintendent		Review priorities, Discuss /educate on creditor's group insurance (CGI) and Avalon; Atlantic Taskforce on ISI	Check board member travel plans		TBD St John's	
CCIR	Carol Shevlin, Policy Manager	X	Review CCIR Priorities CAFII webinar curriculum	Greg Grant Jennifer Hines John Lewsen Anne Riley		May 31	complete
	Ecommerce Committee		Review issues paper			April 4	complete
	CCIR members		Webinar presentation To be confirmed with CCIR			Conference call mid august	education plan drafted
Federal	Federal Finance		Educational – marketing of CGI				

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	OSFI: Julie Dickson, Superintendent		Guest speaker at CAFII event				Offer extended
	OBSI: Doug Melville Ombudsman		Educational – marketing of CGI				
	Financial Consumer Agency of Canada (FCAC)		Financial Literacy re insurance	John Lewsen Moira Gill Maria Sanchez chung	BMO TD TD	January	complete
	FCAC compliance group		Financial Literacy re insurance (at FCAC request)	John Lewsen Moira Gill	BMO TD	April 4	complete